

# **CENTRAL ENGINEERING**

Plan · Design · Construct

# **PROJECT MANUAL**

# **FOODSERVICE BUILDING REROOF**

SCO # 23-26409-01A SET 15<sup>TH</sup> 2023 ISSUE FOR CONSTRUCTION

# SAMPSON CORRECTIONAL INSTITUTION

421 NW BLVD CLINTON, NC 28328

Prepared by: NC Department of Adult Correction Central Engineering JOS # 4368

Date - 9/15/2023

Sampson CI Foodservice Building Re-Roof SCO - ID#23-26409-01A

# SAMPSON CI FOODSERVICE BUILDING RE-ROOF

For

North Carolina Department of Adult Correction September 15TH, 2023

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Sampson CC Foodservice Building Roof Replacement SCO ID - 23-26409-01A

# DOCUMENT 00 0107 - SEALS PAGE

# DESIGN PROFESSIONALS OF RECORD

- A. Architect:
  - 1. Rebecca Cunningham
  - 2. 11047
  - 3. Responsible for Divisions 01-49 Sections except where indicated as prepared by other design professionals of record.

## END OF DOCUMENT 00 0107





# North Carolina Department of Adult Correction Central Engineering 4216 MSC Raleigh, NC 27699-4216 ADVERTISEMENT FOR BIDS

To whom it may concern,

Please place the following advertisement for bids in your publication (content of the box below only).

Sealed proposals will be received until 2:00 PM on 11/2/2023 in NCDAC Central Engineering, 2020 Yonkers Road, Raleigh, NC 27699, Door 4, for the construction of Sampson Correctional Institution Foodservice Reroof at which time and place bids will be opened and read. If a bidder elects to mail their bid, we strongly urge that the bid be mailed to ensure receipt at our mailing address 48 hours prior to the bid opening to ensure receipt prior to the designated bid opening date and time.

Complete plans and specifications for this project can be obtained from Rebecca Cunningham <u>Rebecca.cunningham@dac.nc.gov</u> (919) 324 1265, 2020 Yonkers Road, Raleigh, NC 27699, Door 4 during normal office hours after 10/2/2023

A mandatory pre-bid meeting will be held at 10:00 AM on 10/19/2023 in Administration Building at Sampson Correctional Institution, 421 NW BLVD, Clinton, NC 27328. Additional details are noted in the Notice to Bidders.

The state reserves the unqualified right to reject any and all proposals.

First Date of Publication:10/2/2023Last Date of Publication:10/18/2023

Invoices and correspondence regarding this advertisement placement shall be directed to:

Rebecca Cunningham NCDAC Central Engineering 4216 MSC Raleigh, NC 27699-4216 919 637 2501 **rebecca.cunningham@dac.nc.gov** 

# NOTICE TO BIDDERS

Sealed proposals will be received by the North Carolina Department of Public Safety in Raleigh NC, in the office of NCDPS Central Engineering, NCDAC Central Engineering, 2020 Yonkers Road, Raleigh, NC 27699, Door 4, (hand delivered); 4216 Mail Service Center, Raleigh, NC 27699-4216 (mailing address) up to 2:00 PM 11/2/2023 and immediately thereafter publicly opened and read for the furnishing of labor, material and equipment entering into the construction of:

# Sampson Correctional Institution Foodservice Building Reroof

Bids will be received for a single prime contract. All proposals shall be lump sum.

# **Pre-Bid Meeting**

A mandatory pre-bid meeting will be held at 10:00 AM on 10/19/2023 in Administration Building at Sampson Correctional Institution, 421 NW BLVD, Clinton, NC 27328. The meeting will address project specific questions, security, issues, bidding procedures and bid forms. *Failure to attend the Pre-Bid Conference will disqualify your company from bidding.* 

Complete plans, specifications and contract documents will be open for inspection in the offices of North Carolina Department of Public Safety – Central Engineering and in the online plan room of the Associated General Contractors/Reed Construction Data, Carolinas Branch (<u>isqft.com</u>), the online plan room of McGraw-Hill Dodge Corporation (<u>dodge.construction.com</u>), the online plan room at Construct Connect (<u>bidclerk.com</u>) the online planand in Minority Plan Rooms at

The Institute, <u>(theinstitutenc.org)</u>, 114 West Parrish Street, Durham, NC 27701; 919-956-8889

East Coast Digital Planroom., (<u>speedyblue.com</u>) 210 E. 14th St., Suite D, Greenville, NC 27858, 252-758-1616

# HUB PARTICIPATION RECORDING REQUIREMENTS:

NCDPS requires that, for construction contracts with a value of \$5000 or greater, the contractor shall comply with the document Guidelines for Recruitment and Selection of Minority Businesses for Participation in State Construction Contracts including Identification of Minority Business Participation, Affidavits A, B, C, and D, and Appendix E. These forms provided herein are hereby incorporated and made a part of this contract. A bidder's failing to comply with this requirement will be considered non-responsive and will result in bid rejection.

The NCDPS imposed contract threshold of \$5000 for HUB recruitment supersedes any reference to a higher threshold that may be noted in the bid documents, within referenced documents, or within any regulatory requirement.

Provide one of the following with **Bid Form Proposal**:

Identification of HUB Participation and Affidavit A – Listing of Good Faith Efforts **OR** Affidavit B- Intent to Self-Perform with Own Forces

## Provide one of the following upon **Contract Award**:

Affidavit C - Percentage of HUB Participation **OR** Affidavit D – Good Faith Efforts

All contractors are hereby notified that they must have proper license as required under the state laws governing their respective trades.]

General contractors are notified that Chapter 87, Article 1, General Statutes of North Carolina, will be observed in receiving and awarding general contracts. General contractors submitting bids on this project must have license classification for Limited (set forth the license classification required by the NC General Contractors Licensing Board under G.S. 87-1)

<u>NOTE</u>--SINGLE PRIME CONTRACTS: Under GS 87-1, a contractor that superintends <u>or manages</u> construction of any building, highway, public utility, grading, structure or improvement shall be deemed a "general contractor" and shall be so licensed. Therefore, a single prime project that involves other trades will require the single prime contractor to hold a proper General Contractors license. <u>EXCEPT</u>: On public buildings being bid <u>single prime</u>, where the total value of the general construction does not exceed 25% of the total construction value, contractors under GS87- Arts 2 and 4 (Plumbing, Mechanical & Electrical) may bid and contract directly with the Owner as the SINGLE PRIME CONTRACTOR and may subcontract to other properly licensed trades. <u>GS87-1.1- Rules .0210</u>

No bid may be withdrawn after the scheduled closing time for the receipt of bids for a period of 60 days.

The owner reserves the right to reject any or all bids and to waive informalities.

#### Designer:

Rebecca Cunningham (Name) 2020 Yonkers Road, Raleigh, NC 27604 (Address) **Owner**: NC Department of Adult Correction Central Engineering 2020 Yonkers Road, Raleigh, NC 27604

(919) 324 1265 (Phone) (919)716-3400

SAMPSON CI FOODSERVICE ROOF REPLACEMENT SCO # 23-26409-01A

# SUPPLEMENTARY INSTRUCTIONS TO BIDDERS AND GENERAL CONDITIONS OF THE CONTRACT

# **PART 1 - GENERAL CONDITIONS OF THE CONTRACT**

### 1.1 ARTICLE 14 – CONSTRUCTION SUPERVISION AND SCHEDULE

- **A.** 14.f: If the project is a single prime construction contract, the single prime contractor by default is the project expeditor. See General Conditions Article 1.i.
- **B.** 14g: The schedule for this project is only required to be a Bar Chart Schedule. See Section 01 3200.

#### 1.2 ARTICLE 23 – TIME FOR COMPLETION, DELAYS, EXTENSIONS OF TIME

A. Article 23.a: The time for completion of the Work shall be 90 consecutive calendars days from the date of the Notice to Proceed to Final Acceptance.

#### 1.3 ARTICLE 38 – USE OF PREMISES

A. Article 38.d: Contractor shall post a sign indicating Fire Arms are prohibited on the construction site. See security requirements under Section 01 1100 Security Requirements.

#### END OF DOCUMENT 00 2213

SAMPSON CI FOODSERVICE ROOF REPLACEMENT SCO # 23-26409-01A

# NCDAC CENTRAL ENGINEERING SPECIAL CONDITIONS DOCUMENT

### **PART 1 - SPECIAL CONDITIONS**

#### 1.1 **DEFINITIONS**

- A. The definition of the word "Contractor" used throughout this informal contract agreement is hereby defined as the "Bidding Contractor Installer, Manufacturer, Supplier, Trainer, and Warrantor."
- **B.** The definition of the word "Subcontractor" used throughout this contract agreement is hereby defined as any third party under contractual agreement with the "Contractor."

## 1.2 CONFIDENCE IN BID PROPOSAL

A. It is understood and agreed that, by submitting a bid, the Contractor has examined these contract documents, drawings and specifications and has visited the site of the work and has satisfied himself relative to the work to be performed

#### 1.3 HUB REQUIREMENTS

- A. NCDAC requires that, for construction contracts with a value of \$5000 or greater, the contractor shall comply with the document Guidelines for Recruitment and Selection of Minority Businesses for Participation in State Construction Contracts including Identification of Minority Business Participation, Affidavits A, B, C, and D, and Appendix E.
- B. These forms provided herein are hereby incorporated and made a part of this contract. A bidder's failing to comply with this requirement will be considered non-responsive and will result in bid rejection.
- C. The NCDAC imposed contract threshold of \$5000 for HUB recruitment supersedes any reference to a higher threshold that may be noted in the bid documents, within referenced documents, or within any regulatory requirement.

#### 1.4 SAFETY REQUIREMENTS

- A. The Contractor shall be responsible for the entire site and the building or construction of the same and provide all the necessary protections as required by laws or ordinances governing such conditions and as required for any damage to the Owner's property, or that of others on the job, by himself, or personnel or his contractors, and shall make good such damages.
- B. The Contractor shall adhere to the rules, regulations and interpretations of the North Carolina Department of Labor relating to Occupational Safety and Health Standards for the Construction Industry (Code of Federal Regulations, Part 1926 published in Volume 39, Number 122, Part 11, June 24, 1974 Federal Register), and revisions thereto as adopted by General Statutes of North Carolina 95-126 through 155.
- C. The Contractor shall provide all necessary safety measures for the protection of all persons on the work, including the requirements of the A.G.C. Accident Prevention Manual in Construction as amended, and shall fully comply with all state laws or regulations and North Carolina State Building Code requirements to prevent accident or injury to persons on or about the location of the work. He shall clearly mark or post signs warning of hazards existing, and shall barricade excavations, elevator shafts, stairwells and similar hazards. He

#### NCDAC CE SPECIAL CONDITIONS

#### SAMPSON CI FOODSERVICE ROOF REPLACEMENT SCO # 23-26409-01A

shall protect against damage or injury resulting from falling materials and he shall maintain all protective devices and signs throughout the progress of the work.

#### 1.5 LIABILITY AND PROPERTY DAMAGE INSURANCE REQUIREMENTS

- A. The Contractor shall not commence work until he has obtained all insurance required, and such insurance has been approved by the Owner, nor shall the Contractor allow any subcontractor to commence work on his subcontract until all similar insurance required of the subcontractor has been obtained.
- B. The Contractor shall provide and maintain during the life of this contract Workmen's Compensation Insurance or all employees employed at the site of the project under his contract.
- C. The Contractor shall provide and maintain during the life of this contract such Public Liability and Property Damage Insurance as shall protect him and any subcontractor performing work covered by this contract, from claims for damage for personal injury, including accidental death, as well as from claims for property damages which may arise from operations under this contract, whether such operation be by the Contractor himself or by any subcontractor, or by anyone directly or indirectly employed by either of them and the amounts of such insurance shall be as follows:
  - 1. Public Liability Insurance in an amount not less than \$300,000 for injuries, including accidental death, to any one person and subject to the same limit for each person, in amount not less than \$500,000 on account of one accident; and Property Damage Insurance in an amount not less than \$100,000/\$300,000.
- D. The Contractor shall furnish such additional insurance as may be required by General Statutes of North Carolina, including motor vehicle insurance in amounts not less than statutory limits.
- E. The insurance certificate, in the "Description and Operations" block, shall identify the following:
  - 1. Job Services Description:
  - 2. NCDAC Job Order Number:
  - 3. NCDAC Contract Number:
- F. Each Certificate of Insurance shall bear the provision that the policy cannot be cancelled, reduced in amount or coverage eliminated in less than thirty (30) days after mailing written notice to the insured and/or the Owner of such alteration or cancellation, sent by registered mail.
  - 1. The North Carolina Attorney General's Office in concurrence with the Department of Insurance has developed the following acceptable and required verbiage concerning the cancellation of insurance coverage.
  - 2. Contractor to provide insurance certificate(s) to this office with language appropriately inserted in the insurance certificate block provided for Special Provisions, as follows: "Notwithstanding the preprinted cancellation provisions on this form, coverages afforded under the policies will not be cancelled, reduced in amount nor will any coverages be eliminated until at least thirty (30) days after mailing written notice, by certified mail, return receipt requested, to the insured and the owner, of such alteration or cancellation."
  - 3. This language can be continued on an attached and properly titled continuation sheet as long as the first clause ("Notwithstanding.... form,") is on the face of the form or if space will not allow, then at a minimum, insert in the block for Special Provisions, "Cancellation and notice provisions on the attached endorsements control over language on this form." Then attach the required language provided in 2 above.

#### SAMPSON CI FOODSERVICE ROOF REPLACEMENT SCO # 23-26409-01A

4. The Contractor shall furnish the Owner with satisfactory proof of carriage of the insurance required before written approval is granted by the Owner.

#### 1.6 APPLICATIONS FOR PAYMENT AND INVOICES

- A. See Section 01 2900 Payment Procedures.
- B. Final Application for Payment The Final Payment Application shall be accompanied by the contractor's affidavit. The contractor's affidavit shall state: "This is to certify that all costs of materials, equipment, labor, and all else entering into the accomplishment of this contract, including payrolls, have been paid in full."
- C. Executed contract documents, insurance certification and, upon completion and acceptance of the work, invoices and other information requested are to be sent to:
  - 1. Rebecca Cunningham NCDAC Central Engineering; 2020 Yonkers Road; MSC 4216; Raleigh, NC 27699.
  - 2. It is imperative that contract documents, invoices, etc., be sent only to this address in order to assure proper and timely delivery and handling.
  - 3. The Contractor may also email a signed and notarized copies to the PM identified above for processing.

## 1.7 CONTRACTOR USE OF PROPERTY

- A. Use of Site may be restricted. Work hours are limited to 7:30AM to 6: 00PM Monday through Friday. Specific site access requirements will be discussed at the Pre-Bid Meeting.
- B. See Section 01 1100 SECURITY REQUIREMENTS.

#### END OF DOCUMENT

# FORM OF PROPOSAL

Foodservice Building Reroof	Contract:
Sampson Cl	Bidder:
<u>23-26409-01A</u>	Date:

The undersigned, as bidder, hereby declares that the only person or persons interested in this proposal as principal or principals is or are named herein and that no other person than herein mentioned has any interest in this proposal or in the contract to be entered into; that this proposal is made without connection with any other person, company or parties making a bid or proposal; and that it is in all respects fair and in good faith without collusion or fraud. The bidder further declares that he has examined the site of the work and the contract documents relative thereto and has read all special provisions furnished prior to the opening of bids; that he has satisfied himself relative to the work to be performed. The bidder further declares that he and his subcontractors have fully complied with NCGS 64, Article 2 in regards to E-Verification as required by Section 2.(c) of Session Law 2013-418, codified as N.C. Gen. Stat. § 143-129(j).

The Bidder proposes and agrees if this proposal is accepted to contract with the

## State of North Carolina through the Department of Adult Correction

in the form of contract specified below, to furnish all necessary materials, equipment, machinery, tools, apparatus, means of transportation and labor necessary to complete the construction of

Remove the existing roofing including rigid insulation, edge flashing, all blocking, gutters, and downspouts. Replace with modified bitumen two-layer system – including rigid insulation, blocking, flashing, counter flashing, gutters, downspouts, and roof membrane.

in full in complete accordance with the plans, specifications and contract documents, to the full and entire satisfaction of the State of North Carolina, and the

# Department of Adult Correction

with a definite understanding that no money will be allowed for extra work except as set forth in the General Conditions and the contract documents, for the sum of:

# SINGLE PRIME CONTRACT:

Base Bid:

Dollars (\$)\_\_\_\_\_

General Subcontractor:

Lic\_\_\_\_

GS143-128(d) requires all single prime bidders to identify their subcontractors for the above subdivisions of work. A contractor whose bid is accepted shall not substitute any person as subcontractor in the place of the subcontractor listed in the original bid, except (i) if the listed subcontractor's bid is later determined by the contractor to be non-responsible or non-responsive or the listed subcontractor refuses to enter into a contract for the complete performance of the bid work, or (ii) with the approval of the awarding authority for good cause shown by the contractor.

# MINORITY BUSINESS PARTICIPATION REQUIREMENTS

<u>Provide with the bid</u> - Under GS 143-128.2(c) the undersigned bidder shall identify <u>on its bid</u> (Identification of Minority Business Participation Form) the minority businesses that it will use on the project with the total dollar value of the bids that will be performed by the minority businesses. <u>Also</u> list the good faith efforts (Affidavit A) made to solicit minority participation in the bid effort.

**NOTE**: A contractor that performs all of the work with its <u>own workforce</u> may submit an Affidavit (**B**) to that effect in lieu of Affidavit (**A**) required above. The MB Participation Form must still be submitted even if there is zero participation.

<u>After the bid opening</u> - The Owner will consider all bids and alternates and determine the lowest responsible, responsive bidder. Upon notification of being the apparent low bidder, the bidder shall then file within 72 hours of the notification of being the apparent lowest bidder, the following:

An Affidavit (**C**) that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is <u>equal to or more than the 10% goal</u> established. This affidavit shall give rise to the presumption that the bidder has made the required good faith effort and Affidavit **D** is not necessary;

#### \* OR \*

<u>If less than the 10% goal</u>, Affidavit (**D**) of its good faith effort to meet the goal shall be provided. The document must include evidence of all good faith efforts that were implemented, including any advertisements, solicitations

**Note**: Bidders must always submit <u>with their bid</u> the Identification of Minority Business Participation Form listing all MB contractors, <u>vendors and suppliers</u> that will be used. If there is no MB participation, then enter none or zero on the form. Affidavit A or Affidavit B, as applicable, also must be submitted with the bid. Failure to file a required affidavit or documentation with the bid or after being notified apparent low bidder is grounds for rejection of the bid.

and other specific actions demonstrating recruitment and selection of minority businesses for participation in the contract.

# Proposal Signature Page

The undersigned further agrees that in the case of failure on his part to execute the said contract and the bonds within ten (10) consecutive calendar days after being given written notice of the award of contract, the certified check, cash or bid bond accompanying this bid shall be paid into the funds of the owner's account set aside for the project, as liquidated damages for such failure; otherwise the certified check, cash or bid bond accompanying this proposal shall be returned to the undersigned.

Respectfully submitted this day of						
(Name of firm or corporation making bid)						
WITNESS:	Ву:					
	Signature					
	Name:					
(Proprietorship or Partnership)	Print or type					
	Title					
	(Owner/Partner/Pres./V.Pres)					
	Address					
ATTEST						
Ву <u>:</u>	License No					
Title:	Federal I.D. No.					
(Corp. Sec. or Asst. Sec. only)						
	Email Address:					
(CORPORATE SEAL)						
Addendum received and used in computing bid:						
Addendum No. 1 Addendum No. 3	Addendum No. 5 Addendum No. 7					
Addendum No. 2 Addendum No. 4	Addendum No. 6 Addendum No. 8					

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Project information.
  - 2. Work covered by Contract Documents.
  - 3. Work under separate contracts.
  - 4. Access to site and Security Requirements.
  - 5. Coordination with occupants.
  - 6. Work restrictions.
  - 7. Specification and Drawing conventions.
- B. Related Requirements:
  - 1. Section 01 5000 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.
  - 2. Section 01 1100 "Security Requirements" for use of property, conduct, and other items that affect security at the facility.

#### 1.2 **PROJECT INFORMATION**

- A. Project Identification: Sampson CI Foodservice Building Re-Roof; SCO # 23-26409-01A; NCDAC JOS # 4368
  - 1. Project Location: Sampson Correctional Institution, 421 NW BLVD, Clinton NC 27328
- B. Owner: North Carolina Department of Adult Correction; 512 North Salisbury Street, Raleigh, NC 27604.
- C. Architect: Rebecca Cunningham; NCDAC Central Engineering; 2020 Yonkers Road, Raleigh, NC 27699.

#### 1.3 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of Project is defined by the Contract Documents and consists of the following:
  - 1. Remove the existing roofing including rigid insulation, edge flashing, all blocking, gutters and downspouts. Replace with modified bitumen two-layer system including rigid insulation, blocking, flashing, counter flashing, gutters, downspouts and roof membrane.
- B. Type of Contract:
  - 1. Project will be constructed under a single prime contract.

#### 1.4 WORK UNDER SEPARATE CONTRACTS

A. General: Cooperate fully with separate contractors so work on those contracts may be carried out smoothly, without interfering with or delaying Work under this Contract or other contracts. Coordinate the Work of this Contract with work performed under separate contracts.

#### 1.5 ACCESS TO SITE

A. General: Contractor shall have limited use of Project site for construction operations.

- B. Use of Site: Limit use of Project site to Work in areas indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
  - 1. Driveways, Walkways, and Entrances: Keep driveways and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or for storage of materials.
- C. See the requirement in Section 01 1100 "Security Requirements."

#### 1.6 COORDINATION WITH OCCUPANTS

- A. Full Owner Occupancy: Owner may partially occupy site, existing, and adjacent building(s) during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits unless otherwise indicated.
- B. Owner Limited Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed portions of the Work, prior to Final Acceptance of the Work, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and limited occupancy shall not constitute acceptance of the total Work.
- C. See the requirement in Section 01 1100 "Security Requirements."

### 1.7 WORK RESTRICTIONS

- A. Work Restrictions, General: Comply with restrictions on construction operations.
  - 1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
  - 2. See the requirement in Section 01 1100 "Security Requirements."
- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:
  - 1. See the requirement in Section 01 1100 "Security Requirements.".
- C. Restricted Substances: Use of tobacco products and other controlled substances on Project site is not permitted. See the requirement in Section 01 1100 "Security Requirements."

#### 1.8 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.

# PART 2 - PRODUCTS (Not Used)

# PART 3 - EXECUTION (Not Used)

END OF SECTION 01 1000

# **SECTION 01 1100 - SECURITY REQUIREMENTS**

## PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes North Carolina Department of Adult Correction (NCDAC) Security Requirements for Contractors while working on NCDAC property.
- B. The purpose of this document is to provide SECURITY requirements for the Contractor and his Subcontractors. Depending on the scope of work and location not all rules may apply. At the pre-construction conference these requirements will be reviewed.
- C. Related Requirements:
  - 1. Division 01 Section "01 5000" for Temporary Facilities and Controls.

#### 1.2 SECURITY CLEARANCE AND REQUIREMENTS

- A. <u>Security Clearance</u> All persons entering a North Carolina Department of Adult Correction (NCDAC) property MUST provide and executed "Contractor NC Department of Adult Correction Criminal History Record Check Form HR 004" and receive approval for entry.
  - 1. At least seven days prior to their appearance at the DPS facility to start work, the Contractor is requested to provide in writing on the "NC Department of Adult Correction Criminal History Record Check Form HR 004," the following information for all crew members and supervisors who will be working on this project at the site. including subcontractors and their personnel; employee's name, Social Security number and driver's license number. The NCDAC will perform, or have performed, a security check of the prospective Contractor employee. If the Contractor is informed that any of these persons are declared undesirable by the NCDAC, this person will not be allowed to work on this construction project. The NCDAC reserves the right to deny entry to any employee of the Contractor or his subcontractors, if the NCDAC feels security of the facility will be compromised in any way. Every person entering the NCDAC facility will be required to present photo identification every time they enter and leave the facility.
  - 2. The "NC Department of Adult Correction Criminal History Record Check Form HR 004," is located immediately following this Section.
  - 3. The NCDAC project manager will provide the awarded contractor with contact and transmittal information specific to the facility where the work will be conducted. The facility will assign one point of contact to receive, process and notify all parities of security clearances. The contractor shall transmit completed HR 004 forms only to the facility contact provided by the NCDAC project manager.
- B. <u>Security Requirements</u> All persons entering a NCDAC property must thoroughly read this Section, understand the content, and sign the "Signature Form". It is the responsibility of the Supervisor for the Contractor to ensure that this document is read and understood, that signatures are obtained, and that copies are maintained at the job site at all times. Signatures are required for Contractor and their Subcontractor's supervision and employees who enter the NCDAC property.
  - 1. "Signature Form" is located at the end of this Section.

#### 1.3 ASSIGNED REPRESENTATIVES

- A. <u>Assigned Contractor Representative</u> The Supervisor for the Contractor, (to be named at preconstruction conference) is to act as spokesperson and liaison between the Contractor and the North Carolina Department of Adult Correction, here-in-after referred to as the NCDAC.
- B. <u>NCDAC Representative</u> This representative will be named at pre-construction conference and will be the on-site NCDAC representative for this project.
- C. <u>Communications</u> All communications regarding security between the Contractor and the NCDAC are to be handled through these representatives. This NCDAC representative or his designee will be responsible for contacting the Superintendent or the Assistant Superintendent of the NCDAC facility, concerning operations and security issues as they relate to the performance of this project.
  - 1. The Contractor shall submit to the designated NCDAC representative at his earliest convenience the name of the Job Superintendent and a responsible person or contact in the home office. A general crew number size should be provided to the NCDAC representative and notification should be given if the crew size fluctuates by a large amount during any special work period.
  - 2. Anytime any Subcontractor is on site, the Contractor must provide supervision.
- D. The Designer is to be contacted for all other inquires relating to the contract and contract documents, i.e., drawings and specifications.

#### 1.4 CONDUCT REQUIREMENTS

- A. Roaming around the NCDAC property is not permitted and may result in that person being escorted from the site and revocation of the security clearance.
- B. All Contractor personnel are expected to observe proper conduct on the job site. Indecent language, acts or dress will not be tolerated. Shirts are required at all times. Anyone guilty of such violations will be immediately removed from the property.
- C. The Contractor is reminded that no food or canteen type items will be available to construction personnel through the NCDAC.
- D. Contractor is reminded that any dealings with the media or press while on site shall be approved or otherwise addressed by the NCDAC representative or his designee.
- E. Noise must be kept minimal or as reasonably achievable.
- F. <u>Contraband</u> The Contractor is instructed that it is a violation of North Carolina law to allow any person to bring firearms, alcoholic beverages of any type, or drugs other than those prescribed by a doctor onto the premises including the parking lot of a NCDAC property.
- G. <u>Inmate Fraternization</u> The Contractor is instructed that no construction personnel are to communicate in any way with inmate personnel of the facility. Construction personnel are also requested to always remain within the construction area during working hours. Communication with inmate personnel" shall include but not be limited to the following:
  - 1. Borrowing from or lending anything to an offender
  - 2. Accept any gift/personal service from an offender, unless authorized by law, or give gifts or personal service
  - 3. Tip an offender
  - 4. Sell or give any offender any intoxicating drink, barbiturate or stimulant drug, or any narcotic, poison or poisonous substance, except upon the prescription of a physician and approval of the Superintendent or designee

- 5. Convey to or from an offender any letters or oral messages or any instrument or weapon by which to affect an escape, or that will aid in an assault or riot
- 6. Trade with an offender for clothing or stolen goods
- 7. Sell to an offender any article forbidden by Division of Prisons
- 8. Use abusive, indecent or profane language, or profane gestures, in the presence of an offender
- 9. Curse an offender
- 10. Knowingly make or maintain contact with or in any way associate with a member of an offender's family or close associates, unless duties so require or authorization to do so have been obtained by the Division Director or designee
- 11. Engage in sexual relations of any kind with an offender
- 12. Knowingly enter into a business relationship with an offender or their family member of close associate
- H. <u>Smoking</u> Smoking is prohibited on the campus of any NCDAC facility.
- I. <u>Tool Control</u> The Contractor will be responsible for control and accountability of all of his tools, equipment, and materials of construction.
  - 1. As few tools as possible to accomplish the required work should be brought into the NCDAC facility.
  - 2. Some of the Contractor's tools may be subject to special restrictions such as all cordless powder activated tools and tools classified by the NCDAC as *hazardous or Class "A"*. The Contractor should control those tools carefully, and account for them daily, and remove them, or secure them to the satisfaction of the NCDAC Representative at the end of each working day.
    - a. Class "A" tools are tools that can be used by inmates either in effecting an escape or in causing serious injury or death to either staff, visitors, or other inmates and include, but are not limited to:
      - 1) Ladders
      - 2) Jacks
      - 3) Hacksaw Blades
      - 4) Pipe Wrenches
      - 5) Knives
      - 6) Metal Cutting Equipment
      - 7) Wire Cutters
      - 8) Files
      - 9) Cutting Torches and Cutting Tips
      - 10) Pipe Cutters and Bolt Cutters
      - 11) Axes/Emery Wheels and Drill Bits
      - 12) Portable Grinders or Similar Machines
  - 3. The NCDAC reserves the right to request all tools be removed at the completion of each workday. A list of tools classified as hazardous or Class A is attached See the NCDAC site representative for further requirements.
- J. <u>Photography</u> The Contractor so desiring may take progress pictures of construction; however, the Contractor is warned that to photograph an inmate of a correctional facility without permission is a violation of North Carolina law.
- K. <u>Vehicle Keys</u> Vehicle keys for Contractor's vehicles parked within the facility shall be housed at the Gate House or officer station at the entrance or area designated by the NCDAC representative. Vehicles or equipment frequently moved within the facility for the performance of work shall have the keys stored at an approved location under NCDAC Custody control when the vehicle is not in use.

#### 1.5 WORKING HOURS

A. A definite consistent time pattern of working days and hours is to be established and agreed upon between the Contractor and the NCDAC facility consistent with the contract. In the event that a job condition requires a variation of these hours on a day-to-day basis, or work on a weekend, it is requested that the Contractor notify the NCDAC site representative of the necessary change in working hours as far in advance as practical. The NCDAC reserves the right to deny a variation of the standard work hours and especially a Contractor's request to work on a weekend may be subject to be denied.

#### 1.6 USE OF PROPERTY

- A. The site is to be organized and debris minimized. It is important that all construction debris be controlled and kept from any area accessible to an inmate unless it is under direct and constant observation. Any spills of chemicals or fuel by the Contractor will be his to clean and properly dispose of. The Contractor is required to report any spills to the NCDAC.
- B. The Contractor shall notify the NCDAC of any hazardous materials / chemicals to be brought on site.
- C. <u>Storage and Staging Areas</u> On-site storage is limited and shall be allocated, and approved by the NCDAC Site representative at the beginning of the job.
  - 1. The Contractor shall use extreme caution when moving equipment in or out of the project site and buildings and shall coordinate these activities with the NCDAC Site Representative.
  - 2. Absolutely no shipments of materials, etc., will be received or cared for by any NCDAC personnel at the facility. Shipments coming into the site after working hours will not be received and will be sent back for delivery the next working day.
- D. <u>Existing Drives, Parking, and Roadways</u> The driveways and roadways around the property are not to be blocked completely at any time during the course of the project. Keep streets clean, free of mud and debris on a timely basis. Any blockage of streets or roadways is to be coordinated with the NCDAC.
  - 1. Unless otherwise addressed by an approved contract, damage to sidewalks, driveways, or other conveyance, and underground utilities, will be the responsibility of the Contractor to repair.
  - 2. A specific parking area will be set aside for the construction personnel and the Contractor must assist in enforcing that all construction personnel park within this area. All vehicles must be locked at all times and no keys left in any vehicle at any time.
- E. <u>Toilet Facilities</u> The Contractor and the NCDAC representative will establish appropriate restroom usage protocol for the contractor's staff while on site.
- F. <u>Utility Disruptions</u> Site emergency water cut-off locations are available upon request. Utility disruptions required by the job shall be coordinated with the NCDAC before the disruption at least 48 hours in advance. Special circumstances may require this notification to be extended.
  - 1. The Contractor is responsible for the repair of any utility or service disturbed or disconnected.
  - 2. Restoration of utility service is expected within the same day unless alternate arrangements have been accepted by the NCDAC.
- G. <u>Temporary Controls</u> The Contractor will use orange mesh fencing or other approved means to segregate and control the work area.

# **PART 2 - PRODUCTS-NOT USED**

# PART 3 - EXECUTION

### Signature Page (See Article1.2.B)

SIGNATURES: To be signed after reading, or receiving an explanation, of the above security rules. (Note 1.):

Contractor Supervisor:	Date:
Crew Member:	Date:

Notes:

1. Required for Contractor and their Subcontractor's supervision and employees who enter the NCDAC facility. Copy this signature sheet as required.

END OF SECTION 01 1100



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Form last revised September 2013 (2) NC Department of Public Safety

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# SECTION 01 2500 - SUBSTITUTION PROCEDURES

## PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for substitutions.
- B. Related Requirements:
  - 1. Section 01 6000 "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.

#### 1.2 **DEFINITIONS**

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
  - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
  - 2. Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required in order to meet other Project requirements but may offer advantage to Contractor or Owner.

#### 1.3 ACTION SUBMITTALS

- A. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
    - a. Statement indicating why specified product or fabrication or installation method cannot be provided, if applicable.
    - b. Coordination of information, including a list of changes or revisions needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
    - c. Detailed comparison of significant qualities of proposed substitutions with those of the Work specified. Include annotated copy of applicable Specification Section. Significant qualities may include attributes, such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
    - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
    - e. Samples, where applicable or requested.
    - f. Certificates and qualification data, where applicable or requested.
    - g. List of similar installations for completed projects, with project names and addresses as well as names and addresses of architects and owners.
    - h. Material test reports from a qualified testing agency, indicating and interpreting test results for compliance with requirements indicated.
    - i. Research reports evidencing compliance with building code in effect for Project, from ICC-ES.
    - j. Detailed comparison of Contractor's construction schedule using proposed substitutions with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be

provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.

- k. Cost information, including a proposal of change, if any, in the Contract Sum.
- I. Contractor's certification that proposed substitution complies with requirements in the Contract Documents, except as indicated in substitution request, is compatible with related materials and is appropriate for applications indicated.
- m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
- 2. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven days of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
  - a. Forms of Acceptance: Change Order, Construction Change Directive, or Architect's Supplemental Instructions for minor changes in the Work.
  - b. Use product specified if Architect does not issue a decision on use of a proposed substitution within time allocated.

### 1.4 QUALITY ASSURANCE

A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

#### 1.5 **PROCEDURES**

A. Coordination: Revise or adjust affected work as necessary to integrate work of the approved substitutions.

### 1.6 SUBSTITUTIONS

- A. Substitutions for Cause: Submit requests for substitution immediately on discovery of need for change, but not later than 15 days prior to time required for preparation and review of related submittals.
  - 1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
    - a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
    - b. Substitution request is fully documented and properly submitted.
    - c. Requested substitution will not adversely affect Contractor's construction schedule.
    - d. Requested substitution has received necessary approvals of authorities having jurisdiction.
    - e. Requested substitution is compatible with other portions of the Work.
    - f. Requested substitution has been coordinated with other portions of the Work.
    - g. Requested substitution provides specified warranty.
    - h. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.
- B. Substitutions for Convenience: Not allowed.

# PART 2 - EXECUTION (Not Used)

END OF SECTION 01 2500

# SECTION 01 2600 - CONTRACT MODIFICATION PROCEDURES

## PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section includes administrative and procedural requirements for handling and processing Contract modifications.

#### 1.2 MINOR CHANGES IN THE WORK

A. Architect will issue supplemental instructions (ASI) authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on form included in Project Manual or the Architect's office standard if not included.

#### 1.3 **PROPOSAL REQUESTS**

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  - 1. Work Change Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
  - 2. Within time specified in Proposal Request or 20 days, when not otherwise specified, after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
    - b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
    - c. Include costs of labor and supervision directly attributable to the change.
    - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect.
  - 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  - 2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
  - 3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
  - 4. Include costs of labor and supervision directly attributable to the change.
  - 5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.

6. Comply with requirements in Section 01 2500 "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.

#### 1.4 CHANGE ORDER PROCEDURES

A. On Owner's approval of a Work Change Proposal Request, the Architect will issue a Change Order through InterScope+ for approval by the Contractor, the Owner, and the SCO. The final Change Order will be on the Change Order Form included in Project Manual or on the NC SCO Website if not included. All Change Orders are processed through the SCO InterScope+ system.

#### 1.5 CONSTRUCTION FIELD ORDER

- A. Construction Field Order: Architect may issue a Construction Field Order. Construction Field Order instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
  - 1. Construction Field Orders contain a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time. Form of Construction Field Order shall be as included in the Project Manual or on the NC SCO Website if not included.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Field Order.
  - 1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

# PART 2 - PRODUCTS (Not Used)

# **PART 3 - EXECUTION (Not Used)**

END OF SECTION 01 2600

# SECTION 01 2900 - PAYMENT PROCEDURES

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.
- B. NCDAC may secure the Work of this contract under several project delivery methods. If the Owner Representative is designated during the Pre-Bid Conference as a Project Manager (Internal Design), the Payment Applications and Schedule of Values shall be submitted to that assigned Project Manager. Otherwise, these documents shall be submitted to the Architect.
- C. Use forms listed herein. Should the forms not be listed by name, use either the forms contained in this project manual or on the NC SCO website. If the forms are neither listed herein or contained in this manual, use forms that are required by the State of North Carolina State Construction Office.

#### 1.2 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule.
  - 1. Coordinate line items in the schedule of values with items required to be indicated as separate activities in Contractor's construction schedule.
  - 2. Submit the schedule of values to Architect at earliest possible date, but no later than seven days before the date scheduled for submittal of initial Applications for Payment.
- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one-line item for each Specification Section.
  - 1. Arrange schedule of values consistent with format of AIA Document G703.
  - 2. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum.
  - 3. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
    - Differentiate between items stored on-site and items stored off-site.
  - 4. Overhead Costs: Include total cost and proportionate share of general overhead and profit for each line item.
  - 5. Closeout Costs: Include separate line items under Contractor and principal subcontracts for Project closeout requirements in an amount totaling five percent of the Contract Sum and subcontract amount.
  - 6. Schedule of Values Revisions: Revise the schedule of values when Change Orders or Construction Field Order result in a change in the Contract Sum. Include at least one separate line item for each Change Order and Construction Field Order.

#### 1.3 APPLICATIONS FOR PAYMENT

a.

A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.

- B. Payment Application Times: The date for each progress payment is indicated in the Agreement between Owner and Contractor. The period of construction work covered by each Application for Payment is the period indicated in the Agreement.
- C. Payment Application Times: Submit Application for Payment to Architect by the fifth (5th) of the month. The period covered by each Application for Payment is one month, ending on the last day of the month.
  - 1. Submit draft copy of Application for Payment seven days prior to due date for review by Architect.
- D. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.
- E. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  - 1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
  - 2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
  - 3. Include amounts of Change Orders and Construction Field Orders issued before last day of construction period covered by application.
- F. Transmittal: Submit three signed and notarized original copies of each Application for Payment to Architect by a method ensuring receipt within 24 hours. One copy shall include waivers of lien and similar attachments if required.
  - 1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- G. State of North Carolina County Sales and Use Tax Report: With each Application for Payment, submit both the Summary Totals and Certification Sheet and the back-up Sales and Use Tax Detail Sheet. Use the form at the end of this Section or, if not located at the end of this Section, that is available on the SCO website.
- H. Waivers of Mechanic's Lien: With the Final Application for Payment, submit waivers of mechanic's lien from entities lawfully entitled to file a mechanic's lien arising out of the Contract and related to the Work covered by the payment.
  - 1. Submit waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  - 2. When an application shows completion of an item, submit conditional final or full waivers.
  - 3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  - 4. Submit final Application for Payment with conditional final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
  - 5. Waiver Forms: Submit executed waivers of lien on forms acceptable to Owner.
- I. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
  - 1. List of subcontractors.
  - 2. Schedule of values.
  - 3. Contractor's construction schedule (preliminary if not final).
  - 4. Products list (preliminary if not final).
  - 5. Schedule of unit prices if unit prices are used.

- 6. Submittal schedule (preliminary if not final).
- 7. List of Contractor's staff assignments.
- 8. List of Contractor's principal consultants.
- 9. Copies of building permits.
- 10. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
- 11. Initial progress report.
- 12. Report of preconstruction conference.
- 13. Documentation required under Section 01 1100 Security Requirements.
- J. Application for Payment at Final Completion: Submit an Application for Payment showing 100 percent completion for portion of the Work claimed as complete.
  - 1. Include documentation supporting claim that the Work is complete and a statement showing an accounting of changes to the Contract Sum.
  - 2. This application shall reflect Certificate(s) of Beneficial Occupancies issued previously for Owner occupancy of designated portions of the Work.
  - 3. Evidence of completion of Project closeout requirements.
  - 4. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  - 5. Updated final statement, accounting for final changes to the Contract Sum.
  - 6. Contractor's Affidavit of Payment of Debts and Claims SCO Form. Form is at the end of this section or as located on the SCO Website.
  - 7. Affidavit of Release of Lien SCO Form. Form is at the end of this section or as located on the SCO Website.
  - Consent of Surety for Final Payment when Performance and Payment Bonds have been provided - SCO Form. Form is at the end of this section or as located on the SCO Website.
  - 9. Evidence that claims have been settled.
  - 10. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Final Acceptance or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
  - 11. Final liquidated damages settlement statement.

# PART 2 - PRODUCTS (Not Used)

# **PART 3 - EXECUTION (Not Used)**

END OF SECTION 01 2900

# SECTION 01 3100 - PROJECT MANAGEMENT AND COORDINATION

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. General coordination procedures.
  - 2. Coordination drawings.
  - 3. RFIs.
  - 4. Digital project management procedures.
  - 5. Project meetings.
- B. Related Requirements:
  - 1. Section 01 7300 "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
- C. Project Managers
  - The North Carolina Department of Adult Correction Central Engineering frequently uses in-house staff – Project Managers - to manage projects. These managers serve as expediters and facilitate communications and processes during all phases of a project. When a Project Manager is assigned to a project, the contractor will be notified prior to bidding and all correspondence and project related issues must be conducted through that assigned Project Manager.

#### 1.2 DEFINITIONS

A. RFI: Request for Information. Request from Owner, Architect, Project Manager, or Contractor seeking information required by or clarifications of the Contract Documents.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
  - 1. Name, address, telephone number, and email address of entity performing subcontract or supplying products.
  - 2. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Drawing number and detail references, as appropriate, covered by subcontract.

#### 1.4 GENERAL COORDINATION PROCEDURES

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - 2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.

#### 1.5 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to requirements in individual Sections, and additionally where installation is not completely indicated on Shop Drawings, where limited space availability necessitates coordination, or if coordination is required to facilitate integration of products and materials fabricated or installed by more than one entity.
  - 1. Content: Project-specific information, drawn accurately to a scale large enough to indicate and resolve conflicts. Do not base coordination drawings on standard printed data. Include the following information, as applicable:
    - a. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, and electrical systems.
    - b. Indicate dimensions shown on Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternative sketches to Architect (through the Project Manager when applicable) indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
- B. Coordination Digital Data Files: Prepare coordination digital data files according to the following requirements:
  - 1. File Preparation Format: DWG, operating in Microsoft Windows operating system.
  - 2. File Submittal Format: Submit or post coordination drawing files using PDF format.
  - 3. Architect will furnish Contractor one set of digital data files of Drawings for use in preparing coordination digital data files.
    - a. Architect makes no representations as to the accuracy or completeness of digital data files as they relate to Drawings.
    - b. Digital Data Software Program: Drawings are available in Bluebeam Revu.
    - c. Contractor shall execute a data licensing agreement in the form of AIA Document C106.

#### 1.6 REQUEST FOR INFORMATION (RFI)

- A. General: Immediately on discovery of the need for additional information, clarification, or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
  - 1. Architect will return without response those RFIs submitted to Architect (through the Project Manager when applicable) by other entities controlled by Contractor.
  - 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
  - 1. Project name.
  - 2. Project number.
  - 3. Date.
  - 4. Name of Contractor.
  - 5. Name of Architect.
  - 6. RFI number, numbered sequentially.
  - 7. RFI subject.
  - 8. Specification Section number and title and related paragraphs, as appropriate.
  - 9. Drawing number and detail references, as appropriate.
  - 10. Field dimensions and conditions, as appropriate.
  - 11. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  - 12. Contractor's signature.

- 13. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
- C. RFI Forms: Form bound in Project Manual or as approved by the Architect if not bound herein
- D. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow seven working days for Architect's response for each RFI. RFIs received by Architect (through the Project Manager when applicable) after 1:00 p.m. will be considered as received the following working day.
  - 1. The following Contractor-generated RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for approval of Contractor's means and methods.
    - d. Requests for coordination information already indicated in the Contract Documents.
    - e. Requests for adjustments in the Contract Time or the Contract Sum.
    - f. Requests for interpretation of Architect's actions on submittals.
    - g. Incomplete RFIs or inaccurately prepared RFIs.
  - 2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt by Architect (through the Project Manager when applicable) of additional information.
  - 3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Section 01 2600 "Contract Modification Procedures."
    - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect (through the Project Manager when applicable) in writing within 10 days of receipt of the RFI response.
- E. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log weekly. Include the following:
  - 1. Project name.
  - 2. Name and address of Contractor.
  - 3. Name and address of Architect.
  - 4. RFI number including RFIs that were returned without action or withdrawn.
  - 5. RFI description.
  - 6. Date the RFI was submitted.
  - 7. Date Architect's response was received.
- F. On receipt of Architect's action (through the Project Manager when applicable), update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect (through the Project Manager as appropriate) within seven days if Contractor disagrees with response.

#### 1.7 DIGITAL PROJECT MANAGEMENT PROCEDURES

- A. Architect's Data Files Not Available: Architect will not provide Architect's CAD drawing digital data files for Contractor's use during construction. However, the Contractor may obtain .PDF files for use as described below.
  - 1. Digital data files may be used by Contractor in preparing coordination drawings, Shop Drawings, and Project record Drawings.
  - 2. Architect makes no representations as to the accuracy or completeness of digital data files as they relate to Contract Drawings.
  - 3. Digital Drawing Software Program: Contract Drawings are available in Bluebeam Revu format.

- B. PDF Document Preparation: Where PDFs are required to be submitted to Architect, prepare as follows:
  - 1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
  - 2. Name file with submittal number or other unique identifier, including revision identifier.
  - 3. Certifications: Where digitally submitted certificates and certifications are required, provide a digital signature with digital certificate on where indicated.

#### 1.8 **PROJECT MEETINGS**

- A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.
- B. Preconstruction Conference: Architect (through the Project Manager when applicable) will schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect, but no later than 15 days after execution of the Agreement.
  - 1. Attendees: Authorized representatives of Owner Architect, and Project Manager (when applicable) and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 2. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Responsibilities and personnel assignments.
    - b. Security requirements.
    - c. Tentative construction schedule.
    - d. Phasing.
    - e. Critical work sequencing and long lead items.
    - f. Designation of key personnel and their duties.
    - g. Lines of communications.
    - h. Use of web-based Project software.
    - i. Procedures for processing field decisions and Change Orders.
    - j. Procedures for RFIs.
    - k. Procedures for testing and inspecting.
    - I. Procedures for processing Applications for Payment.
    - m. Distribution of the Contract Documents.
    - n. Submittal procedures.
    - o. Sustainable design requirements.
    - p. Preparation of Record Documents.
    - q. Use of the premises and existing building(s) as applicable.
    - r. Work restrictions.
    - s. Working hours.
    - t. Owner's occupancy requirements.
    - u. Responsibility for temporary facilities and controls.
    - v. Procedures for moisture and mold control.
    - w. Procedures for disruptions and shutdowns.
    - x. Construction waste management and recycling.
    - y. Parking availability.
    - z. Office, work, and storage areas.
    - aa. Equipment deliveries and priorities.
    - bb. First aid.
    - cc. Security.
    - dd. Progress cleaning.

- 3. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Sustainable Design Requirements Coordination Conference (when applicable): Owner will schedule and conduct a sustainable design coordination conference before starting construction, at a time convenient to Owner, Architect, and Contractor.
  - 1. Attendees: Authorized representatives of Owner, Owner's Commissioning Authority, Architect, Project Manager (when applicable) and their consultants; Contractor and its superintendent and sustainable design coordinator; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 2. Agenda: Discuss items of significance that could affect meeting sustainable design requirements, including the following:
    - a. Sustainable design Project checklist.
    - b. General requirements for sustainable design-related procurement and documentation.
    - c. Project closeout requirements and sustainable design certification procedures.
    - d. Role of sustainable design coordinator.
    - e. Construction waste management.
    - f. Construction operations and sustainable design requirements and restrictions.
  - 3. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- D. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity when required by other sections and when required for coordination with other construction.
  - 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect (through the Project Manager when applicable) of scheduled meeting dates.
  - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
    - a. Contract Documents.
    - b. Options.
    - c. Related RFIs.
    - d. Related Change Orders.
    - e. Purchases.
    - f. Deliveries.
    - g. Submittals.
    - h. Sustainable design requirements.
    - i. Review of mockups.
    - j. Possible conflicts.
    - k. Compatibility requirements.
    - I. Time schedules.
    - m. Weather limitations.
    - n. Manufacturer's written instructions.
    - o. Warranty requirements.
    - p. Compatibility of materials.
    - q. Acceptability of substrates.
    - r. Temporary facilities and controls.
    - s. Space and access limitations.
    - t. Regulations of authorities having jurisdiction.
    - u. Testing and inspecting requirements.
    - v. Installation procedures.
    - w. Coordination with other work.
- x. Required performance results.
- y. Protection of adjacent work.
- z. Protection of construction and personnel.
- 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
- 4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
- 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- E. Progress Meetings: Conduct progress meetings at regular intervals but not less than once per month.
  - 1. Coordinate dates of meetings with preparation of payment requests.
  - 2. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
      - 1) Review schedule for next period.
    - b. Review present and future needs of each entity present, including the following:
      - 1) Interface requirements.
      - 2) Sequence of operations.
      - 3) Security requirements.
      - 4) Resolution of component conflicts.
      - 5) Status of submittals.
      - 6) Status of sustainable design documentation.
      - 7) Deliveries.
      - 8) Off-site fabrication.
      - 9) Access.
      - 10) Site use.
      - 11) Temporary facilities and controls.
      - 12) Progress cleaning.
      - 13) Quality and work standards.
      - 14) Status of correction of deficient items.
      - 15) Field observations.
      - 16) Status of RFIs.
      - 17) Status of Proposal Requests.
      - 18) Pending changes.
      - 19) Status of Change Orders.
      - 20) Pending claims and disputes.
      - 21) Documentation of information for payment requests.
  - 4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
    - a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or

### PROJECT MANAGEMENT AND COORDINATION

recognized. Issue revised schedule concurrently with the report of each meeting.

# PART 2 - PRODUCTS (Not Used)

# **PART 3 - EXECUTION (Not Used)**

END OF SECTION 01 3100

# SECTION 01 3200 - CONSTRUCTION PROGRESS DOCUMENTATION

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Contractor's Construction Schedule.
  - 2. Construction schedule updating reports.
  - 3. Daily construction reports.
  - 4. Site condition reports.
- B. Related Requirements:
  - 1. Section 01 1100 "Security Requirements" for additional requirements.

### 1.2 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction Project. Activities included in a construction schedule consume time and resources.
  - 1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
  - 2. Predecessor Activity: An activity that precedes another activity in the network.
  - 3. Successor Activity: An activity that follows another activity in the network.
- B. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.
- C. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- D. Event: The starting or ending point of an activity.
- E. Float: The measure of leeway in starting and completing an activity.
  - 1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
  - 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
  - 3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.

### 1.3 INFORMATIONAL SUBMITTALS

- A. Format for Submittals: Submit required submittals in the following format:
  - 1. Working electronic copy of schedule file, where indicated.
  - 2. PDF file.
- B. Startup Network Diagram: Of size required to display entire network for entire construction period. Show logic ties for activities.

- C. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
- D. CPM Reports: Concurrent with CPM schedule, submit each of the following reports. Format for each activity in reports shall contain activity number, activity description, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float in calendar days.
  - 1. Activity Report: List of activities sorted by activity number and then early start date, or actual start date if known.
  - 2. Logic Report: List of preceding and succeeding activities for each activity, sorted in ascending order by activity number and then by early start date, or actual start date if known.
  - 3. Total Float Report: List of activities sorted in ascending order of total float.
- E. Construction Schedule Updating Reports: Submit with Applications for Payment.
- F. Daily Construction Reports: Submit at weekly intervals.
- G. Site Condition Reports: Submit at time of discovery of differing conditions.

## 1.4 COORDINATION

- A. Coordinate Contractor's Construction Schedule with the schedule of values, submittal schedule, progress reports, payment requests, and other required schedules and reports.
  - 1. Secure time commitments for performing critical elements of the Work from entities involved.
  - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

### 1.5 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. For construction contracts \$450,000.00 and above, the Construction Schedule shall be a CPM Schedule. For construction contracts less than \$450,000.00, the Construction Schedule shall either be a Bare/Gantt Chart Type Schedule or a CPM-Type Schedule at Contractor's option.
- B. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.
- C. Time Frame: Extend schedule from date established for the Notice of Award to date of Final Acceptance.
  - 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- D. Activities: Treat each floor or separate area as a separate numbered activity for each main element of the Work. Comply with the following:
  - 1. Activity Duration: Define activities so no activity is longer than 20 days, unless specifically allowed by Architect.
  - 2. Procurement Activities: Include procurement process activities for the following long lead items and major items, requiring a cycle of more than 60 days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
  - 3. Submittal Review Time: Include review and resubmittal times indicated in Section 01 3300 "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's Construction Schedule with submittal schedule.

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- 4. Startup and Testing Time: Include no fewer than 15 days for startup and testing.
- 5. Commissioning Time: Include no fewer than 15 days for commissioning.
- 6. Beneficial Occupancy: In cases where Beneficial Occupancy is required by the Owner, indicate completion in advance of date established for Beneficial Occupancy, and allow time for Architect's/Project Manager's administrative procedures necessary for Beneficial Occupancy.
- 7. Punch List and Final Completion: Include not more than 30 days for completion of punch list items and Final Completion.
- E. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule and show how the sequence of the Work is affected.
  - 1. Phasing: Arrange list of activities on schedule by phase.
  - 2. Owner-Furnished Products: Include a separate activity for each product. Include delivery date indicated in Section 01 1000 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
  - 3. Work Restrictions: Show the effect of the following items on the schedule. Carefully coordinate with Section 01 1100 "Security Requirements" for access to, and use of, the facilities:
    - a. Coordination with existing construction.
    - b. Limitations of continued occupancies.
    - c. Uninterruptible services.
    - d. Partial occupancy before Final Completion (Beneficial Occupancy).
    - e. Use-of-premises restrictions.
    - f. Provisions for future construction.
    - g. Seasonal variations.
    - h. Environmental control.
- F. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Beneficial Occupancy, and Final Completion.
- G. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
  - 1. Unresolved issues.
  - 2. Unanswered Requests for Information.
  - 3. Rejected or unreturned submittals.
  - 4. Notations on returned submittals.
  - 5. Pending modifications affecting the Work and the Contract Time.
- H. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
  - 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
  - 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
  - 3. As the Work progresses, indicate final completion percentage for each activity.
- I. Recovery Schedule: When periodic update indicates the Work is 14 or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, equipment required to achieve compliance, and date by which recovery will be accomplished.

- J. Distribution: Distribute copies of approved schedule to Architect/Project Manager, Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
  - 1. Post copies in Project meeting rooms and temporary field offices.
  - 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

## 1.6 BAR/GANTT CHART SCHEDULE REQUIREMENTS

- A. Bar/Gantt Chart Schedule: Submit a comprehensive, fully developed, horizontal, Gantt-charttype, Contractor's Construction Schedule within 15 days of date established for the Notice to Proceed.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
  - 1. For construction activities that require three months or longer to complete, indicate an estimated completion percentage in 10 percent increments within time bar.

## 1.7 CPM SCHEDULE REQUIREMENTS

- A. General: Prepare network diagrams using AON (activity-on-node) format.
- B. Startup Network Diagram: Submit diagram within 14 days of date established for the Notice of Award. Outline significant construction activities for the first 90 days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.
- C. CPM Schedule: Prepare Contractor's Construction Schedule using a time-scaled CPM network analysis diagram for the Work.
  - 1. Develop network diagram in sufficient time to submit CPM schedule so it can be accepted for use no later than 15 days after date established for the Notice to Proceed.
    - a. Failure to include any work item required for performance of this Contract shall not excuse Contractor from completing all work within applicable completion dates.
  - 2. Conduct educational workshops to train and inform key Project personnel, including subcontractors' personnel, in proper methods of providing data and using CPM schedule information.
  - 3. Establish procedures for monitoring and updating CPM schedule and for reporting progress. Coordinate procedures with progress meeting and payment request dates.
  - 4. Use "one workday" as the unit of time for individual activities. Indicate nonworking days and holidays incorporated into the schedule to coordinate with the Contract Time.
- D. CPM Schedule Preparation: Prepare a list of all activities required to complete the Work. Using the startup network diagram, prepare a skeleton network to identify probable critical paths.
  - 1. Activities: Indicate the estimated time duration, sequence requirements, and relationship of each activity in relation to other activities. Include estimated time frames for the following activities:
    - a. Preparation and processing of submittals.
    - b. Mobilization and demobilization.
    - c. Purchase of materials.
    - d. Delivery.

- e. Fabrication.
- f. Utility interruptions.
- g. Installation.
- h. Work by Owner that may affect or be affected by Contractor's activities.
- i. Testing and inspection.
- j. Commissioning.
- k. Punch list and final completion.
- I. Activities occurring following final completion.
- 2. Critical Path Activities: Identify critical path activities, including those for interim completion dates. Scheduled start and completion dates shall be consistent with Contract milestone dates.
- 3. Processing: Process data to produce output data on a computer-drawn, time-scaled network. Revise data, reorganize activity sequences, and reproduce as often as necessary to produce the CPM schedule within the limitations of the Contract Time.
- 4. Format: Mark the critical path. Locate the critical path near center of network; locate paths with most float near the edges.
  - a. Subnetworks on separate sheets are permissible for activities clearly off the critical path.
- E. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time-impact analysis using a network fragment to demonstrate the effect of the proposed change on the overall Project schedule.
- F. Initial Issue of Schedule: Prepare initial network diagram from a sorted activity list indicating straight "early start-total float." Identify critical activities. Prepare tabulated reports showing the following:
  - 1. Contractor or subcontractor and the Work or activity.
  - 2. Description of activity.
  - 3. Main events of activity.
  - 4. Immediate preceding and succeeding activities.
  - 5. Early and late start dates.
  - 6. Early and late finish dates.
  - 7. Activity duration in workdays.
  - 8. Total float or slack time.
  - 9. Average size of workforce.
  - 10. Dollar value of activity (coordinated with the schedule of values).
- G. Schedule Updating: Concurrent with making revisions to schedule, prepare tabulated reports showing the following:
  - 1. Identification of activities that have changed.
  - 2. Changes in early and late start dates.
  - 3. Changes in early and late finish dates.
  - 4. Changes in activity durations in workdays.
  - 5. Changes in the critical path.
  - 6. Changes in total float or slack time.
  - 7. Changes in the Contract Time.
- H. Value Summaries: Prepare two cumulative value lists, sorted by finish dates.
  - 1. In first list, tabulate activity number, early finish date, dollar value, and cumulative dollar value.
  - 2. In second list, tabulate activity number, late finish date, dollar value, and cumulative dollar value.
  - 3. In subsequent issues of both lists, substitute actual finish dates for activities completed as of list date.
  - 4. Prepare list for ease of comparison with payment requests; coordinate timing with progress meetings.

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- a. In both value summary lists, tabulate "actual percent complete" and "cumulative value completed" with total at bottom.
- b. Submit value summary printouts one week before each regularly scheduled progress meeting.

## 1.8 REPORTS

- A. Coordinate requirement in this Article with any additional requirement contained in Section 01 1100 "Security Requirements."
- B. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
  - 1. List of subcontractors at Project site.
  - 2. List of separate contractors at Project site.
  - 3. Approximate count of personnel at Project site.
  - 4. Equipment at Project site.
  - 5. Material deliveries.
  - 6. High and low temperatures and general weather conditions, including presence of rain or snow.
  - 7. Testing and inspection.
  - 8. Accidents.
  - 9. Meetings and significant decisions.
  - 10. Stoppages, delays, shortages, and losses.
  - 11. Meter readings and similar recordings.
  - 12. Emergency procedures.
  - 13. Orders and requests of authorities having jurisdiction.
  - 14. Change Orders received and implemented.
  - 15. Construction Field Orders received and implemented.
  - 16. Services connected and disconnected.
  - 17. Equipment or system tests and startups.
  - 18. Partial completions and occupancies.
- C. Weekly Construction Progress Report: Prepare a weekly construction progress report recording the following information at a minimum and submit it at the end of each week:
  - 1. Progress of the work over the week listing items of work performed.
  - 2. The percent complete of construction.
  - 3. Projected work summary for the upcoming week.
  - 4. Any issues that require guidance from the Designer or the Owner.
  - 5. Photographic documentation of the progress of the work in sufficient detail to reflect the stated percentage completeness of the work.
- D. Site Condition Reports: Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

# PART 2 - PRODUCTS (Not Used)

# **PART 3 - EXECUTION (Not Used)**

END OF SECTION 01 3200

# SECTION 01 3300 - SUBMITTAL PROCEDURES

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Submittal schedule requirements.
  - 2. Administrative and procedural requirements for submittals.

### 1.2 DEFINITIONS

- A. <u>Action Submittals</u>: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."
- B. <u>Informational Submittals</u>: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."

### 1.3 SUBMITTAL SCHEDULE

A. <u>Submittal Schedule</u>: Submit, as an action submittal, a list of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Architect and additional time for handling and reviewing submittals required by those corrections.

### 1.4 SUBMITTAL FORMATS

- A. <u>Submittal Information</u>: Include the following information in each submittal:
  - 1. Project name.
  - 2. Date.
  - 3. Name of Architect.
  - 4. Name of Construction Manager.
  - 5. Name of Contractor.
  - 6. Name of firm or entity that prepared submittal.
  - 7. Names of subcontractor, manufacturer, and supplier.
  - 8. Unique submittal number, including revision identifier. Include Specification Section number with sequential alphanumeric identifier; and alphanumeric suffix for resubmittals.
  - 9. Category and type of submittal.
  - 10. Submittal purpose and description.
  - 11. Number and title of Specification Section, with paragraph number and generic name for each of multiple items.
  - 12. Drawing number and detail references, as appropriate.
  - 13. Indication of full or partial submittal.
  - 14. Location(s) where product is to be installed, as appropriate.
  - 15. Other necessary identification.
  - 16. Remarks.
  - 17. Signature of transmitter.
- B. <u>Options</u>: Identify options requiring selection by Architect.

- C. <u>Deviations and Additional Information</u>: On each submittal, clearly indicate deviations from requirements in the Contract Documents, including minor variations and limitations; include relevant additional information and revisions, other than those requested by Architect on previous submittals. Indicate by highlighting on each submittal or noting on attached separate sheet.
- D. <u>PDF Submittals</u>: Prepare submittals as PDF package, incorporating complete information into each PDF file. Name PDF file with submittal number followed by the name of the specification section.

## 1.5 SUBMITTAL PROCEDURES

- A. Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
  - 1. Email: Prepare submittals as PDF package and transmit to Architect by sending via email. Include PDF transmittal form. Include information in email subject line as requested by Architect or as **SCO ID # - Specification Section # - Three-word description of the** *content of the submittal*.
- B. <u>Coordination</u>: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
  - 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
  - 4. Note that the product data portion of all submittals, when submitted concurrently with samples that require a finish selection, will be returned with the appropriate Action stamp but the exact finish selection will not be made and forwarded until all samples requiring a finish selection are obtained, reviewed, and the selections are coordinated. The Contractor is responsible for timely submission to ensure this process is completed in adequate time to ensure that all materials are obtained in adequate time to incorporate into the Work and not delay the project schedule.
- C. <u>Processing Time</u>: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
  - 1. Initial Review: Allow 15 calendar days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  - 2. Resubmittal Review: Allow 15 calendar days for review of each resubmittal.
  - 3. Please note that these processing times do not necessarily include processing time required by the NC SCO for submittals requiring NC SCO review. These submittals typically include any delegated design. Allow 22 calendar days for the initial and resubmittal reviews.
- D. <u>Resubmittals</u>: Make resubmittals in same form and number of copies as initial submittal.
- E. <u>Distribution</u>: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.

4.

F. <u>Use for Construction</u>: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect's action stamp.

## 1.6 SUBMITTAL REQUIREMENTS

- A. <u>Product Data</u>: Collect information into a single submittal for each element of construction and type of product or equipment.
  - 1. If information must be specially prepared for submittal because standard published data are unsuitable for use, submit as Shop Drawings, not as Product Data.
  - 2. Mark each copy of each submittal to show which products and options are applicable.
  - 3. Include the following information, as applicable:
    - a. Manufacturer's catalog cuts.
    - b. Manufacturer's product specifications.
    - c. Standard color charts.
    - d. Statement of compliance with specified referenced standards.
    - e. Testing by recognized testing agency.
    - f. Application of testing agency labels and seals.
    - g. Notation of coordination requirements.
    - h. Availability and delivery time information.
    - For equipment, include the following in addition to the above, as applicable:
      - a. Wiring diagrams that show factory-installed wiring.
      - b. Printed performance curves.
      - c. Operational range diagrams.
      - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
  - 5. Submit Product Data before Shop Drawings, and before, or concurrent with, Samples.
- B. <u>Shop Drawings</u>: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data unless submittal is based on Architect's digital data drawing files is otherwise permitted. The contractor must provide a signed Electronic Release Form obtained from Central Engineering or the design professional as appropriate to utilize electronic files.
  - 1. <u>Preparation</u>: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Seal and signature of professional engineer if specified.
- C. <u>Samples</u>: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other materials. *Samples are required to be submitted by physical samples and not PDF electronic files*.
  - 1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
  - 2. <u>Identification</u>: Permanently attach label on unexposed side of Samples that includes the following:
    - a. Project name and submittal number.
    - b. Generic description of Sample.
    - c. Product name and name of manufacturer.
    - d. Sample source.
    - e. Number and title of applicable Specification Section.
    - f. Specification paragraph number and generic name of each item.

- 3. <u>Paper Transmittal</u>: Include paper transmittal including complete submittal information indicated.
- 4. <u>Disposition</u>: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
  - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
  - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
- 5. <u>Samples for Initial Selection</u>: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.
  - a. <u>Number of Samples</u>: Submit one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return submittal with options selected.
- 6. <u>Samples for Verification</u>: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
  - a. <u>Number of Samples</u>: Submit three sets of Samples. Architect will retain one Sample set; remainder will be returned. Mark up and retain one returned Sample set as a project record Sample.
    - 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
    - 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.
- D. <u>Product Schedule</u>: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location.
- E. <u>Qualification Data</u>: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- F. <u>Design Data</u>: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- G. Certificates:
  - 1. <u>Certificates and Certifications Submittals:</u> Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.
  - 2. <u>Installer Certificates</u>: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
  - 3. <u>Manufacturer Certificates</u>: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.

- 4. <u>Material Certificates</u>: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- 5. <u>Product Certificates</u>: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- 6. <u>Welding Certificates</u>: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- H. Test and Research Reports:
  - 1. <u>Compatibility Test Reports:</u> Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
  - 2. <u>Field Test Reports</u>: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
  - 3. <u>Material Test Reports</u>: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
  - 4. <u>Preconstruction Test Reports</u>: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
  - 5. <u>Product Test Reports</u>: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
  - 6. <u>Research Reports</u>: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
    - a. Name of evaluation organization.
    - b. Date of evaluation.
    - c. Time period when report is in effect.
    - d. Product and manufacturers' names.
    - e. Description of product.
    - f. Test procedures and results.
    - g. Limitations of use.

# 1.7 DELEGATED-DESIGN SERVICES

- A. <u>Performance and Design Criteria:</u> Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are insufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. <u>Delegated-Design Services Certification</u>: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

### 1.8 CONTRACTOR'S REVIEW

- A. <u>Action Submittals and Informational Submittals</u>: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. <u>Contractor's Approval</u>: Indicate Contractor's approval for each submittal with a uniform approval stamp. Include name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.
  - 1. Architect *will not review* submittals received from Contractor that do not have Contractor's review and approval.

## 1.9 ARCHITECT'S REVIEW

- A. <u>Action Submittals</u>: Architect will review each submittal, indicate corrections or revisions required.
  1. PDF Submittals: Architect will indicate, via markup on each submittal, the appropriate action.
- B. <u>Informational Submittals</u>: Architect will review each submittal and will not return it or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- C. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- D. Architect will return without review submittals received from sources other than Contractor.
- E. Submittals not required by the Contract Documents will be returned by Architect without action.

# PART 2 - PRODUCTS (Not Used)

# **PART 3 - EXECUTION (Not Used)**

END OF SECTION 01 3300

# SECTION 01 3516 - ALTERATION PROJECT PROCEDURES

# PART 1 - GENERAL

### 1.1 SUMMARY

A. Section includes special procedures for alteration work.

### 1.2 **DEFINITIONS**

- A. Alteration Work: This term includes remodeling, renovation, repair, and maintenance work performed within existing spaces or on existing surfaces as part of the Project.
- B. Consolidate: To strengthen loose or deteriorated materials in place.
- C. Design Reference Sample: A sample that represents the Architect's prebid selection of work to be matched; it may be existing work or work specially produced for the Project.
- D. Dismantle: To remove by disassembling or detaching an item from a surface, using gentle methods and equipment to prevent damage to the item and surfaces; disposing of items unless indicated to be salvaged or reinstalled.
- E. Match: To blend with adjacent construction and manifest no apparent difference in material type, species, cut, form, detail, color, grain, texture, or finish; as approved by Architect.
- F. Refinish: To remove existing finishes to base material and apply new finish to match original, or as otherwise indicated.
- G. Repair: To correct damage and defects, retaining existing materials, features, and finishes. This includes patching, piecing-in, splicing, consolidating, or otherwise reinforcing or upgrading materials.
- H. Replace: To remove, duplicate, and reinstall entire item with new material. The original item is the pattern for creating duplicates unless otherwise indicated.
- I. Replicate: To reproduce in exact detail, materials, and finish unless otherwise indicated.
- J. Reproduce: To fabricate a new item, accurate in detail to the original, and from either the same or a similar material as the original, unless otherwise indicated.
- K. Retain: To keep existing items that are not to be removed or dismantled.
- L. Strip: To remove existing finish down to base material unless otherwise indicated.

## 1.3 PROJECT MEETINGS FOR ALTERATION WORK

- A. Preliminary Conference for Alteration Work: Before starting alteration work, conduct conference at Project site.
  - 1. Attendees: In addition to representatives of Owner, Architect, and Contractor, testing service representative, and chemical-cleaner manufacturer(s) shall be represented at the meeting.
  - 2. Agenda: Discuss items of significance that could affect progress of alteration work, including review of the following:

- a. Fire-prevention plan.
- b. Governing regulations.
- c. Areas where existing construction is to remain and the required protection.
- d. Hauling routes.
- e. Sequence of alteration work operations.
- f. Storage, protection, and accounting for salvaged and specially fabricated items.
- g. Existing conditions, staging, and structural loading limitations of areas where materials are stored.
- 3. Reporting: Record conference results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from conference.
- B. Coordination Meetings: Conduct coordination meetings specifically for alteration work at monthly intervals. Coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and preinstallation conferences.
  - 1. Agenda: Review and correct or approve minutes of previous coordination meeting. Review other items of significance that could affect progress of alteration work. Include topics for discussion as appropriate to status of Project.
  - 2. Reporting: Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

### 1.4 MATERIALS OWNERSHIP

A. Historic items, relics, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, antiques, and other items of interest or value to Owner that may be encountered or uncovered during the Work, regardless of whether they were previously documented, remain Owner's property.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Alteration Work Program: Submit 30 days before work begins.
- B. Fire-Prevention Plan: Submit 30 days before work begins.

### 1.6 QUALITY ASSURANCE

- A. Title X Requirement: Each firm conducting activities that disturb painted surfaces shall be a "Lead-Safe Certified Firm" according to 40 CFR 745, Subpart E, and use only workers that are trained in lead-safe work practices.
- B. Alteration Work Program: Prepare a written plan for alteration work for whole Project, including each phase or process and protection of surrounding materials during operations. Show compliance with indicated methods and procedures specified in this and other Sections. Coordinate this whole-Project alteration work program with specific requirements of programs required in other alteration work Sections.
  - 1. Dust and Noise Control: Include locations of proposed temporary dust- and noise-control partitions and means of egress from occupied areas coordinated with continuing on-site operations and other known work in progress.
  - 2. Debris Hauling: Include plans clearly marked to show debris hauling routes, turning radii, and locations and details of temporary protective barriers.
- C. Fire-Prevention Plan: Prepare a written plan for preventing fires during the Work, including placement of fire extinguishers, fire blankets, rag buckets, and other fire-control devices during each phase or process. Coordinate plan with Owner's fire-protection equipment and requirements. Include fire-watch personnel's training, duties, and authority to enforce fire safety.

D. Safety and Health Standard: Comply with ANSI/ASSE A10.6.

### 1.7 STORAGE AND HANDLING OF SALVAGED MATERIALS

- A. Salvaged Materials:
  - 1. Clean loose dirt and debris from salvaged items unless more extensive cleaning is indicated.
  - 2. Pack or crate items after cleaning; cushion against damage during handling. Label contents of containers.
  - 3. Store items in a secure area until delivery to Owner.
  - 4. Transport items to Owner's storage area on-site.
  - 5. Protect items from damage during transport and storage.
- B. Salvaged Materials for Reinstallation:
  - 1. Repair and clean items for reuse as indicated.
  - 2. Pack or crate items after cleaning and repairing; cushion against damage during handling. Label contents of containers.
  - 3. Protect items from damage during transport and storage.
  - 4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment unless otherwise indicated. Provide connections, supports, and miscellaneous materials to make items functional for use indicated.
- C. Existing Materials to Remain: Protect construction indicated to remain against damage and soiling from construction work. Where permitted by Architect, items may be dismantled and taken to a suitable, protected storage location during construction work and reinstalled in their original locations after alteration and other construction work in the vicinity is complete.
- D. Storage: Catalog and store items within a weathertight enclosure where they are protected from moisture, weather, condensation, and freezing temperatures.
  - 1. Identify each item for reinstallation with a nonpermanent mark to document its original location. Indicate original locations on plans, elevations, sections, or photographs by annotating the identifying marks.
  - 2. Secure stored materials to protect from theft.
  - 3. Control humidity so that it does not exceed 85 percent. Maintain temperatures 5 deg F or more above the dew point.

# PART 2 - PRODUCTS - (Not Used)

### PART 3 - EXECUTION

## 3.1 **PROTECTION**

- A. Protect persons, motor vehicles, surrounding surfaces of building, building site, plants, and surrounding buildings from harm resulting from alteration work.
  - 1. Use only proven protection methods, appropriate to each area and surface being protected.
  - 2. Provide temporary barricades, barriers, and directional signage to exclude the public from areas where alteration work is being performed.
  - 3. Erect temporary barriers to form and maintain fire-egress routes.
  - 4. Erect temporary protective covers over walkways and at points of pedestrian and vehicular entrance and exit that must remain in service during alteration work.
  - 5. Contain dust and debris generated by alteration work and prevent it from reaching the public or adjacent surfaces.
  - 6. Provide shoring, bracing, and supports as necessary. Do not overload structural elements.

- 7. Protect floors and other surfaces along hauling routes from damage, wear, and staining.
- 8. Provide supplemental sound-control treatment to isolate demolition work from other areas of the building.
- B. Temporary Protection of Materials to Remain:
  - 1. Protect existing materials with temporary protections and construction. Do not remove existing materials unless otherwise indicated.
  - 2. Do not attach temporary protection to existing surfaces except as indicated as part of the alteration work program.
- C. Comply with each product manufacturer's written instructions for protections and precautions. Protect against adverse effects of products and procedures on people and adjacent materials, components, and vegetation.
- D. Utility and Communications Services:
  - 1. Notify Owner, Architect, authorities having jurisdiction, and entities owning or controlling wires, conduits, pipes, and other services affected by alteration work before commencing operations.
  - 2. Disconnect and cap pipes and services as required by authorities having jurisdiction, as required for alteration work.
  - 3. Maintain existing services unless otherwise indicated; keep in service and protect against damage during operations. Provide temporary services during interruptions to existing utilities.
- E. Existing Drains: Prior to the start of work in an area, test drainage system to ensure that it is functioning properly. Notify Architect immediately of inadequate drainage or blockage. Do not begin work in an area until the drainage system is functioning properly.
  - 1. Prevent solids such as adhesive or mortar residue or other debris from entering the drainage system. Clean out drains and drain lines that become sluggish or blocked by sand or other materials resulting from alteration work.
  - 2. Protect drains from pollutants. Block drains or filter out sediments, allowing only clean water to pass.
- F. Existing Roofing: Prior to the start of work in an area, install roofing protection as appropriate to prevent damage to existing roof materials.

# 3.2 **PROTECTION FROM FIRE**

- A. General: Follow fire-prevention plan and the following:
  - 1. Comply with NFPA 241 requirements unless otherwise indicated.
  - 2. Remove and keep area free of combustibles, including rubbish, paper, waste, and chemicals, unless necessary for the immediate work.
    - a. If combustible material cannot be removed, provide fire blankets to cover such materials.
- B. Heat-Generating Equipment and Combustible Materials: Comply with the following procedures while performing work with heat-generating equipment or combustible materials, including welding, torch-cutting, soldering, brazing, removing paint with heat, or other operations where open flames or implements using high heat or combustible solvents and chemicals are anticipated:
  - 1. Obtain Owner's approval for operations involving use of open-flame or welding or other high-heat equipment. Use of open-flame equipment is not permitted. Notify Owner at least 72 hours before each occurrence, indicating location of such work.
  - 2. As far as practicable, restrict heat-generating equipment to shop areas or outside the building.

- 3. Do not perform work with heat-generating equipment in or near rooms or in areas where flammable liquids or explosive vapors are present or thought to be present. Use a combustible gas indicator test to ensure that the area is safe.
- 4. Use fireproof baffles to prevent flames, sparks, hot gases, or other high-temperature material from reaching surrounding combustible material.
- 5. Prevent the spread of sparks and particles of hot metal through open windows, doors, holes, and cracks in floors, walls, ceilings, roofs, and other openings.
- 6. Fire Watch: Before working with heat-generating equipment or combustible materials, station personnel to serve as a fire watch at each location where such work is performed. Fire-watch personnel shall have the authority to enforce fire safety. Station fire watch according to NFPA 51B, NFPA 241, and as follows:
  - a. Train each fire watch in the proper operation of fire-control equipment and alarms.
  - b. Prohibit fire-watch personnel from other work that would be a distraction from firewatch duties.
  - c. Cease work with heat-generating equipment whenever fire-watch personnel are not present.
  - d. Have fire-watch personnel perform final fire-safety inspection each day beginning no sooner than 30 minutes after conclusion of work in each area to detect hidden or smoldering fires and to ensure that proper fire prevention is maintained.
  - e. Maintain fire-watch personnel at each area of Project site until 60 minutes after conclusion of daily work.
- C. Fire-Control Devices: Provide and maintain fire extinguishers, fire blankets, and rag buckets for disposal of rags with combustible liquids. Maintain each as suitable for the type of fire risk in each work area. Ensure that nearby personnel and the fire-watch personnel are trained in fire-extinguisher and blanket use.
- D. Sprinklers: Where sprinkler protection exists and is functional, maintain it without interruption while operations are being performed. If operations are performed close to sprinklers, shield them temporarily with guards.
  - 1. Remove temporary guards at the end of work shifts, whenever operations are paused, and when nearby work is complete.

# 3.3 PROTECTION DURING APPLICATION OF CHEMICALS

- A. Protect motor vehicles, surrounding surfaces of building, building site, plants, and surrounding buildings from harm or spillage resulting from applications of chemicals and adhesives.
- B. Cover adjacent surfaces with protective materials that are proven to resist chemicals selected for Project unless chemicals being used will not damage adjacent surfaces as indicated in alteration work program. Use covering materials and masking agents that are waterproof and UV resistant and that will not stain or leave residue on surfaces to which they are applied. Apply protective materials according to manufacturer's written instructions. Do not apply liquid masking agents or adhesives to painted or porous surfaces. When no longer needed, promptly remove protective materials.
- C. Do not apply chemicals during winds of sufficient force to spread them to unprotected surfaces.
- D. Neutralize alkaline and acid wastes and legally dispose of off Owner's property.

E. Collect and dispose of runoff from chemical operations by legal means and in a manner that prevents soil contamination, soil erosion, undermining of paving and foundations, damage to landscaping, or water penetration into building interior.

### 3.4 GENERAL ALTERATION WORK

- A. Record existing work before each procedure (preconstruction), and record progress during the work. Use digital preconstruction documentation photographs or video recordings.
- B. Perform surveys of Project site as the Work progresses to detect hazards resulting from alterations.
- C. Notify Architect of visible changes in the integrity of material or components whether from environmental causes including biological attack, UV degradation, freezing, or thawing or from structural defects including cracks, movement, or distortion.
  - 1. Do not proceed with the work in question until directed by Architect.

### END OF SECTION 01 3516

# SECTION 01 4000 - QUALITY REQUIREMENTS

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspection services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specified tests, inspections, and related actions do not limit Contractor's other qualityassurance and quality-control procedures that facilitate compliance with the Contract Document requirements.
  - 2. Requirements for Contractor to provide quality-assurance and quality-control services required by Architect, Owner, Commissioning Authority, or authorities having jurisdiction are not limited by provisions of this Section.

## 1.2 DEFINITIONS

- A. Experienced: When used with an entity or individual, "experienced" unless otherwise further described means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- B. Field Quality-Control Tests: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- C. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, assembly, and similar operations.
  - 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade(s).
- D. Mockups: Full-size physical assemblies that are constructed on-site either as freestanding temporary built elements or as part of permanent construction. Mockups are constructed to verify selections made under Sample submittals; to demonstrate aesthetic effects and qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
  - 1. Laboratory Mockups: Full-size physical assemblies constructed and tested at testing facility to verify performance characteristics.
  - 2. Integrated Exterior Mockups: Mockups of the exterior envelope constructed on-site as freestanding temporary built elements, consisting of multiple products, assemblies, and subassemblies.
  - 3. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes; doors; windows; millwork; casework; specialties; furnishings and equipment; and lighting.

- E. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria.
- F. Product Tests: Tests and inspections that are performed by a nationally recognized testing laboratory (NRTL) according to 29 CFR 1910.7, by a testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program (NVLAP), or by a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- G. Source Quality-Control Tests: Tests and inspections that are performed at the source; for example, plant, mill, factory, or shop.
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- I. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- J. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Contractor's quality-control services do not include contract administration activities performed by Architect.

## 1.3 DELEGATED-DESIGN SERVICES

A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.

## 1.4 CONFLICTING REQUIREMENTS

- A. Conflicting Standards and Other Requirements: If compliance with two or more standards or requirements are specified and the standards or requirements establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect for direction before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.
- C. All work shall be in strict compliance with all governing building codes and standards.

### 1.5 ACTION SUBMITTALS

A. Delegated-Design Services Submittal: In addition to Shop Drawings, Product Data, and other required submittals, submit a statement signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional, indicating that the products and systems are in

compliance with performance and design criteria indicated. Include list of codes, loads, and other factors used in performing these services.

# 1.6 INFORMATIONAL SUBMITTALS

- A. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility submitted to authorities having jurisdiction before starting work on the following systems:
  - 1. Seismic-force-resisting system, designated seismic system, or component listed in the Statement of Special Inspections.
  - 2. Main wind-force-resisting system or a wind-resisting component listed in the Statement of Special Inspections.
- B. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- C. Permits, Licenses, and Certificates: For Owner's record, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents established for compliance with standards and regulations bearing on performance of the Work.

# 1.7 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:
  - 1. Date of issue.
  - 2. Project title and number.
  - 3. Name, address, telephone number, and email address of testing agency.
  - 4. Dates and locations of samples and tests or inspections.
  - 5. Names of individuals making tests and inspections.
  - 6. Description of the Work and test and inspection method.
  - 7. Identification of product and Specification Section.
  - 8. Complete test or inspection data.
  - 9. Test and inspection results and an interpretation of test results.
  - 10. Record of temperature and weather conditions at time of sample taking and testing and inspection.
  - 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
  - 12. Name and signature of laboratory inspector.
  - 13. Recommendations on retesting and re-inspecting.
- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:
  - 1. Statement on condition of substrates and their acceptability for installation of product.
  - 2. Statement that products at Project site comply with requirements.
  - 3. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
  - 4. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  - 5. Other required items indicated in individual Specification Sections.
- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:

- 1. Statement that equipment complies with requirements.
- 2. Results of operational and other tests and a statement of whether observed performance complies with requirements.
- 3. Other required items indicated in individual Specification Sections.

# 1.8 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units. As applicable, procure products from manufacturers able to meet qualification requirements, warranty requirements, and technical or factory-authorized service representative requirements.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual experienced in installing, erecting, applying, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar in material, design, and extent to those indicated for this Project.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  - 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspection indicated, as documented according to ASTM E329; and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:

- 1. Contractor responsibilities include the following:
  - a. Provide test specimens representative of proposed products and construction.
    - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
    - c. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
    - d. When testing is complete, remove test specimens and test assemblies, and mockups; do not reuse products on Project.
- 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect and Commissioning Authority, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- K. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
  - 1. Build mockups of size indicated.
  - 2. Build mockups in location indicated or, if not indicated, as directed by Architect.
  - 3. Notify Architect seven days in advance of dates and times when mockups will be constructed.
  - 4. Employ supervisory personnel who will oversee mockup construction. Employ workers that will be employed to perform same tasks during the construction at Project.
  - 5. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 6. Obtain Architect's approval of mockups before starting corresponding work, fabrication, or construction.
    - a. Allow seven days for initial review and each re-review of each mockup.
  - 7. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  - 8. Demolish and remove mockups when directed unless otherwise indicated.
- L. Laboratory Mockups: Comply with requirements of preconstruction testing and those specified in individual Specification Sections.

# 1.9 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
  - 1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspection they are engaged to perform.
  - 2. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are the Contractor's responsibility. Perform additional quality-control activities, whether specified or not, to verify and document that the Work complies with requirements.
  - 1. Engage a qualified testing agency to perform quality-control services.
    - a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.
  - 2. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspection will be performed.
  - 3. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.

- 4. Testing and inspection requested by Contractor and not required by the Contract Documents are the Contractor's responsibility.
- 5. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Retesting/Re-inspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and re-inspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- D. Testing Agency Responsibilities: Cooperate with Architect, Commissioning Authority (when applicable) and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
  - 1. Notify Architect, Commissioning Authority (when applicable), and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  - 2. Determine the locations from which test samples will be taken and in which in-situ tests are conducted.
  - 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
  - 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
  - 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  - 6. Do not perform duties of Contractor.
- E. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Section 01 3300 "Submittal Procedures."
- F. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in pre-installation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- G. Associated Contractor Services: Cooperate with agencies and representatives performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
  - 1. Access to the Work.
  - 2. Incidental labor and facilities necessary to facilitate tests and inspections.
  - 3. Adequate quantities of representative samples of materials that require testing and inspection. Assist agency in obtaining samples.
  - 4. Facilities for storage and field curing of test samples.
  - 5. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  - 6. Security and protection for samples and for testing and inspection equipment at Project site.
- H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and quality-control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspection.
  - 1. Schedule times for tests, inspections, obtaining samples, and similar activities.

## 1.10 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: The Owner will engage a qualified testing agency special inspector to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, as indicated in the Statement of Special Inspections attached (or as required by the NC Building Code if not attached) to this Section, and as follows:
  - 1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviewing the completeness and adequacy of those procedures to perform the Work.
  - 2. Notifying Architect, Commissioning Authority (when applicable), and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  - 3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect and Commissioning Authority (when applicable) with copy to Contractor and to authorities having jurisdiction.
  - 4. Submitting a final report of special tests and inspections at Final Completion, which includes a list of unresolved deficiencies.
  - 5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
  - 6. Retesting and re-inspecting corrected work.

# PART 2 - PRODUCTS (Not Used)

# PART 3 - EXECUTION

### 3.1 TEST AND INSPECTION LOG

- A. Test and Inspection Log: Prepare a record of tests and inspections. Include the following:
  - 1. Date test or inspection was conducted.
  - 2. Description of the Work tested or inspected.
  - 3. Date test or inspection results were transmitted to Architect.
  - 4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and revisions as they occur. Provide access to test and inspection log for Architect's, Commissioning Authority's (when applicable), reference during normal working hours.
  - 1. Submit log at Project closeout as part of Project Record Documents.

### 3.2 REPAIR AND PROTECTION

- A. General: On completion of testing, inspection, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
  - 1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Section 01 7300 "Execution."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

#### END OF SECTION 01 4000

# SECTION 01 4200 - REFERENCES

# PART 1 - GENERAL

### 1.1 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Unload, temporarily store, unpack, assemble, erect, place, anchor, apply, work to dimension, finish, cure, protect, clean, and similar operations at Project site.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

## 1.2 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
  - 1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

## 1.3 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States."
- B. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Abbreviations and acronyms not included in this list shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States." The information in this list is subject to change and is believed to be accurate as of the date of the Contract Documents.
  - 1. AABC Associated Air Balance Council; <u>www.aabc.com</u>.
  - 2. AAMA American Architectural Manufacturers Association; <u>www.aamanet.org</u>.
  - 3. AAPFCO Association of American Plant Food Control Officials; <u>www.aapfco.org</u>.
  - 4. AASHTO American Association of State Highway and Transportation Officials; www.transportation.org.
  - 5. AATCC American Association of Textile Chemists and Colorists; <u>www.aatcc.org</u>.
  - 6. ABMA American Bearing Manufacturers Association; <u>www.americanbearings.org</u>.
  - 7. ABMA American Boiler Manufacturers Association; <u>www.abma.com</u>.
  - 8. ACI American Concrete Institute; (Formerly: ACI International); www.concrete.org.
  - 9. ACPA American Concrete Pipe Association; <u>www.concrete-pipe.org</u>.
  - 10. AEIC Association of Edison Illuminating Companies, Inc. (The); <u>www.aeic.org</u>.
  - 11. AF&PA American Forest & Paper Association; <u>www.afandpa.org</u>.
  - 12. AGA American Gas Association; www.aga.org.
  - 13. AHAM Association of Home Appliance Manufacturers; <u>www.aham.org</u>.
  - 14. AHRI Air-Conditioning, Heating, and Refrigeration Institute (The); www.ahrinet.org.
  - 15. AI Asphalt Institute; www.asphaltinstitute.org.
  - 16. AIA American Institute of Architects (The); www.aia.org.
  - 17. AISC American Institute of Steel Construction; www.aisc.org.
  - 18. AISI American Iron and Steel Institute; www.steel.org.
  - 19. AITC American Institute of Timber Construction; <u>www.aitc-glulam.org</u>.
  - 20. AMCA Air Movement and Control Association International, Inc.; <u>www.amca.org</u>.
  - 21. ANSI American National Standards Institute; www.ansi.org.
  - 22. AOSA Association of Official Seed Analysts, Inc.; <u>www.aosaseed.com</u>.
  - 23. APA APA The Engineered Wood Association; <u>www.apawood.org</u>.
  - 24. APA Architectural Precast Association; www.archprecast.org.
  - 25. API American Petroleum Institute; <u>www.api.org</u>.
  - 26. ARI Air-Conditioning & Refrigeration Institute; (See AHRI).
  - 27. ARI American Refrigeration Institute; (See AHRI).
  - 28. ARMA Asphalt Roofing Manufacturers Association; www.asphaltroofing.org.
  - 29. ASCE American Society of Civil Engineers; www.asce.org.
  - 30. ASCE/SEI American Society of Civil Engineers/Structural Engineering Institute; (See ASCE).
  - 31. ASHRAE American Society of Heating, Refrigerating and Air-Conditioning Engineers; <u>www.ashrae.org</u>.
  - 32. ASME ASME International; (American Society of Mechanical Engineers); www.asme.org.
  - 33. ASSE American Society of Safety Engineers (The); <u>www.asse.org</u>.
  - 34. ASSE American Society of Sanitary Engineering; <u>www.asse-plumbing.org</u>.
  - 35. ASTM ASTM International; <u>www.astm.org</u>.
  - 36. ATIS Alliance for Telecommunications Industry Solutions; <u>www.atis.org</u>.
  - 37. AWEA American Wind Energy Association; <u>www.awea.org</u>.
  - 38. AWI Architectural Woodwork Institute; <u>www.awinet.org</u>.

- AWMAC Architectural Woodwork Manufacturers Association of Canada; <u>www.awmac.com</u>.
- 40. AWPA American Wood Protection Association; <u>www.awpa.com</u>.
- 41. AWS American Welding Society; <u>www.aws.org</u>.
- 42. AWWA American Water Works Association; <u>www.awwa.org</u>.
- 43. BHMA Builders Hardware Manufacturers Association; www.buildershardware.com.
- 44. BIA Brick Industry Association (The); www.gobrick.com.
- 45. BICSI BICSI, Inc.; <u>www.bicsi.org</u>.
- 46. BIFMA BIFMA International; (Business and Institutional Furniture Manufacturer's Association); <u>www.bifma.org</u>.
- 47. BISSC Baking Industry Sanitation Standards Committee; www.bissc.org.
- 48. BWF Badminton World Federation; (Formerly: International Badminton Federation); www.bissc.org.
- 49. CDA Copper Development Association; <u>www.copper.org</u>.
- 50. CE Conformite Europeenne; http://ec.europa.eu/growth/single-market/ce-marking/.
- 51. CEA Canadian Electricity Association; <u>www.electricity.ca</u>.
- 52. CEA Consumer Electronics Association; <u>www.ce.org</u>.
- 53. CFFA Chemical Fabrics and Film Association, Inc.; www.chemicalfabricsandfilm.com.
- 54. CFSEI Cold-Formed Steel Engineers Institute; www.cfsei.org.
- 55. CGA Compressed Gas Association; <u>www.cganet.com</u>.
- 56. CIMA Cellulose Insulation Manufacturers Association; <u>www.cellulose.org</u>.
- 57. CISCA Ceilings & Interior Systems Construction Association; <u>www.cisca.org</u>.
- 58. CISPI Cast Iron Soil Pipe Institute; <u>www.cispi.org</u>.
- 59. CLFMI Chain Link Fence Manufacturers Institute; www.chainlinkinfo.org.
- 60. CPA Composite Panel Association; <u>www.pbmdf.com</u>.
- 61. CRI Carpet and Rug Institute (The); <u>www.carpet-rug.org</u>.
- 62. CRRC Cool Roof Rating Council; <u>www.coolroofs.org</u>.
- 63. CRSI Concrete Reinforcing Steel Institute; www.crsi.org.
- 64. CSA CSA Group; <u>www.csagroup.com</u>.
- 65. CSA CSA International; <u>www.csa-international.org</u>.
- 66. CSI Construction Specifications Institute (The); <u>www.csinet.org</u>.
- 67. CSSB Cedar Shake & Shingle Bureau; www.cedarbureau.org.
- 68. CTI Cooling Technology Institute; (Formerly: Cooling Tower Institute); www.cti.org.
- 69. CWC Composite Wood Council; (See CPA).
- 70. DASMA Door and Access Systems Manufacturers Association; <u>www.dasma.com</u>.
- 71. DHI Door and Hardware Institute; www.dhi.org.
- 72. ECA Electronic Components Association; (See ECIA).
- 73. ECAMA Electronic Components Assemblies & Materials Association; (See ECIA).
- 74. ECIA Electronic Components Industry Association; <u>www.eciaonline.org</u>.
- 75. EIA Electronic Industries Alliance; (See TIA).
- 76. EIMA EIFS Industry Members Association; <u>www.eima.com</u>.
- 77. EJMA Expansion Joint Manufacturers Association, Inc.; www.ejma.org.
- 78. ESD ESD Association; (Electrostatic Discharge Association); www.esda.org.
- 79. ESTA Entertainment Services and Technology Association; (See PLASA).
- 80. ETL Intertek (See Intertek); www.intertek.com.
- 81. EVO Efficiency Valuation Organization; www.evo-world.org.
- 82. FCI Fluid Controls Institute; www.fluidcontrolsinstitute.org.
- 83. FIBA Federation Internationale de Basketball; (The International Basketball Federation); www.fiba.com.
- 84. FIVB Federation Internationale de Volleyball; (The International Volleyball Federation); www.fivb.org.
- 85. FM Approvals FM Approvals LLC; <u>www.fmglobal.com</u>.
- 86. FM Global FM Global; (Formerly: FMG FM Global); www.fmglobal.com.
- 87. FRSA Florida Roofing, Sheet Metal & Air Conditioning Contractors Association, Inc.; <u>www.floridaroof.com</u>.

- 88. FSA Fluid Sealing Association; www.fluidsealing.com.
- 89. FSC Forest Stewardship Council U.S.; <u>www.fscus.org</u>.
- 90. GA Gypsum Association; <u>www.gypsum.org</u>.
- 91. GANA Glass Association of North America; www.glasswebsite.com.
- 92. GS Green Seal; <u>www.greenseal.org</u>.
- 93. HI Hydraulic Institute; <u>www.pumps.org</u>.
- 94. HI/GAMA Hydronics Institute/Gas Appliance Manufacturers Association; (See AHRI).
- 95. HMMA Hollow Metal Manufacturers Association; (See NAAMM).
- 96. HPVA Hardwood Plywood & Veneer Association; <u>www.hpva.org</u>.
- 97. HPW H. P. White Laboratory, Inc.; <u>www.hpwhite.com</u>.
- 98. IAPSC International Association of Professional Security Consultants; <u>www.iapsc.org</u>.
- 99. IAS International Accreditation Service; <u>www.iasonline.org</u>.
- 100. ICBO International Conference of Building Officials; (See ICC).
- 101. ICC International Code Council; www.iccsafe.org.
- 102. ICEA Insulated Cable Engineers Association, Inc.; <u>www.icea.net</u>.
- 103. ICPA International Cast Polymer Alliance; <u>www.icpa-hq.org</u>.
- 104. ICRI International Concrete Repair Institute, Inc.; <u>www.icri.org</u>.
- 105. IEC International Electrotechnical Commission; <u>www.iec.ch</u>.
- 106. IEEE Institute of Electrical and Electronics Engineers, Inc. (The); www.ieee.org.
- 107. IES Illuminating Engineering Society; (Formerly: Illuminating Engineering Society of North America); <u>www.ies.org</u>.
- 108. IESNA Illuminating Engineering Society of North America; (See IES).
- 109. IEST Institute of Environmental Sciences and Technology; <u>www.iest.org</u>.
- 110. IGMA Insulating Glass Manufacturers Alliance; <u>www.igmaonline.org</u>.
- 111. IGSHPA International Ground Source Heat Pump Association; www.igshpa.okstate.edu.
- 112. ILI Indiana Limestone Institute of America, Inc.; <u>www.iliai.com</u>.
- 113. Intertek Intertek Group; (Formerly: ETL SEMCO; Intertek Testing Service NA); www.intertek.com.
- 114. ISA International Society of Automation (The); (Formerly: Instrumentation, Systems, and Automation Society); <u>www.isa.org</u>.
- 115. ISAS Instrumentation, Systems, and Automation Society (The); (See ISA).
- 116. ISFA International Surface Fabricators Association; (Formerly: International Solid Surface Fabricators Association); <u>www.isfanow.org</u>.
- 117. ISO International Organization for Standardization; <u>www.iso.org</u>.
- 118. ISSFA International Solid Surface Fabricators Association; (See ISFA).
- 119. ITU International Telecommunication Union; www.itu.int/home.
- 120. KCMA Kitchen Cabinet Manufacturers Association; www.kcma.org.
- 121. LMA Laminating Materials Association; (See CPA).
- 122. LPI Lightning Protection Institute; <u>www.lightning.org</u>.
- 123. MBMA Metal Building Manufacturers Association; www.mbma.com.
- 124. MCA Metal Construction Association; www.metalconstruction.org.
- 125. MFMA Maple Flooring Manufacturers Association, Inc.; www.maplefloor.org.
- 126. MFMA Metal Framing Manufacturers Association, Inc.; www.metalframingmfg.org.
- 127. MHIA Material Handling Industry of America; www.mhia.org.
- 128. MIA Marble Institute of America; <u>www.marble-institute.com</u>.
- 129. MMPA Moulding & Millwork Producers Association; www.wmmpa.com.
- 130. MPI Master Painters Institute; www.paintinfo.com.
- 131. MSS Manufacturers Standardization Society of The Valve and Fittings Industry Inc.; www.mss-hq.org.
- 132. NAAMM National Association of Architectural Metal Manufacturers; www.naamm.org.
- 133. NACE NACE International; (National Association of Corrosion Engineers International); www.nace.org.
- 134. NADCA National Air Duct Cleaners Association; <u>www.nadca.com</u>.

- 135. NAIMA North American Insulation Manufacturers Association; www.naima.org.
- 136. NBGQA National Building Granite Quarries Association, Inc.; www.nbgqa.com.
- 137. NBI New Buildings Institute; <u>www.newbuildings.org</u>.
- NCAA National Collegiate Athletic Association (The); <u>www.ncaa.org</u>.
- 139. NCMA National Concrete Masonry Association; <u>www.ncma.org</u>.
- 140. NEBB National Environmental Balancing Bureau; <u>www.nebb.org</u>.
- 141. NECA National Electrical Contractors Association; www.necanet.org.
- 142. NeLMA Northeastern Lumber Manufacturers Association; www.nelma.org.
- 143. NEMA National Electrical Manufacturers Association; <u>www.nema.org</u>.
- 144. NETA InterNational Electrical Testing Association; www.netaworld.org.
- 145. NFHS National Federation of State High School Associations; www.nfhs.org.
- 146. NFPA National Fire Protection Association; <u>www.nfpa.org</u>.
- 147. NFPA NFPA International; (See NFPA).
- 148. NFRC National Fenestration Rating Council; <u>www.nfrc.org</u>.
- 149. NHLA National Hardwood Lumber Association; www.nhla.com.
- 150. NLGA National Lumber Grades Authority; www.nlga.org.
- 151. NOFMA National Oak Flooring Manufacturers Association; (See NWFA).
- 152. NOMMA National Ornamental & Miscellaneous Metals Association; www.nomma.org.
- 153. NRCA National Roofing Contractors Association; www.nrca.net.
- 154. NRMCA National Ready Mixed Concrete Association; www.nrmca.org.
- 155. NSF NSF International; www.nsf.org.
- 156. NSPE National Society of Professional Engineers; <u>www.nspe.org</u>.
- 157. NSSGA National Stone, Sand & Gravel Association; www.nssga.org.
- 158. NTMA National Terrazzo & Mosaic Association, Inc. (The); www.ntma.com.
- 159. NWFA National Wood Flooring Association; <u>www.nwfa.org</u>.
- 160. PCI Precast/Prestressed Concrete Institute; www.pci.org.
- 161. PDI Plumbing & Drainage Institute; <u>www.pdionline.org</u>.
- 162. PLASA PLASA; (Formerly: ESTA Entertainment Services and Technology Association); www.plasa.org.
- 163. RCSC Research Council on Structural Connections; <u>www.boltcouncil.org</u>.
- 164. RFCI Resilient Floor Covering Institute; <u>www.rfci.com</u>.
- 165. RIS Redwood Inspection Service; <u>www.redwoodinspection.com</u>.
- 166. SAE SAE International; <u>www.sae.org</u>.
- SCTE Society of Cable Telecommunications Engineers; <u>www.scte.org</u>.
- 168. SDI Steel Deck Institute; www.sdi.org.
- 169. SDI Steel Door Institute; www.steeldoor.org.
- 170. SEFA Scientific Equipment and Furniture Association (The); www.sefalabs.com.
- 171. SEI/ASCE Structural Engineering Institute/American Society of Civil Engineers; (See ASCE).
- 172. SIA Security Industry Association; www.siaonline.org.
- 173. SJI Steel Joist Institute; www.steeljoist.org.
- 174. SMA Screen Manufacturers Association; www.smainfo.org.
- 175. SMACNA Sheet Metal and Air Conditioning Contractors' National Association; www.smacna.org.
- 176. SMPTE Society of Motion Picture and Television Engineers; www.smpte.org.
- 177. SPFA Spray Polyurethane Foam Alliance; www.sprayfoam.org.
- 178. SPIB Southern Pine Inspection Bureau; www.spib.org.
- 179. SPRI Single Ply Roofing Industry; www.spri.org.
- 180. SRCC Solar Rating & Certification Corporation; www.solar-rating.org.
- 181. SSINA Specialty Steel Industry of North America; www.ssina.com.
- 182. SSPC SSPC: The Society for Protective Coatings; <u>www.sspc.org</u>.
- 183. STI Steel Tank Institute; www.steeltank.com.
- 184. SWI Steel Window Institute; <u>www.steelwindows.com</u>.
- 185. SWPA Submersible Wastewater Pump Association; www.swpa.org.
- 186. TCA Tilt-Up Concrete Association; <u>www.tilt-up.org</u>.

- 187. TCNA Tile Council of North America, Inc.; www.tileusa.com.
- 188. TEMA Tubular Exchanger Manufacturers Association, Inc.; www.tema.org.
- TIA Telecommunications Industry Association (The); (Formerly: TIA/EIA -Telecommunications Industry Association/Electronic Industries Alliance); www.tiaonline.org.
- 190. TIA/EIA Telecommunications Industry Association/Electronic Industries Alliance; (See TIA).
- 191. TMS The Masonry Society; <u>www.masonrysociety.org</u>.
- 192. TPI Truss Plate Institute; <u>www.tpinst.org</u>.
- 193. TPI Turfgrass Producers International; <u>www.turfgrasssod.org</u>.
- 194. TRI Tile Roofing Institute; <u>www.tileroofing.org</u>.
- 195. UL Underwriters Laboratories Inc.; <u>www.ul.com</u>.
- 196. UNI Uni-Bell PVC Pipe Association; <u>www.uni-bell.org</u>.
- 197. USAV USA Volleyball; <u>www.usavolleyball.org</u>.
- 198. USGBC U.S. Green Building Council; <u>www.usgbc.org</u>.
- 199. USITT United States Institute for Theatre Technology, Inc.; www.usitt.org.
- 200. WA Wallcoverings Association; <u>www.wallcoverings.org</u>.
- 201. WASTEC Waste Equipment Technology Association; www.wastec.org.
- 202. WCLIB West Coast Lumber Inspection Bureau; www.wclib.org.
- 203. WCMA Window Covering Manufacturers Association; www.wcmanet.org.
- 204. WDMA Window & Door Manufacturers Association; <u>www.wdma.com</u>.
- 205. WI Woodwork Institute; www.wicnet.org.
- 206. WSRCA Western States Roofing Contractors Association; www.wsrca.com.
- 207. WWPA Western Wood Products Association; www.wwpa.org.
- C. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is believed to be accurate as of the date of the Contract Documents.
  - 1. DIN Deutsches Institut fur Normung e.V.; <u>www.din.de</u>.
  - 2. IAPMO International Association of Plumbing and Mechanical Officials; <u>www.iapmo.org</u>.
  - 3. ICC International Code Council; <u>www.iccsafe.org</u>.
  - 4. ICC-ES ICC Evaluation Service, LLC; <u>www.icc-es.org</u>.
- D. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Information is subject to change and is up to date as of the date of the Contract Documents.
  - 1. COE Army Corps of Engineers; <u>www.usace.army.mil</u>.
  - 2. CPSC Consumer Product Safety Commission; <u>www.cpsc.gov</u>.
  - 3. DOC Department of Commerce; National Institute of Standards and Technology; <u>www.nist.gov</u>.
  - 4. DOD Department of Defense; <u>www.quicksearch.dla.mil</u>.
  - 5. DOE Department of Energy; www.energy.gov.
  - 6. EPA Environmental Protection Agency; <u>www.epa.gov</u>.
  - 7. FAA Federal Aviation Administration; www.faa.gov.
  - 8. FG Federal Government Publications; <u>www.gpo.gov/fdsys</u>.
  - 9. GSA General Services Administration; <u>www.gsa.gov</u>.
  - 10. HUD Department of Housing and Urban Development; <u>www.hud.gov</u>.
  - 11. LBL Lawrence Berkeley National Laboratory; Environmental Energy Technologies Division; <u>www.eetd.lbl.gov</u>.
  - 12. OSHA Occupational Safety & Health Administration; <u>www.osha.gov</u>.
  - 13. SD Department of State; <u>www.state.gov</u>.
  - 14. TRB Transportation Research Board; National Cooperative Highway Research Program; The National Academies; <u>www.trb.org</u>.

- 15. USDA Department of Agriculture; Agriculture Research Service; U.S. Salinity Laboratory; <u>www.ars.usda.gov</u>.
- 16. USDA Department of Agriculture; Rural Utilities Service; <u>www.usda.gov</u>.
- 17. USDOJ Department of Justice; Office of Justice Programs; National Institute of Justice; <u>www.ojp.usdoj.gov</u>.
- 18. USP U.S. Pharmacopeial Convention; <u>www.usp.org</u>.
- 19. USPS United States Postal Service; <u>www.usps.com</u>.
- E. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.
  - 1. CFR Code of Federal Regulations; Available from Government Printing Office; <u>www.gpo.gov/fdsys</u>.
  - 2. DOD Department of Defense; Military Specifications and Standards; Available from DLA Document Services; <u>www.quicksearch.dla.mil</u>.
  - 3. DSCC Defense Supply Center Columbus; (See FS).
  - 4. FED-STD Federal Standard; (See FS).
  - 5. FS Federal Specification; Available from DLA Document Services; <u>www.quicksearch.dla.mil</u>.
    - a. Available from Defense Standardization Program; <u>www.dsp.dla.mil</u>.
    - b. Available from General Services Administration; <u>www.gsa.gov</u>.
    - c. Available from National Institute of Building Sciences/Whole Building Design Guide; <u>www.wbdg.org</u>.
  - 6. MILSPEC Military Specification and Standards; (See DOD).
  - 7. USAB United States Access Board; <u>www.access-board.gov</u>.
  - 8. USATBCB U.S. Architectural & Transportation Barriers Compliance Board; (See USAB).
- F. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.
  - 1. CBHF; State of California; Department of Consumer Affairs; Bureau of Electronic and Appliance Repair, Home Furnishings and Thermal Insulation; <u>www.bearhfti.ca.gov</u>.
  - 2. CCR; California Code of Regulations; Office of Administrative Law; California Title 24 Energy Code; <u>www.calregs.com</u>.
  - 3. CDHS; California Department of Health Services; (See CDPH).
  - 4. CDPH; California Department of Public Health; Indoor Air Quality Program; <u>www.cal-iaq.org</u>.
  - 5. CPUC; California Public Utilities Commission; <u>www.cpuc.ca.gov</u>.
  - 6. NC SCO; North Carolina State Construction Office; https://ncadmin.nc.gov/businesses/construction
  - 7. SCAQMD; South Coast Air Quality Management District; www.aqmd.gov.
  - 8. TFS; Texas A&M Forest Service; Sustainable Forestry and Economic Development; www.txforestservice.tamu.edu.

# PART 2 - PRODUCTS (Not Used)

# PART 3 - EXECUTION (Not Used)

END OF SECTION 01 4200

# SECTION 01 5000 - TEMPORARY FACILITIES AND CONTROLS

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. Related Requirements:
  - 1. Section 01 1000 "Summary" for work restrictions and limitations on utility interruptions.
  - 2. Section 01 1100 "Security Requirements" Requirements for use of property during construction and special provisions for conduct and utility interruptions.

## 1.2 USE CHARGES

- A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated in other Sections. Allow other entities engaged in the Project to use temporary services and facilities without cost, including, but not limited to, testing agencies, and authorities having jurisdiction.
- B. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.
- C. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.

### 1.3 INFORMATIONAL SUBMITTALS

- A. Site Utilization Plan: Show temporary facilities, temporary utility lines and connections, staging areas, construction site entrances, vehicle circulation, and parking areas for construction personnel.
- B. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.

# 1.4 QUALITY ASSURANCE

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.

## 1.5 **PROJECT CONDITIONS**

A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

# PART 2 - PRODUCTS

### 2.1 TEMPORARY FACILITIES

- A. Field Offices, General: If needed for the project or if designated in other Sections, use prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Common-Use Field Office: If needed for the project or if designated in other Sections, the Field Office shall be of sufficient size to accommodate needs of Owner, Architect, and construction personnel office activities and to accommodate Project meetings specified in other Division 01 Sections. Keep office clean and orderly. Furnish and equip offices as follows:
  - 1. Furniture required for Project-site documents including file cabinets, plan tables, plan racks, and bookcases.
  - 2. Conference room of sufficient size to accommodate meetings of 10 individuals. Provide electrical power service and 120-V ac duplex receptacles, with no fewer than one receptacle on each wall. Furnish room with conference table, chairs, and 4-foot-square tack and marker boards.
  - 3. Drinking water and private toilet.
  - 4. Heating and cooling equipment necessary to maintain a uniform indoor temperature of 68 to 72 deg F.
  - 5. Lighting fixtures capable of maintaining average illumination of 20 fc at desk height.

### 2.2 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, selfcontained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
  - 2. Heating Units: Listed and labeled for type of fuel being consumed, by a qualified testing agency acceptable to authorities having jurisdiction and marked for intended location and application.

### PART 3 - EXECUTION

#### 3.1 TEMPORARY FACILITIES, GENERAL

- A. Conservation: Coordinate construction and use of temporary facilities with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
- B. Work closely with the institution to determine the location of temporary facilities, separation of construction operations from the existing institution including fencing, site access protocols, etc.

#### 3.2 INSTALLATION, GENERAL

A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

#### 3.3 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
  - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.
- B. Sewers and Drainage: Provide temporary utilities to remove effluent lawfully.
  - 1. Connect temporary sewers to municipal system or private system indicated as directed by authorities having jurisdiction.
- C. Water Service: Install water service and distribution piping in sizes and pressures adequate for construction.
- D. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.
- E. Temporary Heating and Cooling: Provide temporary heating and cooling required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.
- F. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes, and odors from entering occupied areas.
- G. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.
  - 1. Install electric power service overhead unless otherwise indicated.
- H. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
  - 1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.

### 3.4 SUPPORT FACILITIES INSTALLATION

- A. General: Comply with the following:
  - 1. Provide construction for temporary offices, shops, and sheds located within construction area or within 30 feet of building lines that is noncombustible according to ASTM E136. Comply with NFPA 241.
  - 2. Maintain support facilities until Architect schedules Final Completion inspection. Remove before Final Inspection. Personnel remaining after Final Inspection will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Temporary Roads and Paved Areas: Construct and maintain temporary roads and paved areas adequate for construction operations. Locate temporary roads and paved areas as required and as agreed upon at a Pre-Construction Meeting. See Section 01 1100.
  - 1. Provide dust-control treatment that is nonpolluting and nontracking. Reapply treatment as required to minimize dust.
- C. Traffic Controls: Comply with requirements of authorities having jurisdiction.
  - 1. Protect existing site improvements to remain including curbs, pavement, and utilities.

- 2. Maintain access for fire-fighting equipment and access to fire hydrants.
- D. Parking: Provide temporary or use designated areas of Owner's existing parking areas for construction personnel as agreed upon at the Pre-Construction Meeting. See Section 01 1100.
- E. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.
  - 1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties or endanger permanent Work or temporary facilities.
  - 2. Remove snow and ice as required to minimize accumulations.
- F. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with progress cleaning requirements in Section 01 7300 "Execution."
- G. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
  - 1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- H. Temporary Stairs: Until permanent stairs are available, provide temporary stairs where ladders are not adequate.

## 3.5 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
  - 1. Where access to adjacent properties is required in order to affect protection of existing facilities, obtain written permission from adjacent property owner to access property for that purpose.
- B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
- C. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- D. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
- E. Temporary Partitions: Provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate areas occupied by Owner from fumes and noise.
  - 1. Construct dustproof partitions with gypsum wallboard with joints taped on occupied side, and fireretardant-treated plywood on construction operations side.
  - 2. Where fire-resistance-rated temporary partitions are indicated or are required by authorities having jurisdiction, construct partitions according to the rated assemblies.
  - 3. Provide walk-off mats at each entrance through temporary partition.
- F. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.

- 1. Prohibit smoking in construction areas. Comply with additional limits on smoking specified in other Sections.
- 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
- 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
- 4. Provide temporary standpipes and hoses as required for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

# 3.6 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
  - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Final Acceptance.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than the Final Inspection. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - 1. Materials and facilities that constitute temporary facilities are property of Contractor. Prior to the Final Inspection, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 01 7700 "Closeout Procedures."

### END OF SECTION 01 5000

# SECTION 01 6000 - PRODUCT REQUIREMENTS

# PART 1 - GENERAL

### 1.1 SUMMARY

A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.

## B. Related Requirements:

1. Section 01 2500 "Substitution Procedures" for requests for substitutions.

## 1.2 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
  - 3. Comparable Product: Product that is demonstrated to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation. In addition to the basis-of-design product description, product attributes and characteristics may be listed to establish the significant qualities related to type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other special features and requirements for purposes of evaluating comparable products of additional manufacturers named in the specification.

### 1.3 QUALITY ASSURANCE

A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.

### 1.4 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Coordinate with the requirements of Section 01 11100 "Security Requirements" and the security requirements at the institution.
- C. Delivery and Handling:
  - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.

- 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
- 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
- 4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- D. Storage:
  - 1. Store products to allow for inspection and measurement of quantity or counting of units.
  - 2. Store materials in a manner that will not endanger Project structure.
  - 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
  - 4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
  - 5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
  - 6. Protect stored products from damage and liquids from freezing.

# 1.5 **PRODUCT WARRANTIES**

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
  - 1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
  - 2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
  - 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  - 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
  - 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.

# PART 2 - PRODUCTS

# 2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
  - 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  - 3. Owner reserves the right to limit selection to products with warranties meeting requirements of the Contract Documents.

- 4. Where products are accompanied by the term "as selected," Architect will make selection.
- 5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
- B. Product Selection Procedures:
  - 1. Limited List of Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
    - a. Limited list of products may be indicated by the phrase: "Subject to compliance with requirements, provide one of the following: ..."
  - 2. Non-Limited List of Products: Where Specifications include a list of names of both available manufacturers and products, provide one of the products listed, or an unnamed product, which complies with requirements.
    - a. Non-limited list of products is indicated by the phrase: "Subject to compliance with requirements, available products that may be incorporated in the Work include, but are not limited to, the following: ..."
  - Limited List of Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
    - a. Limited list of manufacturers is indicated by the phrase: "Subject to compliance with requirements, provide products by one of the following: ..."
  - 4. Non-Limited List of Manufacturers: Where Specifications include a list of available manufacturers, provide a product by one of the manufacturers listed, or a product by an unnamed manufacturer, which complies with requirements.
    - a. Non-limited list of manufacturers is indicated by the phrase: "Subject to compliance with requirements, available manufacturers whose products may be incorporated in the Work include, but are not limited to, the following: ..."
  - 5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
    - a. For approval of products by unnamed manufacturers, comply with requirements in Section 01 2500 "Substitution Procedures."
- C. Visual Matching Specification: Where Specifications require "match Architect's sample," provide a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.
  - 1. If no product available within specified category matches and complies with other specified requirements, comply with requirements in Section 01 2500 "Substitution Procedures" for proposal of product.
- D. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect from manufacturer's full range" or similar phrase, select a product that complies with requirements. Architect will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

# 2.2 COMPARABLE PRODUCTS

A. Conditions for meeting the parameters of Comparable Products: Comparable Products must be comparable in every way to the "Basis-of-Design" product as evidenced by testing and

product data as determined when reviewed by the Architect. The burden of proof of being comparable is on the Contractor. If the architect determines that the product is not comparable and/or if the following conditions are not satisfied, Architect will not accept the product as being a Comparable Project and will return any submittals without action, except to record noncompliance with these requirements:

- 1. Evidence that proposed product does not require revisions to the Contract Documents, is consistent with the Contract Documents, will produce the indicated results, and is compatible with other portions of the Work. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant product qualities include attributes such as type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other specific features and requirements.
- 2. Evidence that proposed product provides specified warranty.
- 3. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
- 4. Samples, if requested.

# PART 3 - EXECUTION (Not Used)

END OF SECTION 01 6000

# SECTION 01 7300 - EXECUTION

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. Installation of the Work.
  - 2. Cutting and patching.
  - 3. Progress cleaning.
  - 4. Starting and adjusting.
  - 5. Protection of installed construction.
- B. Related Requirements:
  - 1. Section 01 1000 "Summary" for limits on use of Project site.
  - 2. Section 01 7700 "Closeout Procedures" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, replacing defective work, and final cleaning.

# 1.2 QUALITY ASSURANCE

- A. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
  - 1. Structural Elements: When cutting and patching structural elements, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection.
  - 2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.
  - 3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety.
  - 4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- B. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

# PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
  - 1. For projects requiring compliance with sustainable design and construction practices and procedures, use products for patching that comply with sustainable design requirements.

- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials.

# PART 3 - EXECUTION

# 3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services; and other utilities.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  - 3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

# 3.2 PREPARATION

- A. Existing Utility Information: Furnish information to Owner that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 01 3100 "Project Management and Coordination."

## 3.3 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Final Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Where possible, select tools or equipment that minimize production of excessive noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other portions of the Work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
  - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Remove and replace damaged, defective, or non-conforming Work.

# 3.4 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.

- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 01 1000 "Summary."
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to prevent interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
  - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
  - 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
  - 4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an evenplane surface of uniform appearance.
  - 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.

I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

## 3.5 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
  - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  - 2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.
  - 4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
  - 1. Remove liquid spills promptly.
  - 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Final Completion.
- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 01 5000 "Temporary Facilities and Controls."
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Final Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

# 3.6 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: Comply with qualification requirements in Section 01 4000 "Quality Requirements."

# 3.7 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Final Completion.
- B. Protection of Existing Items: Provide protection and ensure that existing items to remain undisturbed by construction are maintained in condition that existed at commencement of the Work.
- C. Comply with manufacturer's written instructions for temperature and relative humidity.

# END OF SECTION 01 7300

# SECTION 01 7700 - CLOSEOUT PROCEDURES

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Beneficial Occupancy (Partial Utilization) procedures.
  - 2. Final Inspection procedures.
  - 3. Final Completion procedures.
  - 4. Warranties.
  - 5. Final cleaning.
  - 6. Repair of the Work.
- B. Related Requirements:
  - 1. Section 01 7823 "Operation and Maintenance Data" for additional operation and maintenance manual requirements.
  - 2. Section 01 7839 "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.

### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of cleaning agent.
- B. Beneficial Occupancy Contractor's List of Incomplete Items and documentation that all lifesafety systems are fully operational.
- C. Final Inspection Letter from the Contractor that all items are complete and that the project is ready for the Preliminary Final Inspection.

### 1.3 CLOSEOUT DOCUMENTS

- A. Signed Final Inspection Checklist.
- B. Unsettled Claim Verification Certification that no unsettled claims exist on the project.
- C. Record Drawings.
- D. Record Project Manual.
- E. Final Payment Application Submission.
  - 1. Final Payment Application
  - 2. Minority Business Enterprise Final Documentation
  - 3. Consent of Surety of Final Payment SCO form
  - 4. Contractor's Affidavit of Release of Liens SCO form
  - 5. Contractor's Affidavit of Payment of Debts and Claims SCO form
- F. Certificates of Release: From authorities having jurisdiction.
- G. Certificate of Insurance: For continuing coverage.
- H. Field Report: For pest control inspection.

## 1.4 FINAL INSPECTION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Preliminary Final Inspection: Complete the following a minimum of 10 days prior to requesting inspection. List items below that are incomplete at time of request.
  - 1. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
  - 2. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 3. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect. Label with manufacturer's name and model number.
  - 4. Submit testing, adjusting, and balancing records.
  - 5. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- C. Procedures Prior to Preliminary Final Inspection: Complete the following a minimum of 10 days prior to requesting inspection. List items below that are incomplete at time of request.
  - 1. Advise Owner of pending insurance changeover requirements.
  - 2. Complete startup and testing of systems and equipment.
  - 3. Perform preventive maintenance on equipment used.
  - 4. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 01 7900 "Demonstration and Training."
  - 5. Advise Owner of changeover in utility services.
  - 6. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
  - 7. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  - 8. Complete final cleaning requirements.
  - 9. Touch up paint and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- D. Inspection: Submit a written request for inspection a minimum of 10 days prior to date the Work will be completed and ready for Preliminary Final Inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements.

# 1.5 FINAL INSPECTION PROCEDURES

- A. Submittals Prior to Final Inspection: Before requesting final inspection for determining Final Completion, complete the following:
  - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 2. Submit a final Application for Payment according to Section 01 2900 "Payment Procedures."
  - 3. Certified List of Incomplete Items: Submit certified copy of Architect's inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.

- 4. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements. Please note that any Builder's Risk policies must remain in effect until the date of Final Completion of the project.
- 5. Submit pest-control final inspection report.
- B. Procedures Prior to Final Inspection: Complete the following a minimum of 10 days prior to requesting Final Inspection. List items below that are incomplete at time of request.
  - 1. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
- C. Final Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. This inspection will be used in determining a date of Final Completion provided all work is complete. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.

# 1.6 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  - 1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor.
  - 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
  - 3. Submit list of incomplete items in the following format:
    - a. MS Excel electronic file. Architect will return annotated file.

# 1.7 SUBMITTAL OF PROJECT WARRANTIES

- A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where warranties are indicated to commence on dates other than date of Final Completion, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
- C. Warranty Electronic File: Provide warranties and bonds in PDF format. Assemble complete warranty and bond submittal package into a single electronic PDF file with bookmarks enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
  - 1. Submit on digital media acceptable to Architect.
- D. Warranties in Paper Form:
  - 1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
- E. Provide additional copies of each warranty to include in operation and maintenance manuals.

# PART 2 - PRODUCTS

### 2.1 MATERIALS

A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

# PART 3 - EXECUTION

### 3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Beneficial Occupancy or for the Final Inspection for entire Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - c. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - d. Sweep concrete floors broom clean in unoccupied spaces.
    - e. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
    - f. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
    - g. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
    - h. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency.
    - i. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 01 5000 "Temporary Facilities and Controls." Prepare written report.
- D. Construction Waste Disposal: Comply with waste disposal requirements in Section 01 5000 "Temporary Facilities and Controls."

### 3.2 REPAIR OF THE WORK

- A. Complete repair and restoration operations, before requesting inspection for determination of Final Completion.
- B. Repair, or remove and replace, defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and

properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.

END OF SECTION 01 7700

# SECTION 01 7823 - OPERATION AND MAINTENANCE DATA

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory manuals.
  - 2. Emergency manuals.
  - 3. Systems and equipment operation manuals.
  - 4. Systems and equipment maintenance manuals.
  - 5. Product maintenance manuals.

## 1.2 CLOSEOUT SUBMITTALS

- A. Submit operation and maintenance manuals indicated. Provide content for each manual as specified in individual Specification Sections, and as reviewed and approved at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect and Commissioning Authority (when applicable) will comment on whether content of operation and maintenance submittals is acceptable.
  - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operation and maintenance manuals in the following format:
  - 1. Submit on digital media acceptable to Architect. Enable reviewer comments on draft submittals.
  - 2. Submit three paper copies. Architect will return two copies.
- C. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for the Preliminary Final Inspection and at least 15 days before commencing demonstration and training. Architect and Commissioning Authority (when applicable) will return copy with comments.
  - 1. Correct or revise each manual to comply with Architect's and Commissioning Authority's (when applicable) comments. Submit copies of each corrected manual within 15 days of receipt of Architect's and Commissioning Authority's (when applicable) comments and prior to commencing demonstration and training.
- D. Comply with Section 01 7700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

# 1.3 FORMAT OF OPERATION AND MAINTENANCE MANUALS

- A. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
  - 1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  - 2. File Names and Bookmarks: Bookmark individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment

names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.

- B. Manuals, Paper Copy: Submit manuals in the form of hard-copy, bound and labeled volumes.
  - 1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
  - 2. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
    - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

## 1.4 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization of Manuals: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  - 1. Title page.
  - 2. Table of contents.
  - 3. Manual contents.
- B. Title Page: Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.
  - 4. Date of submittal.
  - 5. Name and contact information for Contractor.
  - 6. Name and contact information for Construction Manager.
  - 7. Name and contact information for Architect.
  - 8. Name and contact information for Commissioning Authority.
  - 9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
  - 10. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

### 1.5 **PRODUCT MAINTENANCE MANUALS**

- A. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- B. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- C. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and the following:
  - 1. Inspection procedures.
  - 2. Types of cleaning agents to be used and methods of cleaning.
  - 3. List of cleaning agents and methods of cleaning detrimental to product.
  - 4. Schedule for routine cleaning and maintenance.
  - 5. Repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

# PART 2 - PRODUCTS (Not Used)

# PART 3 - EXECUTION (Not Used)

END OF SECTION 01 7823

# SECTION 01 7839 - PROJECT RECORD DOCUMENTS

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for project record documents, including the following:
  - 1. Record Drawings.
  - 2. Record Specifications.
  - 3. Record Product Data.
- B. Related Requirements:
  - 1. Section 01 7300 "Execution" for final property survey.
  - 2. Section 01 7823 "Operation and Maintenance Data" for operation and maintenance manual requirements.

### 1.2 CLOSEOUT SUBMITTALS

- A. Record Drawings: Comply with the following:
  - 1. Number of Copies: Submit one set(s) of marked-up record prints.
  - 2. Number of Copies: Submit copies of record Drawings as follows:
    - a. Initial Submittal:
      - 1) Submit PDF electronic files of scanned record prints and one of file prints.
      - 2) Architect will indicate whether general scope of changes, additional information recorded, and quality of drafting are acceptable.
      - b. Final Submittal:
        - 1) Submit PDF electronic files of scanned record prints and two set(s) of prints.
        - 2) Print each drawing, whether or not changes and additional information were recorded.
- B. Record Specifications: Submit one copy of annotated PDF electronic files of Project's Specifications, including addenda and contract modifications.
- C. Record Product Data: Submit annotated PDF electronic files and directories of each submittal.
  - 1. Where record Product Data are required as part of operation and maintenance manuals, submit duplicate marked-up Product Data as a component of manual.

### 1.3 RECORD DRAWINGS

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
  - 1. Preparation: Mark record prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Accurately record information in an acceptable drawing technique.
    - c. Record data as soon as possible after obtaining it.
    - d. Record and check the markup before enclosing concealed installations.

- e. Cross-reference record prints to corresponding photographic documentation.
- 2. Content: Types of items requiring marking include, but are not limited to, the following:
  - a. Dimensional changes to Drawings.
  - b. Revisions to details shown on Drawings.
  - c. Depths of foundations.
  - d. Locations and depths of underground utilities.
  - e. Revisions to routing of piping and conduits.
  - f. Revisions to electrical circuitry.
  - g. Actual equipment locations.
  - h. Duct size and routing.
  - i. Locations of concealed internal utilities.
  - j. Changes made by Change Order or Field Order.
  - k. Changes made following Architect's written orders.
  - I. Details not on the original Contract Drawings.
  - m. Field records for variable and concealed conditions.
  - n. Record information on the Work that is shown only schematically.
- 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
- 4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
- 5. Mark important additional information that was either shown schematically or omitted from original Drawings.
- 6. Note Construction Field Order numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Record Digital Data Files: Immediately before Preliminary Final Inspection, review markedup record prints with Architect. When authorized, prepare a full set of corrected digital data files of the Contract Drawings, as follows:
  - 1. Format: Annotated PDF electronic file with comment function enabled.
  - 2. Incorporate changes and additional information previously marked on record prints. Delete, redraw, and add details and notations where applicable.
  - 3. Refer instances of uncertainty to Architect for resolution.
  - 4. Architect will furnish Contractor with one set of digital data files of the Contract Drawings for use in recording information.
    - a. See Section 01 3100 "Project Management and Coordination" for requirements related to use of Architect's digital data files.
    - b. Architect will provide data file layer information. Record markups in separate layers.
- C. Format: Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
  - 1. Format: Annotated PDF electronic file with comment function enabled.
  - 2. Record Digital Data Files: Organize digital data information into separate electronic files that correspond to each sheet of the Contract Drawings. Name each file with the sheet identification. Include identification in each digital data file.
  - 3. Identification: As follows:
    - a. Project name.
    - b. Date.
    - c. Designation "PROJECT RECORD DRAWINGS."
    - d. Name of Architect.
    - e. Name of Contractor.

## 1.4 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  - 3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
  - 4. For each principal product, indicate whether record Product Data has been submitted in operation and maintenance manuals instead of submitted as record Product Data.
  - 5. Note related Change Orders, record Product Data, and record Drawings where applicable.
- B. Format: Submit record Specifications as annotated PDF electronic file.

## 1.5 RECORD PRODUCT DATA

- A. Recording: Maintain one copy of each submittal during the construction period for project record document purposes. Post changes and revisions to project record documents as they occur; do not wait until end of Project.
- B. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  - 3. Note related Change Orders, record Specifications, and record Drawings where applicable.
- C. Format: Submit record Product Data as annotated PDF electronic file.
  - 1. Include record Product Data directory organized by Specification Section number and title, electronically linked to each item of record Product Data.

### 1.6 MAINTENANCE OF RECORD DOCUMENTS

A. Maintenance of Record Documents: Store record documents in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Architect's reference during normal working hours.

# PART 2 - PRODUCTS

# PART 3 - EXECUTION

END OF SECTION 01 7839

# SECTION 01 7900 - DEMONSTRATION AND TRAINING

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
  - 1. Instruction in operation and maintenance of systems, subsystems, and equipment.
  - 2. Demonstration and training video recordings.

#### 1.2 INFORMATIONAL SUBMITTALS

- A. Instruction Program: Submit outline of instructional program for demonstration and training, including a list of training modules and a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include learning objective and outline for each training module.
  - 1. Indicate proposed training modules using manufacturer-produced demonstration and training video recordings for systems, equipment, and products in lieu of video recording of live instructional module.

#### 1.3 CLOSEOUT SUBMITTALS

- A. Demonstration and Training Video Recordings: Submit two copies within seven days of end of each training module.
  - 1. At completion of training, submit complete training manual(s) for Owner's use prepared in same PDF file format required for operation and maintenance manuals specified in Section 01 7823 "Operation and Maintenance Data."

## 1.4 QUALITY ASSURANCE

- A. Facilitator Qualifications: A firm or individual experienced in training or educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.
- B. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Section 01 4000 "Quality Requirements," experienced in operation and maintenance procedures and training.
- C. Preinstruction Conference: Conduct conference at Project site to comply with requirements in Section 01 3100 "Project Management and Coordination."

#### 1.5 COORDINATION

- A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations and to ensure availability of Owner's personnel.
- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.

C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data have been reviewed and approved by Architect.

### 1.6 INSTRUCTION PROGRAM

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following as applicable to the system, equipment, or component:
  - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
    - a. System, subsystem, and equipment descriptions.
    - b. Performance and design criteria if Contractor is delegated design responsibility.
    - c. Operating standards.
    - d. Regulatory requirements.
    - e. Equipment function.
    - f. Operating characteristics.
    - g. Limiting conditions.
    - h. Performance curves.
  - 2. Documentation: Review the following items in detail:
    - a. Emergency manuals.
    - b. Systems and equipment operation manuals.
    - c. Systems and equipment maintenance manuals.
    - d. Product maintenance manuals.
    - e. Project Record Documents.
    - f. Identification systems.
    - g. Warranties and bonds.
    - h. Maintenance service agreements and similar continuing commitments.
    - Emergencies: Include the following, as applicable:
      - a. Instructions on meaning of warnings, trouble indications, and error messages.
      - b. Instructions on stopping.
      - c. Shutdown instructions for each type of emergency.
      - d. Operating instructions for conditions outside of normal operating limits.
      - e. Sequences for electric or electronic systems.
      - f. Special operating instructions and procedures.
  - 4. Operations: Include the following, as applicable:
    - a. Startup procedures.
    - b. Equipment or system break-in procedures.
    - c. Routine and normal operating instructions.
    - d. Regulation and control procedures.
    - e. Control sequences.
    - f. Safety procedures.
    - g. Instructions on stopping.
    - h. Normal shutdown instructions.
    - i. Operating procedures for emergencies.
    - j. Operating procedures for system, subsystem, or equipment failure.
    - k. Seasonal and weekend operating instructions.
    - I. Required sequences for electric or electronic systems.
    - m. Special operating instructions and procedures.
  - 5. Adjustments: Include the following:
    - a. Alignments.

3.

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d.

b.

- b. Checking adjustments.
- c. Noise and vibration adjustments.
  - Economy and efficiency adjustments.
- Troubleshooting: Include the following:
  - a. Diagnostic instructions.
    - Test and inspection procedures.
- Maintenance: Include the following:
  - a. Inspection procedures.
  - b. Types of cleaning agents to be used and methods of cleaning.
  - c. List of cleaning agents and methods of cleaning detrimental to product.
  - d. Procedures for routine cleaning.
  - e. Procedures for preventive maintenance.
  - f. Procedures for routine maintenance.
  - g. Instruction on use of special tools.
- 8. Repairs: Include the following:
  - a. Diagnosis instructions.
  - b. Repair instructions.
  - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - d. Instructions for identifying parts and components.
  - e. Review of spare parts needed for operation and maintenance.

### 1.7 **PREPARATION**

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a training manual organized in coordination with requirements in Section 01 7823 "Operation and Maintenance Data."
- B. Set up instructional equipment at instruction location.

# 1.8 INSTRUCTION

- A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.
- B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
- C. Scheduling: Provide instruction at mutually agreed-on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
  - 1. Schedule training with Owner, through Architect, with at least seven days' advance notice.
- D. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.
- E. Evaluation: At conclusion of each training module, assess and document each participant's mastery of module by use of a demonstration performance-based test.
- F. Cleanup: Collect used and leftover educational materials and give to Owner. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.

# 1.9 DEMONSTRATION AND TRAINING VIDEO RECORDINGS

- A. Digital Video Recordings: Provide high-resolution, digital video in MPEG format, produced by a digital camera with minimum sensor resolution of 12 megapixels and capable of recording in full HD mode with vibration reduction technology.
  - 1. Submit video recordings on CD-ROM or thumb drive.
- B. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to adequately cover area of demonstration and training. Display continuous running time.
- C. Light Levels: Verify light levels are adequate to properly light equipment. Verify equipment markings are clearly visible prior to recording.
- D. Preproduced Video Recordings: Provide video recordings used as a component of training modules in same format as recordings of live training.

# PART 2 - PRODUCTS

# PART 3 - EXECUTION

END OF SECTION 01 7900

# **SECTION 02 4119 - SELECTIVE DEMOLITION**

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Demolition and removal of selected portions of building or structure.
  - 2. Demolition and removal of selected site elements.
  - 3. Salvage of existing items to be reused or recycled.

## 1.2 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
  - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

### 1.3 PREINSTALLATION MEETINGS

A. Pre-demolition Conference: Conduct conference at Project site.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Engineering Survey: Submit engineering survey of condition of building.
- B. Proposed Protection Measures: Submit report, including Drawings, that indicates the measures proposed for protecting individuals and property, for environmental protection, for dust control and, for noise control. Indicate proposed locations and construction of barriers.
- C. Schedule of selective demolition activities with starting and ending dates for each activity.
- D. Pre-demolition photographs or video.
- E. Statement of Refrigerant Recovery: Signed by refrigerant recovery technician.

### 1.5 FIELD CONDITIONS

- A. Owner will occupy portions of building immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
- C. Notify Architect of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Hazardous Materials: It is not expected that hazardous materials will be encountered in the Work.
  - 1. Hazardous materials will be removed by Owner before start of the Work.

- 2. If suspected hazardous materials are encountered, do not disturb; immediately notify Architect and Owner. Hazardous materials will be removed by Owner under a separate contract.
- E. Storage or sale of removed items or materials on-site is not permitted.
- F. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.
  - 1. Maintain fire-protection facilities in service during selective demolition operations.
- G. Arrange selective demolition schedule so as not to interfere with Owner's operations.

## 1.6 WARRANTY

A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during selective demolition, by methods and with materials and using approved contractors so as not to void existing warranties.

# PART 2 - PRODUCTS

### 2.1 **PERFORMANCE REQUIREMENTS**

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

# PART 3 - EXECUTION

### 3.1 EXAMINATION

A. Inventory and record the condition of items to be removed and salvaged.

### 3.2 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.

### 3.3 **PROTECTION**

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
- B. Remove temporary barricades and protections where hazards no longer exist.

## 3.4 SELECTIVE DEMOLITION

- A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
  - 1. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining

#### SELECTIVE DEMOLITION

#### SAMPSON CI FOODSERVICE BUILDING RE-ROOF SCO - ID# 23-26409-01A

construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.

- 2. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
- Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable firesuppression devices during flame-cutting operations.
- 4. Maintain fire watch during and for at least 24 hours after flame-cutting operations.
- 5. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
- 6. Dispose of demolished items and materials promptly.
- B. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Removed and Salvaged Items:
  - 1. Clean salvaged items.
  - 2. Pack or crate items after cleaning. Identify contents of containers.
  - 3. Store items in a secure area until delivery to Owner.
  - 4. Transport items to Owner's storage area designated by Owner.
  - 5. Protect items from damage during transport and storage.
- D. Removed and Reinstalled Items:
  - 1. Clean and repair items to functional condition adequate for intended reuse.
  - 2. Pack or crate items after cleaning and repairing. Identify contents of containers.
  - 3. Protect items from damage during transport and storage.
  - 4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make item functional for use indicated.
- E. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition. When permitted by Architect, items may be removed to a suitable, protected storage location during selective demolition and cleaned and reinstalled in their original locations after selective demolition operations are complete.

## 3.5 CLEANING

- A. Remove demolition waste materials from Project site and dispose of them in an EPAapproved construction and demolition waste landfill acceptable to authorities having jurisdiction.
  - 1. Do not allow demolished materials to accumulate on-site.
  - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
  - 3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
  - 4. Comply with requirements specified in Section 01 7419 "Construction Waste Management and Disposal."
- B. Burning: Do not burn demolished materials.
- C. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION 02 4119

# SECTION 06 1000 - ROUGH CARPENTRY

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Framing with dimension lumber.
  - 2. Wood blocking and nailers.
  - 3. Wood furring.
  - 4. Plywood backing panels.

### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of process and factory-fabricated product.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Material Certificates: For dimension lumber specified to comply with minimum allowable unit stresses. Indicate species and grade selected for each use and design values approved by the ALSC Board of Review.
- B. Evaluation Reports: For the following, from ICC-ES:
  - 1. Wood-preservative-treated wood.
  - 2. Fire-retardant-treated wood.
  - 3. Power-driven fasteners.
  - 4. Post-installed anchors.
  - 5. Metal framing anchors.

# PART 2 - PRODUCTS

### 2.1 WOOD PRODUCTS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, comply with the applicable rules of any rules-writing agency certified by the ALSC Board of Review. Grade lumber by an agency certified by the ALSC Board of Review to inspect and grade lumber under the rules indicated.
  - 1. Factory mark each piece of lumber with grade stamp of grading agency.
  - 2. Dress lumber, S4S, unless otherwise indicated.
- B. Maximum Moisture Content of Lumber: 19 percent unless otherwise indicated.
- C. Engineered Wood Products: Acceptable to authorities having jurisdiction and for which current model code research or evaluation reports exist that show compliance with building code in effect for Project.
  - 1. Allowable design stresses, as published by manufacturer, shall meet or exceed those indicated. Manufacturer's published values shall be determined from empirical data or by rational engineering analysis and demonstrated by comprehensive testing performed by a qualified independent testing agency.

### 2.2 WOOD-PRESERVATIVE-TREATED LUMBER

- A. Preservative Treatment by Pressure Process: AWPA U1; Use Category UC2 for interior construction not in contact with ground, Use Category UC3b for exterior construction not in contact with ground, and Use Category UC4a for items in contact with ground.
  - 1. Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no arsenic or chromium. Do not use inorganic boron (SBX) for sill plates.
- B. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Do not use material that is warped or that does not comply with requirements for untreated material.
- C. Mark lumber with treatment quality mark of an inspection agency approved by the ALSC Board of Review.
- D. Application: Treat all rough carpentry unless otherwise indicated.
  - 1. Wood cants, nailers, curbs, equipment support bases, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.
  - 2. Wood sills, sleepers, blocking, and similar concealed members in contact with masonry or concrete.
  - 3. Wood framing and furring attached directly to the interior of below-grade exterior masonry or concrete walls.
  - 4. Wood framing members that are less than 18 inchesabove the ground in crawlspaces or unexcavated areas.
  - 5. Wood floor plates that are installed over concrete slabs-on-grade.

### 2.3 FIRE-RETARDANT-TREATED MATERIALS

- A. General: Where fire-retardant-treated materials are indicated, materials shall comply with requirements in this article, that are acceptable to authorities having jurisdiction, and with fire-test-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.
- B. Fire-Retardant-Treated Lumber and Plywood by Pressure Process: Products with a flame-spread index of 25 or less when tested according to ASTM E84, and with no evidence of significant progressive combustion when the test is extended an additional 20 minutes, and with the flame front not extending more than 10.5 feetbeyond the centerline of the burners at any time during the test.
  - 1. Exterior Type: Treated materials shall comply with requirements specified above for fireretardant-treated lumber and plywood by pressure process after being subjected to accelerated weathering according to ASTM D2898. Use for exterior locations and where indicated.
  - 2. Interior Type A: Treated materials shall have a moisture content of 28 percent or less when tested according to ASTM D3201 at 92 percent relative humidity. Use where exterior type is not indicated.
- C. Kiln-dry lumber after treatment to maximum moisture content of 19 percent. Kiln-dry plywood after treatment to maximum moisture content of 15 percent.
- D. Identify fire-retardant-treated wood with appropriate classification marking of qualified testing agency.
- E. Application: Treat all rough carpentry unless otherwise indicated.
  - 1. Concealed blocking.
  - 2. Framing for non-load-bearing partitions.
  - 3. Roof construction.

4. Plywood backing panels.

# 2.4 DIMENSION LUMBER FRAMING

- A. Framing Other Than Non-Load-Bearing Partitions: No. 2 grade.
  - 1. Application: Framing other than interior partitions.
  - 2. Species:
    - a. Hem-fir (north); NLGA.
    - b. Southern pine; SPIB.
    - c. Douglas fir-larch; WCLIB or WWPA.
    - d. Southern pine or mixed southern pine; SPIB.
    - e. Spruce-pine-fir; NLGA.
    - f. Douglas fir-south; WWPA.
    - g. Hem-fir; WCLIB or WWPA.
    - h. Douglas fir-larch (north); NLGA.
    - i. Spruce-pine-fir (south); NeLMA, WCLIB, or WWPA.
- B. Exposed Framing: Hand-select material for uniformity of appearance and freedom from characteristics, on exposed surfaces and edges, that would impair finish appearance, including decay, honeycomb, knotholes, shake, splits, torn grain, and wane.
  - 1. Species and Grade: As indicated above for load-bearing construction of same type.

### 2.5 MISCELLANEOUS LUMBER

- A. General: Provide miscellaneous lumber indicated and lumber for support or attachment of other construction, including the following:
  - 1. Blocking.
  - 2. Nailers.
  - 3. Rooftop equipment bases and support curbs.
  - 4. Cants.
  - 5. Furring.
  - 6. Grounds.
- B. Dimension Lumber Items: Construction or No. 2 grade lumber of any species.
- C. Concealed Boards: 19 percent maximum moisture content and any of the following species and grades:
  - 1. Mixed southern pine or southern pine; No. 2 grade; SPIB.
  - 2. Eastern softwoods; No. 2 Common grade; NeLMA.
  - 3. Northern species; No. 2 Common grade; NLGA.
  - 4. Western woods; Construction or No. 2 Common grade; WCLIB or WWPA.

### 2.6 FASTENERS

- A. General: Fasteners shall be of size and type indicated and shall comply with requirements specified in this article for material and manufacture.
  - 1. Where rough carpentry is exposed to weather, in ground contact, pressure-preservative treated, or in area of high relative humidity, provide fasteners with hot-dip zinc coating complying with ASTM A153/A153M.
- B. Power-Driven Fasteners: Fastener systems with an evaluation report acceptable to authorities having jurisdiction, based on ICC-ES AC70.

### 2.7 MISCELLANEOUS MATERIALS

- A. Sill-Sealer Gaskets: Closed-cell neoprene foam, 1/4 inch thick, selected from manufacturer's standard widths to suit width of sill members indicated.
- B. Flexible Flashing: Composite, self-adhesive, flashing product consisting of a pliable, rubberizedasphalt compound, bonded to a high-density polyethylene film, aluminum foil, or spunbonded polyolefin to produce an overall thickness of not less than 0.025 inch.

# PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Framing Standard: Comply with AF&PA's WCD 1, "Details for Conventional Wood Frame Construction," unless otherwise indicated.
- B. Framing with Engineered Wood Products: Install engineered wood products to comply with manufacturer's written instructions.
- C. Set rough carpentry to required levels and lines, with members plumb, true to line, cut, and fitted. Fit rough carpentry accurately to other construction. Locate furring, nailers, blocking, and similar supports to comply with requirements for attaching other construction.
- D. Install shear wall panels to comply with manufacturer's written instructions.
- E. Install metal framing anchors to comply with manufacturer's written instructions. Install fasteners through each fastener hole.
- F. Do not splice structural members between supports unless otherwise indicated.
- G. Comply with AWPA M4 for applying field treatment to cut surfaces of preservative-treated lumber.
- H. Where wood-preservative-treated lumber is installed adjacent to metal decking, install continuous flexible flashing separator between wood and metal decking.
- I. Securely attach rough carpentry work to substrate by anchoring and fastening as indicated, complying with the following:
  - 1. Table 2304.9.1, "Fastening Schedule," in ICC's International Building Code (IBC).
  - Table R602.3(1), "Fastener Schedule for Structural Members," and Table R602.3(2), "Alternate Attachments," in ICC's International Residential Code for One- and Two-Family Dwellings.
  - 3. ICC-ES evaluation report for fastener.

### 3.2 PROTECTION

- A. Protect wood that has been treated with inorganic boron (SBX) from weather. If, despite protection, inorganic boron-treated wood becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.
- B. Protect rough carpentry from weather. If, despite protection, rough carpentry becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.

### END OF SECTION 06 1000
### SECTION 07 0150.19 - PREPARATION FOR REROOFING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Full tear-off of entire roof system.
  - 2. Removal of flashings and counterflashing.

#### 1.2 PREINSTALLATION MEETINGS

A. Preliminary Roofing Conference: Before starting removal Work, conduct conference at Project site.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Photographs or Videotape: Show existing conditions of adjoining construction and site improvements, including exterior and interior finish surfaces, that might be misconstrued as having been damaged by reroofing operations.
  - 1. Submit before Work begins.

#### 1.4 QUALITY ASSURANCE

A. Installer Qualifications: Approved by warrantor of existing roofing system to work on existing roofing.

#### 1.5 FIELD CONDITIONS

- A. Existing Roofing System: Asphalt Built Up Roof .
- B. Owner will occupy portions of building immediately below reroofing area.
  - 1. Conduct reroofing so Owner's operations are not disrupted.
    - 2. Provide Owner with not less than 72 hours' written notice of activities that may affect Owner's operations.
    - 3. Coordinate work activities daily with Owner so Owner has adequate advance notice to place protective dust and water-leakage covers over sensitive equipment and furnishings, shut down HVAC and fire-alarm or -detection equipment if needed, and evacuate occupants from below work area.
    - 4. Before working over structurally impaired areas of deck, notify Owner to evacuate occupants from below affected area.
      - a. Verify that occupants below work area have been evacuated before proceeding with work over impaired deck area.
- C. Protect building to be reroofed, adjacent buildings, walkways, site improvements, exterior plantings, and landscaping from damage or soiling from reroofing operations.
- D. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities.
- E. Conditions existing at time of inspection for bidding will be maintained by Owner as far as practical.

- 1. A roof moisture survey of existing roofing system is available for Contractor's reference.
- 2. The results of an analysis of test cores from existing roofing system are available for Contractor's reference.
- 3. Construction Drawings and Project Manual for existing roofing system are provided for Contractor's convenience and information, but they are not a warranty of existing conditions. They are intended to supplement rather than serve in lieu of Contractor's own investigations. Contractor is responsible for conclusions derived from existing documents.
- F. Limit construction loads on existing roof areas to remain, and existing roof areas scheduled to be reroofed.
- G. Weather Limitations: Proceed with reroofing preparation only when existing and forecasted weather conditions permit Work to proceed without water entering existing roofing system or building.
  - 1. Remove only as much roofing in one day as can be made watertight in the same day.

### PART 2 - PRODUCTS

#### 2.1 AUXILIARY REROOFING MATERIALS

A. General: Use auxiliary reroofing preparation materials recommended by roofing system manufacturer for intended use and compatible with components of new roofing system.

### PART 3 - EXECUTION

#### 3.1 **PREPARATION**

- A. Seal or isolate windows that may be exposed to airborne substances created in removal of existing materials.
- B. Shut off rooftop utilities and service piping before beginning the Work.
- C. Test existing roof drains to verify that they are not blocked or restricted.
  1. Immediately notify Architect of any blockages or restrictions.
- D. Coordinate with Owner to shut down air-intake equipment in the vicinity of the Work.
  - 1. Cover air-intake louvers before proceeding with reroofing work that could affect indoor air quality or activate smoke detectors in the ductwork.
- E. During removal operations, have sufficient and suitable materials on-site to facilitate rapid installation of temporary protection in the event of unexpected rain.
- F. Maintain roof drains in functioning condition to ensure roof drainage at end of each workday.
  - Prevent debris from entering or blocking roof drains and conductors.
    - a. Use roof-drain plugs specifically designed for this purpose.
    - b. Remove roof-drain plugs at end of each workday, when no work is taking place, or when rain is forecast.
  - 2. If roof drains are temporarily blocked or unserviceable due to roofing system removal or partial installation of new roofing system, provide alternative drainage method to remove water and eliminate ponding.
    - a. Do not permit water to enter into or under existing roofing system components that are to remain.

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#### 3.2 ROOF TEAR-OFF

- A. Notify Owner each day of extent of roof tear-off proposed for that day and obtain authorization to proceed.
- B. Lower removed roofing materials to ground and onto lower roof levels, using dust-tight chutes or other acceptable means of removing materials from roof areas.
- C. Full Roof Tear-off: Remove existing roofing and other roofing system components down to the existing concrete structural deck.
  - 1. Remove substrate board, vapor retarder, roof insulation and cover board.
  - 2. Remove base flashings and counter flashings.
  - 3. Remove perimeter edge flashing and gravel stops.
  - 4. Remove expansion-joint covers.
  - 5. Remove flashings at pipes, curbs, mechanical equipment, and other penetrations.
  - 6. Remove roof drains indicated on Drawings to be removed.
  - 7. Remove wood blocking, curbs, and nailers.
  - 8. Bitumen and felts that are firmly bonded to concrete decks are permitted to remain if felts are dry.
    - a. Remove unadhered bitumen, unadhered felts, and wet felts.

#### 3.3 DECK PREPARATION

- A. Inspect deck after tear-off of roofing system.
- B. If deck surface is unsuitable for receiving new roofing or if structural integrity of deck is suspect, immediately notify Architect.
  - 1. Do not proceed with installation until directed by Architect.
- C. Concrete Deck Patch: Shall be a fast-setting cementitious based self-curing trowel able patch material for smoothing and repairing concrete floors. It shall reach its initial set per ASTM C191 with 15 minutes and its final set per ASTM C191 within 60 minutes. The material shall reach 4,200 psi minimum compressive strength per ASTM C109 within 28 days.

#### 3.4 BASE FLASHING REMOVAL

- A. Remove existing base flashings.
  - 1. Clean substrates of contaminants, such as asphalt, sheet materials, dirt, and debris.

#### END OF SECTION 07 0150.19

# SECTION 07 5552.16 - STYRENE-BUTADIENE-STYRENE (SBS) MODIFIED BITUMINOUS PROTECTED MEMBRANE ROOFING

#### **PART 1 - GENERAL**

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Styrene-butadiene-styrene (SBS)-modified bituminous protected membrane roofing.
  - 2. Substrate board.
  - 3. Roof insulation.
  - 4. Cover board.

#### 1.2 PREINSTALLATION MEETINGS

A. Preinstallation Roofing Conference: Conduct conference at Project site.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. For insulation, include copy of FM Approvals' RoofNav listing.
- B. Shop Drawings: Include plans, sections, details, and attachments to other work, including the following:
  - 1. Layout and thickness of insulation.
  - 2. Base flashings and membrane terminations.
  - 3. Flashing details at penetrations.
  - 4. Insulation fastening patterns for corner, perimeter, and field-of-roof locations.
  - 5. Tie-in with adjoining air barrier.
- C. Wind Uplift Resistance Submittal: For roofing system, indicating compliance with wind uplift performance requirements.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Manufacturer Certificates:
  - 1. Performance Requirement Certificate: Signed by roof membrane manufacturer, certifying that roofing system complies with requirements specified in "Performance Requirements" Article.
    - a. Submit evidence of compliance with performance requirements.
  - 2. Special Warranty Certificate: Signed by roof membrane manufacturer, certifying that all materials supplied under this Section are acceptable for special warranty.
- B. Product Test Reports: For roof membrane and insulation, for tests performed by a qualified testing agency, indicating compliance with specified requirements.
- C. Evaluation reports.
- D. Field Test Reports:
  - 1. Concrete internal relative humidity test reports.
- E. Field quality-control reports.

F. Sample warranties.

#### 1.5 CLOSEOUT SUBMITTALS

A. Maintenance data.

#### 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified firm that is approved, authorized, or licensed by roofing system manufacturer to install manufacturer's product and that is eligible to receive manufacturer's special warranty.
- B. Provide evidence of CERTA training for any installer of torch-applied modified bitumen membrane. Copies of certifications are required prior to award and must be maintained on the jobsite for inspection at any time.

#### 1.7 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of roofing system that fail in materials or workmanship within specified warranty period. Single-source special guarantee includes roofing plies, base flashings, liquid applied flashing, roofing membrane accessories, granule surfaced roofing membrane, roof insulation, fasteners, cover board, walkway products, manufacturer's expansion joints, manufacturer's edge metal products, and other single-source components of roofing system marketed by the manufacturer.
  - 1. Warranty Period: **20** years from date of Final Acceptance.
- B. Installer's Guarantee: Submit roofing Installer's guarantee, signed by installer, covering Work of this Section, including all components of roofing system, for the following guarantee period:
  - 1. Guarantee Period: Five years from date of Final Acceptance. The required warranty wording and format is located at the end of this section.

#### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Accelerated Weathering: Roof membrane shall withstand 2000 hours of exposure when tested according to ASTM G152, ASTM G154, or ASTM G155.
- B. Impact Resistance: Roof membrane shall resist impact damage when tested according to ASTM D3746/D3746M, ASTM D4272/D4272M, or the "Resistance to Foot Traffic Test" in FM Approvals 4470.
- C. Wind Uplift Resistance: Design roofing system to resist the following wind uplift pressures when tested according to FM Approvals 4474, UL 580, or UL 1897:
  - a. Wind uplift resistance and perimeter and corner securement shall meet the North Carolina Building Code requirements for the project location. This project shall be designed for a wind speed of 130 mph.
- D. FM Approvals' RoofNav Listing: Roof membrane, base flashings, and component materials shall comply with requirements in FM Approvals 4450 or FM Approvals 4470 as part of a roofing system and shall be listed in FM Approvals' RoofNav for Class 1 or noncombustible construction, as applicable. Identify materials with FM Approvals Certification markings.
  - 1. Hail-Resistance Rating: FM Global Property Loss Prevention Data Sheet 1-34 MH.

- E. ENERGY STAR Listing: Roof pavers shall be listed on the DOE's ENERGY STAR "Roof Products Qualified Product List" for roof paver products.
- F. Energy Performance: Roof pavers shall have an initial solar reflectance of not less than 0.70 and an emissivity of not less than 0.75 when tested according to CRRC-1.
- G. Exterior Fire-Test Exposure: ASTM E108 or UL 790, Class B; for application and roof slopes indicated; testing by a qualified testing agency.
  - 1. Identify products with appropriate markings of applicable testing agency.
- H. Fire-Resistance Ratings: Comply with fire-resistance-rated assembly designs indicated.
  - 1. Identify products with appropriate markings of applicable testing agency.

#### 2.2 MANUFACTURERS

- A. Source Limitations: Obtain components, including roof insulation for roofing system from same manufacturer as membrane roofing.
- B. Subject to compliance with requirements, provide products by one of the following:
  - 1. Certain Teed Corporation
  - 2. Firestone Building Products
  - 3. Johns Manville, a Berkshire Hathaway Company
  - 4. Soprema, Inc

#### 2.3 BASE SHEET MATERIALS

2.

- A. SBS-Modified Bitumen Fiberglass Mat Base Sheet: ASTM D6163/D6163M, Type I, Grade S, SBSmodified asphalt sheet, reinforced with fiberglass fabric; smooth surfaced; suitable for cold adhesive or hot asphalt application method.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Soprema, Inc.: Elastophene Sanded 2.2 or a comparable product by one of the following:
    - a. Siplast; Paradiene 20.
    - b. Tremco Incorporated; Powerply Standard Smooth
    - For Alternate Nos, 4, 4D, and 4E.
      - a. Soprema; Cold Ply EF adhesive with Sopralene 180 Sanded 2.2
      - b. Siplast; Paradiene 20 TS

#### 2.4 STYRENE-BUTADIENE-STYRENE- (SBS-) MODIFIED BITUMINOUS CAP SHEET

- A. Granule-Surfaced Roofing Cap Sheet: ASTM D6163/D6163M, Type I, Grade G, SBS-modified asphalt sheet, reinforced with fiberglass fabric; suitable for cold adhesive or hot asphalt application method.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Soprema, Incl; Elastophene LS FR GR or a comparable product by one of the following:
    - a. Siplast; Paradiene 30 FR.
    - b. Tremco Incorporated; Powerply Endure FR
  - 2. Granule Color: White.

#### 2.5 BASE FLASHING SHEET MATERIALS

- A. Backer Sheet: ASTM D6164/D6164M, Type I or II, Grade S, SBS-modified asphalt sheet, reinforced with polyester fabric; smooth surfaced; suitable for application method specified.
  - Basis of Design: Sopralene 180 Sanded 2.2 or a comparable product by one of the following:
     a. Siplast.

- b. Tremco Incorporated
- B. Granule-Surfaced Flashing Sheet: ASTM D6164/D6164M, Type I or II, Grade G, SBS-modified asphalt sheet, reinforced with polyester fabric; granule surfaced; suitable for application method specified, and as follows:
  - 1. Basis of Design: Sopralene 180 FR GR or a comparable product by one of the following:
    - a. Siplast.
    - b. Tremco Incorporated.
  - 2. Granule Color: White.
- C. Glass-Fiber Fabric: Woven glass-fiber cloth, treated with asphalt, complying with ASTM D1668/D1668M, Type I.
- D. Liquid Flashing System: Roof membrane manufacturer's standard one- or two-part moisture curing resin with low solvent content, consisting of a primer, flashing cement, and scrim.

#### 2.6 AUXILIARY ROOFING MATERIALS

- A. General: Auxiliary materials recommended by roofing manufacturer for intended use and compatible with other roofing components.
  - 1. Adhesive and Sealants: Comply with VOC limits of authorities having jurisdiction.
- B. Prefabricated Pipe Flashings: As recommended by roof membrane manufacturer.
- C. Cold-Applied Asphalt Adhesive: ASTM D3019, Type III, roof membrane manufacturer's standard asphalt-based, one- or two-part, asbestos-free, cold-applied adhesive, specially formulated for compatibility and use with roofing membrane and base flashings.
- D. Asphalt Primer: ASTM D41.
- E. Asphalt Roofing Cement: ASTM D4586/D4586M, asbestos free, of consistency required by roofing system manufacturer for application.
- F. Mastic Sealant: Polyisobutylene, plain or modified bitumen; nonhardening, nonmigrating, nonskinning, and nondrying.
- G. Metal Flashing Sheet: As specified in Section 07 6200 "Sheet Metal Flashing and Trim."
- H. Fasteners: Factory-coated steel fasteners and metal or plastic plates complying with corrosionresistance provisions in FM Approvals 4470, designed for fastening roofing components to substrate: tested by manufacturer for required pullout strength, and acceptable to roofing system manufacturer.
- I. Roofing Granules: Ceramic-coated roofing granules, No. 11 screen size with 100 percent passing No. 8 sieve and 98 percent of mass retained on No. 40 sieve: color to match roof membrane.
- J. Miscellaneous Accessories: Provide accessories recommended by roofing system manufacturer.

#### 2.7 ROOF INSULATION

A. Polyisocyanurate Board Insulation: ASTM C1289, Type II, Class 1, Grade 2, felt or glass-fiber mat facer on both major surfaces.

- 1. Basis-of-Design Product: Energy 3.
- 2. Size: **48 by 48 inches**
- 3. Thickness:
  - a. Base Layer: **1-1/2 inch**. Provide layering as necessary to secure the thickness indicated on the drawings and to comply with the current North Carolina Energy Conservation Coe for the climate zone in which the project is located.
  - b. Minimum Long-Term Thermal Resistance (LTTR): 5.6 per inch. Determined in accordance with CAN/ULC S770 at 75 deg F (24 deg C).
- B. Tapered Insulation: Provide factory-tapered insulation boards.
  - 1. Material: Match roof insulation.
  - 2. Minimum Thickness: <sup>1</sup>/<sub>4</sub> inch.
  - 3. Slope:
    - a. Roof Field: 1/4 inch per foot unless otherwise indicated on Drawings.
    - b. Saddles and Crickets: ½ inch per foot unless otherwise indicated on Drawings.

#### 2.8 INSULATION ACCESSORIES

- A. Insulation Adhesive: Insulation manufacturer's recommended adhesive formulated to attach roof insulation to substrate or to another insulation layer as follows:
  - 1. Full-spread spray-applied, low-rise, two-component urethane adhesive.
- B. Wood Nailer Strips: Comply with requirements in Section 06 1000 "Rough Carpentry."
- C. Tapered Edge Strips: ASTM C728, perlite insulation board.
- D. Cover Board: ASTM C1177/C1177M, glass-mat, water-resistant gypsum board or ASTM C1278/C1278M, fiber-reinforced gypsum board.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Georgia-Pacific Gypsum LLC.
    - b. National Gypsum Company.
    - c. USG Corporation.
    - d. Soprema, 1/8" Sopraboard
  - 2. Thickness: <sup>1</sup>/<sub>4</sub> inch unless noted otherwise.
  - 3. Surface Finish: Factory primed

#### 2.9 ASPHALT MATERIALS

- A. Asphalt Primer: ASTM D41/D41M.
- B. Roofing Asphalt: ASTM D312/D312M, Type III or IV as recommended by roofing system manufacturer for application.
- C. Roofing Asphalt: ASTM D6152/D6152M, SEBS modified.

#### PART 3 - EXECUTION

- 3.1 EXAMINATION
  - A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements and other conditions affecting performance of the Work:
    - 1. Verify that minimum concrete drying period recommended by roofing system manufacturer has passed.

- 2. Verify that concrete substrate is visibly dry and free of moisture, and that minimum concrete internal relative humidity is not more than 75 percent, or as recommended by roofing system manufacturer, when tested according to ASTM F2170.
  - a. Test Frequency: One test probe per each 1000 sq. ft. or portion thereof, of roof deck, with not less than three test probes.
  - b. Submit test reports within 24 hours of performing tests.
- 3. Verify that concrete-curing compounds that will impair adhesion of roofing components to roof deck have been removed.
- 4. Verify that joints in precast concrete roof decks have been grouted flush with top of concrete.

#### 3.2 PREPARATION

A. Prime surface of concrete deck with asphalt primer at a rate of 3/4 gal./100 sq. ft. and allow primer to dry.

#### 3.3 INSTALLATION OF ROOFING, GENERAL

- A. See Section 07 0150.19 Preparation for Reroofing for preparation work prior to reroofing operations.
- B. Install roofing system according to roofing system manufacturer's written instructions, applicable recommendations in ARMA/NRCA's "Quality Control Guidelines for the Application of Polymer Modified Bitumen Roofing," FM Global Property Loss Prevention Data Sheet 1-29 listed roof assembly requirements, and FM Global Property Loss Prevention Data Sheet 1-29.
- C. Complete terminations and base flashings and provide temporary seals to prevent water from entering completed sections of roofing system at the end of the workday or when rain is forecast.
   1. Remove and discard temporary seals before beginning work on adjoining roofing.
- D. Substrate-Joint Penetrations: Prevent roofing asphalt and adhesives from penetrating substrate joints, entering building, or damaging roofing system components or adjacent building construction.

#### 3.4 INSTALLATION OF BASE-SHEET

- A. Coordinate installing roofing system components, so insulation is not exposed to precipitation or left exposed at the end of the workday.
- B. Comply with roofing system and insulation manufacturer's written instructions for installing roof insulation.
- C. Insulation Cant Strips: Install and secure preformed 45-degree insulation cant strips at junctures of roofing system with vertical surfaces or angle charges greater than 45 degrees.
- D. Installation Over Concrete Decks:
  - 1. Install base layer of insulation with joints staggered not less than 24 inches in adjacent rows.
    - a. Make joints between adjacent insulation boards not more than 1/4 inch in width.
    - b. Fill gaps exceeding <sup>1</sup>/<sub>4</sub> inch with insulation.
    - c. Cut and fit insulation within 1/4 inch of nailers, projections, and penetrations.
    - d. Adhere base layer of insulation to concrete roof deck according to FM Approvals' RoofNav listed roof assembly requirements for specified Windstorm

Resistance Classification and FM Global Property Loss Prevention Data Sheet 1-29, as follows:

- 1) Prime surface of concrete deck with asphalt primer at rate of <sup>3</sup>/<sub>4</sub> gal./100 sq. ft., and allow primer to dry.
- 2) Set insulation in a solid mopping of hot roofing asphalt, applied within plus or minus 25 deg F of equiviscous temperature.
- 3) Set insulation in ribbons of bead-applied insulation adhesive, firmly pressing and maintaining insulation in place.
- 4) Set insulation in a uniform coverage of full-spread insulation adhesive, firmly pressing and maintaining insulation in place.
- 2. Install upper layers of insulation and tapered insulation, with joints of each layer offset not less than 12 inches from previous layer of insulation.
  - a. Staggered end joints within each layer not less than 24 inches in adjacent rows.
  - b. Install with long joints continuous and with end joints staggered not less than 12 inches in adjacent rows.
  - c. Trim insulation neatly to fit around penetrations and projections, and to fit tight to intersecting sloping roof decks.
  - d. Make joints between adjacent insulation boards not more than 1/4 inch in width.
  - e. At internal roof drains, slope insulation to create a square drain sump, with each side equal to the diameter of the drain bowl plus 24 inches.
    - 1) Trim insulation, so that water flow is unrestricted.
  - f. Fill gaps exceeding ¼ inch with insulation.
  - g. Cut and fit insulation within <sup>1</sup>/<sub>4</sub> inch of nailers, projections, and penetrations.
  - h. Adhere each layer of insulation to substrate using adhesive according to FM Approvals' RoofNav listed roof assembly requirements for specified Windstorm Resistance Classification and FM Global Property Loss Prevention Data Sheet 1-29, as follows:
    - 1) Set each layer of insulation in a uniform coverage of full-spread insulation adhesive, firmly pressing and maintaining insulation in place.

#### 3.5 INSTALLATION OF COVER BOARDS

- A. Install cover boards over insulation with long joints in continuous straight lines, with end joints staggered between rows. Offset joints of insulation below a minimum of 6 inches in each direction.
  - 1. Trim cover board neatly to fit around penetrations and projections, and to fit tight to intersecting sloping roof decks.
  - 2. Cut and fit cover board tight to nailers, projections, and penetrations.
  - Adhere cover board to substrate using adhesive according to FM Approvals' RoofNav listed roof assembly requirements for specified Windstorm Resistance Classification and FM Global Property Loss Prevention Data Sheet 1-29, as follows:
    - a. Set cover board in a uniform coverage of full-spread insulation adhesive, firmly pressing and maintaining insulation in place.

#### 3.6 INSTALLATION OF ROOFING MEMBRANE, GENERAL

- A. Install roofing system according to roofing system manufacturer's written instructions and applicable recommendations in ARMA/NRCA's "Quality Control Guidelines for the Application of Polymer Modified Bitumen Roofing."
- B. Start installation of roofing in presence of roofing system manufacturer's technical personnel.
- C. Coordinate installation of roofing system so insulation and other components of the roofing system not permanently exposed are not subjected to precipitation or left uncovered at the end of the workday or when rain is forecast.

#### STYRENE-BUTADIENE-STYRENE (SBS) MODIFIED BITUMINOUS PROTECTED MEMBRANE ROOFING

- 1. Provide tie-offs at end of each day's work to cover exposed roofing sheets and insulation with a course of coated felt set in roofing cement or hot roofing asphalt, with joints and edges sealed.
- 2. Complete terminations and base flashings and provide temporary seals to prevent water from entering completed sections of roofing system.
- 3. Remove and discard temporary seals before beginning work on adjoining roofing.

#### 3.7 INSTALLATION OF BASE SHEET

- A. Before installing, unroll base sheet, cut into workable lengths, and allow to lie flat for a time period recommended by manufacturer for the ambient temperature.
- B. Installation of SBS-Modified Bitumen Polyester and Fiberglass-Mat Base Sheet:
  - 1. Install base sheet according to roofing manufacturer's written instructions, starting at low point of roofing system.
  - 2. Extend roofing sheets over and terminate above cants.
  - 3. Install base sheet in a shingle fashion.
  - 4. Adhere to substrate in a uniform coating of cold-applied adhesive.
  - 5. Install base sheet without wrinkles, tears, and free from air pockets.
  - 6. Laps: Accurately align roofing sheets, without stretching, and maintain uniform side and end laps.
    - a. Lap side laps as recommended by roof membrane manufacturer but not less than 3 inches.
    - b. Lap end laps as recommended by roof membrane manufacturer but not less than 12 inches.
    - c. Stagger end laps not less than 18 inches.
    - d. Completely bond and seal laps, leaving no voids.
    - e. Roll laps with a 20-pound roller.
  - 7. Repair tears and voids in laps and lapped seams not completely sealed.
  - 8. Apply pressure to the body of the base sheet according to manufacturer's instructions, to remove air pockets and to result in complete adhesion of base sheet to substrate.

#### 3.8 INSTALLATION OF SBS-MODIFIED BITUMINOUS CAP SHEET

- A. Before installing, unroll cap sheet, cut into workable lengths, and allow to lie flat for a time period recommended by manufacturer for the ambient temperature at which cap sheet will be installed.
- B. Install modified bituminous roofing cap sheet according to roofing manufacturer's written instructions, starting at low point of roofing system.
  - 1. Extend cap sheet over and terminate above cants.
  - 2. Install cap sheet in a shingle fashion.
  - 3. Install cap sheet as follows:
    - a. Adhere to substrate in a solid mopping of hot roofing asphalt applied at asphalt temperature recommended by cap sheet manufacturer.
    - b. Adhere to substrate in a uniform coating of cold-applied adhesive.
    - c. Torch apply to substrate.
      - 1) Perform torch application according to NFPA 241, including two-hour fire watch after torches have been extinguished.
  - 4. Install cap sheet without wrinkles, tears, or air pockets.
  - 5. Install cap sheet, so side and end laps shed water.
- C. Laps: Accurately align roofing sheets, without stretching, and maintain uniform side and end laps.
  - 1. Lap side laps as recommended by roof membrane manufacturer but not less than 3 inches.

#### STYRENE-BUTADIENE-STYRENE (SBS) MODIFIED BITUMINOUS PROTECTED MEMBRANE ROOFING

- 2. Lap end laps as recommended by roof membrane manufacturer but not less than 12 inches.
- 3. Stagger end laps not less than 18 inches.
- 4. Heat weld end laps, Completely bond and seal laps, leaving no voids.
- 5. Roll laps with a 20-poundroller.
- 6. Repair tears and voids in laps and lapped seams not completely sealed.
- D. Apply pressure to the body of the cap sheet according to manufacturer's instructions, to remove air pockets and to result in complete adhesion of base sheet to substrate.
- E. Apply roofing granules of same color as roof membrane to cover exuded bead at laps while bead is hot, to provide a continuous color appearance.

#### 3.9 INSTALLATION OF FLASHING AND STRIPPING

- A. Install base flashing over cant strips and other sloped and vertical surfaces, at roof edges, and at penetrations through roof, and secure to substrates according to roofing system manufacturer's written instructions and as follows:
  - 1. Prime substrates with asphalt primer if required by roofing system manufacturer.
  - 2. Backer Sheet Application:
    - a. Adhere backer sheet to substrate in cold-applied adhesive.
    - b. Seal all laps.
  - 3. Flashing Sheet Application: Torch apply flashing sheet to substrate.
    - a. Perform torch application according to NFPA 241, including two-hour fire watch after torches have been extinguished.
- B. Extend base flashing up walls or parapets a minimum of 8 inches above roofing membrane and 4 inches onto field of roofing membrane.
- C. Mechanically fasten top of base flashing securely at terminations and perimeter of roofing.
  - 1. Seal top termination of base flashing with a strip of glass-fiber fabric set in asphalt roofing cement.
- D. Install liquid flashing system according to manufacturer's recommendations.
  - 1. Extend liquid flashing not less than 3 inches in all directions from edges of item being flashed.
  - 2. Embed granules, matching color of roof membrane, into wet compound.
- E. Install roofing cap-sheet stripping where metal flanges and edgings are set on roofing according to roofing system manufacturer's written instructions.

#### 3.10 INSTALLATION OF WALKWAYS

- A. Walkway Cap Sheet Strips: Install walkway cap sheet strips over roofing membrane, using same application method as used for roofing cap sheet. Install walkway cap sheet strips before flood coat and aggregate surface is applied.
  - 1. Install walkways strips at the following locations:
    - a. Perimeter of each rooftop unit.
    - b. Between each rooftop unit location, creating a continuous path connecting rooftop unit locations.
    - c. Between each roof hatch and each rooftop unit location or path connecting rooftop unit locations.
    - d. Top and bottom of each roof access ladder.
    - e. Between each roof access ladder and each rooftop unit location or path connecting rooftop unit locations.

- Locations indicated on Drawings.
- g. As required by roof membrane manufacturer's warranty requirements.
- 2. Provide 3-inchclearance between adjoining strips.

#### 3.11 PROTECTING AND CLEANING

f.

- A. Protect roofing system from damage and wear during remainder of construction period.
  - 1. When remaining construction does not affect or endanger roofing, inspect roofing system for deterioration and damage, describing its nature and extent in a written report, with copies to Architect and Owner.
- B. Correct deficiencies in or remove roofing system that does not comply with requirements, repair substrates, and repair or reinstall roofing system to a condition free of damage and deterioration at time of Substantial Completion and according to warranty requirements.
- C. Clean overspray and spillage from adjacent construction using cleaning agents and procedures recommended by manufacturer of affected construction.

### CONTRACTOR'S FIVE YEAR ROOFING WARRANTY

Know all men by these presents, that we \_\_\_\_\_\_ (*Contractor*) having installed insulation, roofing, flashing and sheet metal and have accomplished certain other work on

*(Formal Project Name; SCO ID Number)* under contract *(Contract Number; Job Order Number)* with the State of North Carolina through Department of Public Safety, Central Engineering Division shall warrant to the owner with said work that for a period of **five years** from date of Final Acceptance of said work by owner, the roofing including insulation, roofing membrane, flashings, sheet metal work and shingles shall be absolutely watertight and free from all leaks, provided however that the following are excluded from this warranty:

- A. Defects or failures resulting from abuse by the Owner.
- **B.** Defects in design involving failure of:
  - 1. structural frame
  - 2. load-bearing Walls
  - 3. foundations.

**C.** Damage caused by fire, tornado, hail, hurricane, acts of God, wars, riots, or civil commotion.

We, \_\_\_\_\_ (*Contractor*) agree that should any leaks occur in the roofing we will promptly remedy said leaks in a manner to restore the roof to a watertight condition by methods compatible to the system and acceptable under industry standards and general practice.

We, \_\_\_\_\_\_(*Contractor*), further agree that for a period of **five years** from date of final acceptance referred to above, we will make repairs at no expense to the Owner, to any defects which may developin the work including but not limited to ridges, splits, warped insulation, loose insulation, loose membrane and loose flashing, in a manner compatible to the system and acceptable under industry standards and general practice.

(Contractor)

(President)

Witness:

Notary Public

END OF SECTION 07 5552.16

#### SECTION 07 6200 - SHEET METAL FLASHING AND TRIM

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Manufactured reglets with counterflashing.
  - 2. Formed roof-drainage sheet metal fabrications.
  - 3. Formed low-slope roof sheet metal fabrications.
  - 4. Formed wall sheet metal fabrications.

#### 1.2 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each of the following
  - 1. Underlayment materials.
  - 2. Elastomeric sealant.
  - 3. Butyl sealant.
  - 4. Epoxy seam sealer.
- B. Shop Drawings: For sheet metal flashing and trim.
  - 1. Include plans, elevations, sections, and attachment details.
  - 2. Detail fabrication and installation layouts, expansion-joint locations, and keyed details. Distinguish between shop- and field-assembled Work.
  - 3. Include identification of material, thickness, weight, and finish for each item and location in Project.
  - 4. Include details for forming, including profiles, shapes, seams, and dimensions.
  - 5. Include details for joining, supporting, and securing, including layout and spacing of fasteners, cleats, clips, and other attachments. Include pattern of seams.
  - 6. Include details of termination points and assemblies.
  - 7. Include details of expansion joints and expansion-joint covers, including showing direction of expansion and contraction from fixed points.
  - 8. Include details of roof-penetration flashing.
  - 9. Include details of edge conditions, including eaves, ridges, valleys, rakes, crickets, flashings, and counterflashing.
  - 10. Include details of special conditions.
  - 11. Include details of connections to adjoining work.
- C. Samples: For each exposed product and for each color and texture specified, 12 incheslong by actual width.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of coping and roof edge flashing that is ANSI/SPRI/FM 4435/ES-1 tested and FM Approvals approved.
- B. Evaluation Reports: For copings and roof edge flashing, from an agency acceptable to authority having jurisdiction showing compliance with ANSI/SPRI/FM 4435/ES-1.
- C. Sample warranty.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Maintenance data.
- B. Special warranty.

#### 1.6 QUALITY ASSURANCE

- A. Fabricator Qualifications: Employs skilled workers who custom fabricate sheet metal flashing and trim similar to that required for this Project and whose products have a record of successful in-service performance.
  - 1. For copings and roof edge flashings that are ANSI/SPRI/FM 4435/ES-1 tested and FM Approvals approved, shop shall be listed as able to fabricate required details as tested and approved.

#### 1.7 WARRANTY

- A. Special Warranty on Finishes: Manufacturer agrees to repair finish or replace sheet metal flashing and trim that shows evidence of deterioration of factory-applied finishes within specified warranty period.
  - 1. Exposed Panel Finish: Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Delta E units when tested in accordance with ASTM D2244.
    - b. Chalking in excess of a No. 8 rating when tested in accordance with ASTM D4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  - 2. Finish Warranty Period: 20 years from date of Substantial Completion.

#### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Sheet metal flashing and trim assemblies, including cleats, anchors, and fasteners, shall withstand wind loads, structural movement, thermally induced movement, and exposure to weather without failure due to defective manufacture, fabrication, installation, or other defects in construction. Completed sheet metal flashing and trim shall not rattle, leak, or loosen, and shall remain watertight.
- B. Sheet Metal Standard for Flashing and Trim: Comply with NRCA's "The NRCA Roofing Manual: Architectural Metal Flashing, Condensation and Air Leakage Control, and Reroofing" and SMACNA's "Architectural Sheet Metal Manual" requirements for dimensions and profiles shown unless more stringent requirements are indicated.
- C. SPRI Wind Design Standard: Manufacture and install copings roof edge flashings tested in accordance with ANSI/SPRI/FM 4435/ES-1 and capable of resisting the following design pressure:
- D. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes to prevent buckling, opening of joints, overstressing of components, failure of joint sealants, failure of connections, and other detrimental effects. Base calculations on surface temperatures of materials due to both solar heat gain and nightime-sky heat loss.
  - 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

#### 2.2 SHEET METALS

- A. Protect mechanical and other finishes on exposed surfaces from damage by applying strippable, temporary protective film before shipping.
- B. Metallic-Coated Steel Sheet: Provide zinc-coated (galvanized) steel sheet in accordance with ASTM A653/A653M, G90 coating designation; pre-painted by coil-coating process to comply with ASTM A755/A755M.
  - 1. Surface: Smooth, flat.
  - 2. Exposed Coil-Coated Finish:
    - a. Two-Coat Fluoropolymer: AAMA 621. Fluoropolymer finish containing not less than 70 percent polyvinylidene fluoride (PVDF) resin by weight in color coat. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions for seacoast and severe environments.
  - 3. Color: As selected by Architect from manufacturer's full range.
  - 4. Concealed Finish: Pretreat with manufacturer's standard white or light-colored acrylic or polyester backer finish, consisting of prime coat and wash coat with minimum total dry film thickness of 0.5 mil.
- C. Lead Sheet: ASTM B749 lead sheet.

#### 2.3 UNDERLAYMENT MATERIALS

A. Felt: ASTM D226/D226M, Type II (No. 30), asphalt-saturated organic felt; nonperforated.

#### 2.4 MISCELLANEOUS MATERIALS

- A. Provide materials and types of fasteners, protective coatings, sealants, and other miscellaneous items as required for complete sheet metal flashing and trim installation and as recommended by manufacturer of primary sheet metal or manufactured item unless otherwise indicated.
- B. Fasteners: Wood screws, annular threaded nails, self-tapping screws, self-locking rivets and bolts, and other suitable fasteners designed to withstand design loads and recommended by manufacturer of primary sheet metal or manufactured item.
  - 1. General: Blind fasteners or self-drilling screws, gasketed, with hex-washer head.
    - a. Exposed Fasteners: Heads matching color of sheet metal using plastic caps or factory-applied coating. Provide metal-backed EPDM or PVC sealing washers under heads of exposed fasteners bearing on weather side of metal.
    - b. Blind Fasteners: High-strength aluminum or stainless-steel rivets suitable for metal being fastened.
    - c. Spikes and Ferrules: Same material as gutter; with spike with ferrule matching internal gutter width.
  - 2. Fasteners for Zinc-Coated (Galvanized) Steel Sheet: Series 300 stainless steel or hot-dip galvanized steel in accordance with ASTM A153/A153M or ASTM F2329.
- C. Solder:
  - 1. For Zinc-Coated (Galvanized) Steel: ASTM B32, Grade Sn50, 50 percent tin and 50 percent lead or Grade Sn60, 60 percent tin and 40 percent lead with maximum lead content of 0.2 percent.
- D. Sealant Tape: Pressure-sensitive, 100 percent solids, polyisobutylene compound sealant tape with release-paper backing. Provide permanently elastic, non-sag, nontoxic, non-staining tape 1/2 inchwide and 1/8 inchthick.

- E. Elastomeric Sealant: ASTM C920, elastomeric polyurethane polymer sealant; of type, grade, class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.
- F. Butyl Sealant: ASTM C1311, single-component, solvent-release butyl rubber sealant; polyisobutylene plasticized; heavy bodied for hooked-type expansion joints with limited movement.
- G. Epoxy Seam Sealer: Two-part, noncorrosive, aluminum seam-cementing compound, recommended by aluminum manufacturer for exterior nonmoving joints, including riveted joints.
- H. Bituminous Coating: Cold-applied asphalt emulsion in accordance with ASTM D1187/D1187M.
- I. Asphalt Roofing Cement: ASTM D4586, asbestos free, of consistency required for application.
- J. Reglets: Units of type, material, and profile required, formed to provide secure interlocking of separate reglet and counterflashing pieces, and compatible with flashing indicated with factorymitered and -welded corners and junctions and with interlocking counterflashing on exterior face, of same metal as reglet.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Cheney Flashing Company
    - b. Fry Reglet Corporation
    - c. Heckmann Building Products, Inc.
    - d. Hohmann & Barnard, Inc.
  - 2. Material: Galvanized steel, 0.022 inchthick.
  - 3. Surface-Mounted Type: Provide with slotted holes for fastening to substrate, with neoprene or other suitable weatherproofing washers, and with channel for sealant at top edge.
  - 4. Stucco Type: Provide with upturned fastening flange and extension leg of length to match thickness of applied finish materials.
  - 5. Concrete Type: Provide temporary closure tape to keep reglet free of concrete materials, special fasteners for attaching reglet to concrete forms, and guides to ensure alignment of reglet section ends.
  - 6. Masonry Type: Provide with offset top flange for embedment in masonry mortar joint.
  - 7. Accessories:
    - a. Flexible-Flashing Retainer: Provide resilient plastic or rubber accessory to secure flexible flashing in reglet where clearance does not permit use of standard metal counterflashing or where Drawings show reglet without metal counterflashing.
    - b. Counterflashing Wind-Restraint Clips: Provide clips to be installed before counterflashing to prevent wind uplift of counterflashing's lower edge.
  - 8. Finish: Mill.

#### 2.5 FABRICATION, GENERAL

- A. Custom fabricate sheet metal flashing and trim to comply with details indicated and recommendations in cited sheet metal standard that apply to design, dimensions, geometry, metal thickness, and other characteristics of item required.
  - 1. Fabricate sheet metal flashing and trim in shop to greatest extent possible.
  - 2. Fabricate sheet metal flashing and trim in thickness or weight needed to comply with performance requirements, but not less than that specified for each application and metal.

- 3. Verify shapes and dimensions of surfaces to be covered and obtain field measurements for accurate fit before shop fabrication.
- 4. Form sheet metal flashing and trim to fit substrates without excessive oil-canning, buckling, and tool marks; true to line, levels, and slopes; and with exposed edges folded back to form hems.
- 5. Conceal fasteners and expansion provisions where possible. Do not use exposed fasteners on faces exposed to view.
- B. Fabrication Tolerances:
  - 1. Fabricate sheet metal flashing and trim that is capable of installation to a tolerance of 1/4 inch in 20 feeton slope and location lines indicated on Drawings and within 1/8-inchoffset of adjoining faces and of alignment of matching profiles.
  - 2. Fabricate sheet metal flashing and trim that is capable of installation to tolerances specified.
- C. Expansion Provisions: Form metal for thermal expansion of exposed flashing and trim.
  - 1. Form expansion joints of intermeshing hooked flanges, not less than 1 inchdeep, filled with butyl sealant concealed within joints.
  - 2. Use lapped expansion joints only where indicated on Drawings.
- D. Sealant Joints: Where movable, non-expansion-type joints are required, form metal in accordance with cited sheet metal standard to provide for proper installation of elastomeric sealant.
- E. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, noncorrosive metal.
- F. Fabricate cleats and attachment devices of sizes as recommended by cited sheet metal standard for application, but not less than thickness of metal being secured.
- G. Seams:
  - 1. Fabricate nonmoving seams with flat-lock seams. Tin edges to be seamed, form seams, and solder.

#### 2.6 ROOF-DRAINAGE SHEET METAL FABRICATIONS

- A. Hanging Gutters:
  - 1. Fabricate to cross section required, complete with end pieces, outlet tubes, and other accessories as required.
  - 2. Fabricate in minimum 96-inch-long sections.
  - 3. Furnish flat-stock gutter brackets and flat-stock gutter spacers and straps fabricated from same metal as gutters, of size recommended by cited sheet metal standard, but with thickness not less than twice the gutter thickness
  - 4. Fabricate expansion joints, expansion-joint covers, gutter bead reinforcing bars, and gutter accessories from same metal as gutters. Shop fabricate interior and exterior corners.
  - 5. Gutters with Girth up to 15 Inches: Fabricate from the following materials: a. Galvanized Steel: 0.022 inch thick.
- B. Downspouts: Fabricate rectangular downspouts to dimensions indicated on Drawings, complete with mitered elbows. Furnish with metal hangers from same material as downspouts and anchors.
  - 1. Fabricate from the following materials:
    - a. Galvanized Steel: **0.022 inch**thick.

1.

#### 2.7 LOW-SLOPE ROOF SHEET METAL FABRICATIONS

- A. Roof Edge Flashing (Gravel Stop) and Fascia Cap: Fabricate in minimum 96-inch-long, but not exceeding 12-foot-long sections. Furnish with 6-inch-wide, joint cover plates. Shop fabricate interior and exterior corners.
  - Fabricate from the following materials:
    - a. Galvanized Steel: 0.028 inch thick.
- B. Copings: Fabricate in minimum 96-inch-long, but not exceeding 12-foot-long, sections. Fabricate joint plates of same thickness as copings. Furnish with continuous cleats to support edge of external leg and drill elongated holes for fasteners on interior leg. Miter corners, fasten and seal watertight. Shop fabricate interior and exterior corners.
  - 1. Fabricate from the following materials:
    - a. Galvanized Steel: 0.040 inchthick.
- C. Base Flashing: Shop fabricate interior and exterior corners. Fabricate from the following materials:
  - 1. Galvanized Steel: 0.028 inch thick.
- D. Counterflashing: Shop fabricate interior and exterior corners. Fabricate from the following materials:
  - 1. Galvanized Steel: 0.022 inch thick.
- E. Roof-Penetration Flashing: Fabricate from the following materials:
  - 1. Lead: 4 lb.

#### PART 3 - EXECUTION

#### 3.1 INSTALLATION OF UNDERLAYMENT

- A. Felt Underlayment: Install felt underlayment, wrinkle free, using adhesive to minimize use of mechanical fasteners under sheet metal flashing and trim.
  - 1. Install in shingle fashion to shed water.
  - 2. Lap joints not less than 2 inches.

#### 3.2 INSTALLATION, GENERAL

- A. Install sheet metal flashing and trim to comply with details indicated and recommendations of cited sheet metal standard that apply to installation characteristics required unless otherwise indicated on Drawings.
  - 1. Install fasteners, protective coatings, separators, sealants, and other miscellaneous items as required to complete sheet metal flashing and trim system.
  - 2. Install sheet metal flashing and trim true to line, levels, and slopes. Provide uniform, neat seams with minimum exposure of welds and sealant.
  - 3. Anchor sheet metal flashing and trim and other components of the Work securely in place, with provisions for thermal and structural movement.
  - 4. Install sheet metal flashing and trim to fit substrates and to result in watertight performance.
  - 5. Install continuous cleats with fasteners spaced not more than 12 incheso.c.
  - 6. Space individual cleats not more than 12 inchesapart. Attach each cleat with at least two fasteners. Bend tabs over fasteners.
  - 7. Install exposed sheet metal flashing and trim with limited oil-canning, and free of buckling and tool marks.
  - 8. Do not field cut sheet metal flashing and trim by torch.

- B. Metal Protection: Where dissimilar metals contact each other, or where metal contacts pressuretreated wood or other corrosive substrates, protect against galvanic action or corrosion by painting contact surfaces with bituminous coating or by other permanent separation as recommended by sheet metal manufacturer or cited sheet metal standard.
  - 1. Coat concealed side of sheet metal flashing and trim with bituminous coating where flashing and trim contact wood, ferrous metal, or cementitious construction.
  - 2. Underlayment: Where installing sheet metal flashing and trim directly on cementitious or wood substrates, install underlayment and cover with slip sheet.
- C. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim.
  - 1. Space movement joints at maximum of **10 feet** with no joints within 24 inchesof corner or intersection.
  - 2. Form expansion joints of intermeshing hooked flanges, not less than 1 inch deep, filled with sealant concealed within joints.
- D. Fasteners: Use fastener sizes that penetrate wood blocking or sheathing not less than 1-1/4 inchesfor nails and not less than 3/4 inchfor wood screws.
- E. Conceal fasteners and expansion provisions where possible in exposed work and locate to minimize possibility of leakage. Cover and seal fasteners and anchors as required for a tight installation.
- F. Seal joints as required for watertight construction.
  - Use sealant-filled joints unless otherwise indicated.
    - a. Embed hooked flanges of joint members not less than 1 inchinto sealant.
    - b. Form joints to completely conceal sealant.
    - c. When ambient temperature at time of installation is between 40 and 70 deg F, set joint members for 50 percent movement each way.
    - Adjust setting proportionately for installation at higher ambient temperatures.
       Do not install sealant-type joints at temperatures below 40 deg F.
  - 2. Prepare joints and apply sealants to comply with requirements in Section 07 9200 "Joint Sealants."

#### 3.3 INSTALLATION OF ROOF-DRAINAGE SYSTEM

- A. Install sheet metal roof-drainage items to produce complete roof-drainage system in accordance with cited sheet metal standard unless otherwise indicated. Coordinate installation of roof perimeter flashing with installation of roof-drainage system.
- B. Hanging Gutters:

1

- 1. Join sections with joints sealed with sealant.
- 2. Provide for thermal expansion.
- 3. Attach gutters at eave or fascia to firmly anchor them in position.
- 4. Provide end closures and seal watertight with sealant.
- 5. Slope to downspouts.
- 6. Install gutter with expansion joints at locations indicated on Drawings, but not exceeding, 50 feetapart. Install expansion-joint caps.
- C. Downspouts:
  - 1. Join sections with 1-1/2-inchtelescoping joints.
  - 2. Provide hangers with fasteners designed to hold downspouts securely to walls.
  - 3. Locate hangers at top and bottom and at approximately 60 incheso.c.
  - 4. Provide elbows at base of downspout to direct water away from building.
  - 5. Connect downspouts to underground drainage system.

#### 3.4 INSTALLATION OF ROOF FLASHINGS

- A. Install sheet metal flashing and trim to comply with performance requirements, sheet metal manufacturer's written installation instructions, and cited sheet metal standard.
  - 1. Provide concealed fasteners where possible, and set units true to line, levels, and slopes.
  - 2. Install work with laps, joints, and seams that are permanently watertight and weather resistant.
- B. Roof Edge Flashing:
  - 1. Install roof edge flashings in accordance with ANSI/SPRI/FM 4435/ES-1.
- C. Pipe or Post Counterflashing: Install counterflashing umbrella with close-fitting collar with top edge flared for elastomeric sealant, extending minimum of 4 inchesover base flashing. Install stainless steel draw band and tighten.
- D. Counterflashing: Coordinate installation of counterflashing with installation of base flashing.
  - 1. Insert counterflashing in reglets or receivers and fit tightly to base flashing.
    - 2. Extend counterflashing 4 inchesover base flashing.
    - 3. Lap counterflashing joints minimum of 4 inches.
- E. Roof-Penetration Flashing: Coordinate installation of roof-penetration flashing with installation of roofing and other items penetrating roof. Seal with elastomeric sealant and clamp flashing to pipes that penetrate roof.

#### 3.5 INSTALLATION OF WALL FLASHINGS

A. Install sheet metal wall flashing to intercept and exclude penetrating moisture in accordance with cited sheet metal standard unless otherwise indicated. Coordinate installation of wall flashing with installation of wall-opening components such as windows, doors, and louvers.

#### 3.6 INSTALLATION TOLERANCES

A. Installation Tolerances: Shim and align sheet metal flashing and trim within installed tolerance of 1/4 inch in 20 feeton slope and location lines indicated on Drawings and within 1/8-inchoffset of adjoining faces and of alignment of matching profiles.

#### 3.7 CLEANING

- A. Clean exposed metal surfaces of substances that interfere with uniform oxidation and weathering.
- B. Clean and neutralize flux materials. Clean off excess solder.
- C. Clean off excess sealants.

#### 3.8 **PROTECTION**

- A. Remove temporary protective coverings and strippable films as sheet metal flashing and trim are installed unless otherwise indicated in manufacturer's written installation instructions.
- B. Replace sheet metal flashing and trim that have been damaged or that have deteriorated beyond successful repair by finish touchup or similar minor repair procedures, as determined by Architect.

END OF SECTION 07 6200

#### SECTION 07 9200 - JOINT SEALANTS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Silicone joint sealants.
  - 2. Nonstaining silicone joint sealants.
  - 3. Urethane joint sealants.
  - 4. Immersible joint sealants.
  - 5. Mildew-resistant joint sealants.
  - 6. Latex joint sealants.

#### 1.2 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each joint-sealant product.
- B. Samples: For each kind and color of joint sealant required.
- C. Joint-Sealant Schedule: Include the following information:
  - 1. Joint-sealant application, joint location, and designation.
  - 2. Joint-sealant manufacturer and product name.
  - 3. Joint-sealant formulation.
  - 4. Joint-sealant color.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Product test reports.
- B. Sample warranties.

#### 1.5 QUALITY ASSURANCE

A. Testing Agency Qualifications: Qualified according to ASTM C1021 to conduct the testing indicated.

#### 1.6 WARRANTY

- A. Special Installer's Warranty: Installer agrees to repair or replace joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: **Two** years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer agrees to furnish joint sealants to repair or replace those joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: **Five** years from date of Substantial Completion.

### **PART 2 - PRODUCTS**

#### 2.1 JOINT SEALANTS, GENERAL

- Α. Acceptable Manufacturers:
  - Manufacturers: Subject to compliance with requirements, provide products by one of 1. the followina:
    - a. **BASF** Corporation
    - Dow Corning Corporation. b.
    - Pecora Corporation. c.
    - d. Sika Corporation; Joint Sealants.
    - Trimco Incorporated. e.
- Β. Colors of Exposed Joint Sealants: As selected by Architect from manufacturer's full range.

#### 2.2 NONSTAINING SILICONE JOINT SEALANTS

- Α. Nonstaining Joint Sealants: No staining of substrates when tested according to ASTM C1248.
- Β. Silicone, Nonstaining, S, NS, 50, NT: Nonstaining, single-component, nonsag, plus 50 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 50, Use NT.

#### 2.3 JOINT-SEALANT BACKING

- Cylindrical Sealant Backings: ASTM C1330, Type C (closed-cell material with a surface skin) Α. Type O (open-cell material) Type B (bicellular material with a surface skin) or any of the preceding types, as approved in writing by joint-sealant manufacturer for joint application indicated, and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
- Β. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer.

#### 2.4 **MISCELLANEOUS MATERIALS**

- Α. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealantsubstrate tests and field tests.
- Β. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

#### **PART 3 - EXECUTION**

#### PREPARATION 3.1

- Α. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements: 1
  - Remove laitance and form-release agents from concrete.

- 2. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion.
- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces.

#### 3.2 INSTALLATION OF JOINT SEALANTS

- A. General: Comply with ASTM C1193 and joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
- C. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- D. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
  - 1. Place sealants so they directly contact and fully wet joint substrates.
  - 2. Completely fill recesses in each joint configuration.
  - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- E. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants to form smooth, uniform beads of configuration indicated. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
  - 1. Provide concave joint profile per Figure 8A in ASTM C1193 unless otherwise indicated.

#### 3.3 FIELD QUALITY CONTROL

- A. Field-Adhesion Testing: Field test joint-sealant adhesion to joint substrates as follows:
  - 1. Extent of Testing: Test completed and cured sealant joints as follows:
    - a. Perform 10 tests for the first 1000 feet of joint length for each kind of sealant and joint substrate.
    - b. Perform one test for each 1000 feetof joint length thereafter or one test per each floor per elevation.
  - 2. Test Method: Test joint sealants according to Method A, Field-Applied Sealant Joint Hand Pull Tab, in Appendix X1 in ASTM C1193 or Method A, Tail Procedure, in ASTM C1521.
- B. Evaluation of Field-Adhesion-Test Results: Sealants not evidencing adhesive failure from testing or noncompliance with other indicated requirements will be considered satisfactory. Remove sealants that fail to adhere to joint substrates during testing or to comply with other requirements. Retest failed applications until test results prove sealants comply with indicated requirements.

#### 3.4 JOINT-SEALANT SCHEDULE

- A. If an application for a sealant is not indicated in this schedule, provide the appropriate sealant from the products listed in this specification for the particular application. Should there be a question on which sealant to use, contact the architect for instruction.
- B. Joint-Sealant Application: Exterior joints in vertical surfaces and horizontal nontraffic surfaces.
  - 1. Joint Locations:
    - a. Construction joints in cast-in-place concrete.
    - b. Joints between plan-precast architectural concrete units.
    - c. Control and expansion joints in unit masonry.
    - d. Other joints as indicated on Drawings.
    - Joint Sealant: Silicone, non-staining, S, NS, 50, NT.
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors.

#### END OF SECTION 07 9200

2.



June 12, 2023

North Carolina Department of Adult Corrections Central Engineering Division 4216 MSC 2020 Yonkers Road Raleigh, NC 27699-4216

Attention: Ms. Rebecca Cunningham

Subject: Report of Limited Bulk Sampling to Identify Asbestos-Containing Roof Materials Sampson Correctional Institution Food Service Building Clinton, North Carolina Matrix Job Number: 230543 SCO ID: 23-26409-01A JO# 4368 C# C11583

Dear Ms. Cunningham:

Matrix Health and Safety Consultants, L.L.C. (Matrix) is pleased to present this report of the bulk sampling to identify asbestos-containing roofing materials on the subject building located at the Sampson Correctional Institution in Clinton, North Carolina. The purpose of our survey was to identify asbestos-containing roofing materials which are required to be removed prior to renovation or demolition activities in accordance with the National Emissions Standards for Hazardous Air Pollutants (NESHAP). This report presents known project information, survey procedures and survey results.

### SURVEY PROCEDURES

The survey was performed on June 6, 2023 by Matrix's Inspector Todd Daugherty (North Carolina Asbestos Inspector No. 11650). The survey began with a walk-through of the identified work area observing accessible areas for the presence of suspect asbestos-containing materials (ACM). This survey was limited to the roofing materials located on the subject facility. Both friable and nonfriable suspect asbestos-containing materials were considered during the course of the survey. Friable materials are those materials which can be pulverized or reduced to powder by hand pressure. A sampling strategy was determined and bulk samples of suspect ACM were obtained. Suspect ACM's were grouped based on material homogeneity. A homogeneous area is an area which contains materials that seem by texture, color and wear to be uniform and applied during the same general time period.

Bulk Sampling to Identify Asbestos-Containing Roofing Materials Sampson Correctional Institution – Food Service Building Clinton, North Carolina

To determine the presence or absence of asbestos content in the suspect materials, samples were collected and transported to Eurofins-CEI located in Cary, North Carolina under chain-of-custody documentation for laboratory analysis. The collected samples were placed into individual sample containers, sealed and a unique identification number was assigned to the sample container at the time of collection. The identification included the sample collection date and location. This information was logged on our Asbestos Bulk Sampling Record and submitted to the laboratory.

#### ANALYSIS PROCEDURES AND RESULTS

The collected asbestos samples were analyzed using Polarized Light Microscopy (PLM) in conjunction with dispersion staining techniques using EPA Method 600/M4-82-020 per 40 CFR 763. The bulk laboratory analysis provided the asbestos content (positive or negative), percentage of asbestos, asbestos type and identification of other non-asbestos fibers.

#### ASBESTOS SURVEY RESULTS

## Sampson Correctional Institution, Clinton, NC

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SAMPLE ID#	SUSPECT ASBESTOS- CONTAINING MATERIAL	SAMPLE LOCATION	PERCENT ASBESTOS TYPE OF ASBESTOS		
	Food S	ervice Building			
FS-01	Roof Core	Roof – Food Service Building	Roof Core: NAD Insulation: NAD		
FS-02	Roof Core	Roof – Food Service Building	Roof Core: NAD Insulation: NAD		
FS-03	Asphalt on Metal Roof Deck	Roof – Food Service Building	NAD		
FS-04	Asphalt on Metal Roof Deck	Roof - Food Service Building	NAD		
FS-05	Asphalt Roof Patch	Food Service Building Roof – South End	NAD		
FS-06	Asphalt Roof Patch	Food Service Building Roof – South End	NAD		
FS-07	Roof Flashing on Penetrations	Roof – Food Service Building	NAD		
FS-08	Roof Flashing on Penetrations	Roof – Food Service Building	NAD		
FS-09	Gray Patch on Roof Flashing	Roof – Food Service Building	NAD*		
FS-10	Gray Patch on Roof Flashing	Roof – Food Service Building	10% Chysotile		

Analysis Method: PLM with Dispersion Staining

NAD – No Asbestos Detected

\*Homogeneous with sample FS-10. Material shall be assumed to contain 10% Chrysotile asbestos.

The National Emissions Standard for Hazardous Air Pollutants (NESHAP) requires the removal of asbestos-containing materials likely to be disturbed prior to renovation or demolition activities. Matrix recommends removal of the asbestos-containing materials identified in this report prior to beginning renovation or demolition activities which would disturb their integrity. Matrix recommends that asbestos removal be performed by a qualified asbestos abatement contractor, using North Carolina accredited personnel, in accordance with applicable federal, state, and local regulations governing the removal of asbestos-containing materials.

#### QUALIFICATIONS

This report summarizes Matrix's evaluation of the conditions observed at the subject facility during the course of the facility survey. Our findings are based upon our observations at the facility and analyses of the samples obtained at the time of this survey. Additional asbestos-containing materials may exist (undetected) in other portions of the facility due to inaccessibility or due to an undetectable change in materials. Any conditions discovered which deviate from the data contained in this report should be presented to us for our evaluation.

Bulk Sampling to Identify Asbestos-Containing Roofing Materials Sampson Correctional Institution – Food Service Building Clinton, North Carolina

Matrix appreciates the opportunity to have provided these services. We would be glad to discuss any of the results contained in this report, at your convenience. If there are any questions concerning this report or results, please contact us.

Sincerely, MATRIX HEALTH AND SAFETY CONSULTANTS, L.L.C.

Todd E. Daugherty Project Principal

Attachments: Laboratory Analysis Report



# **Asbestos Report Summary**

By: POLARIZING LIGHT MICROSCOPY

PROJECT: Sampson CI - Food Service Building Roof LAB CODE: B2311956

### METHOD: EPA 600 / R93 / 116 and EPA 600 / M4-82 / 020

Client ID	Layer	Lab ID	Color	Sample Description	ASBESTOS %
FS-01	Layer 1	B2311956.01	Black	Roof Core	None Detected
	Layer 2	B2311956.01	Brown	Insulation	None Detected
FS-02	Layer 1	B2311956.02	Black	Roof Core	None Detected
	Layer 2	B2311956.02	Brown	Insulation	None Detected
FS-03		B2311956.03	Black	Asphalt	None Detected
FS-04		B2311956.04	Black	Asphalt	None Detected
FS-05		B2311956.05	Black	Asphalt Patch	None Detected
FS-06		B2311956.06	Black	Asphalt Patch	None Detected
FS-07		B2311956.07	Gray,Black	Penetration Roof Flashing	None Detected
FS-08		B2311956.08	Gray,Black	Penetration Roof Flashing	None Detected
FS-09		B2311956.09	Gray	Patch On Flashing	None Detected
FS-10		B2311956.10	Gray	Patch On Flashing	Chrysotile 10%



# **ASBESTOS BULK ANALYSIS**

By: POLARIZING LIGHT MICROSCOPY

Client: Matrix Health & Safety Consultants 2900 Yonkers Road Raleigh, NC 27604 
 Lab Code:
 B2311956

 Date Received:
 06-06-23

 Date Analyzed:
 06-07-23

 Date Reported:
 06-07-23

Project: Sampson CI - Food Service Building Roof

CEI

### ASBESTOS BULK PLM, EPA 600 METHOD

Client ID	Lab	Lab	NO	N-ASBESTOS	ASBESTOS		
Lab ID	Description	Attributes	Fibr	ous	Non-F	ibrous	%
<b>FS-01</b> Layer 1 B2311956.01	Roof Core	Homogeneous Black Fibrous Bound	15% 10%	Fiberglass Cellulose	75%	Tar	None Detected
Layer 2 B2311956.01	Insulation	Homogeneous Brown Fibrous Loose	85%	Cellulose	15%	Perlite	None Detected
<b>FS-02</b> Layer 1 B2311956.02	Roof Core	Homogeneous Black Fibrous Bound	15% 10%	Fiberglass Cellulose	75%	Tar	None Detected
Layer 2 B2311956.02	Insulation	Homogeneous Brown Fibrous Loose	85%	Cellulose	15%	Perlite	None Detected
<b>FS-03</b> B2311956.03	Asphalt	Homogeneous Black Non-fibrous Bound	<1%	Cellulose	100%	Tar	None Detected
<b>FS-04</b> B2311956.04	Asphalt	Homogeneous Black Non-fibrous Bound	<1%	Cellulose	100%	Tar	None Detected
<b>FS-05</b> B2311956.05	Asphalt Patch	Homogeneous Black Fibrous Bound	5%	Cellulose	95%	Tar	None Detected



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### ASBESTOS BULK PLM, EPA 600 METHOD

Client ID Lab ID	Lab Description	Lab Attributes	NON-ASBESTOS COMP			NENTS ibrous	ASBESTOS
<b>FS-06</b> B2311956.06	Asphalt Patch	Homogeneous Black Fibrous Bound	5%	Cellulose	95%	Tar	None Detected
<b>FS-07</b> B2311956.07	Penetration Roof Flashing	Heterogeneous Gray,Black Fibrous Bound	30%	Fiberglass	60% 10%	Tar Gravel	None Detected
<b>FS-08</b> B2311956.08	Penetration Roof Flashing	Heterogeneous Gray,Black Fibrous Bound	30%	Fiberglass	60% 10%	Tar Gravel	None Detected
<b>FS-09</b> B2311956.09	Patch On Flashing	Homogeneous Gray Non-fibrous Bound			100%	Tar	None Detected
<b>FS-10</b> B2311956.10	Patch On Flashing	Homogeneous Gray Fibrous Bound			90%	Tar	10% Chrysotile



LEGEND:	Non-Anth	= Non-Asbestiform Anthophyllite
	Non-Trem	= Non-Asbestiform Tremolite
	Calc Carb	= Calcium Carbonate

CEI

METHOD: EPA 600 / R93 / 116 and EPA 600 / M4-82 / 020

**REPORTING LIMIT:** <1% by visual estimation

REPORTING LIMIT FOR POINT COUNTS: 0.25% by 400 Points or 0.1% by 1,000 Points

#### **REGULATORY LIMIT:** >1% by weight

Due to the limitations of the EPA 600 method, nonfriable organically bound materials (NOBs) such as vinyl floor tiles can be difficult to analyze via polarized light microscopy (PLM). EPA recommends that all NOBs analyzed by PLM, and found not to contain asbestos, be further analyzed by Transmission Electron Microscopy (TEM). Please note that PLM analysis of dust and soil samples for asbestos is not covered under NVLAP accreditation. *Estimated measurement of uncertainty is available on request.* 

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Information provided by customer includes customer sample ID and sample description.

ANALYST: **APPROVED BY:** Tianbao Bai, Ph.D., CIH Laboratory Director